

$b \rightarrow sl^+l^-$ Anomalies and Y_3

by

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- $b \rightarrow sl^+l^-$ B -anomalies
- Third Family Hypercharge Models (BCA, Davighi, 1809.01158; [ibid 1905.10327](#))
- Global fits (BCA, Camargo-Molina, Davighi, 2103.12056)
- $Z' \rightarrow \mu^+\mu^-$ search (BCA, Butterworth, Corbett, 1904.10954)



Cambridge Pheno Working Group

Where data and theory collide



Science & Technology
Facilities Council

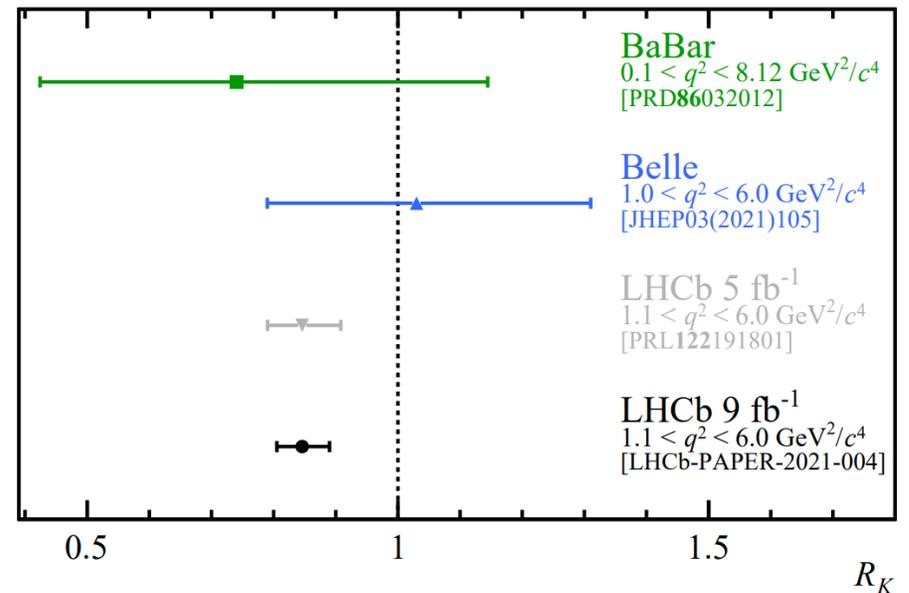
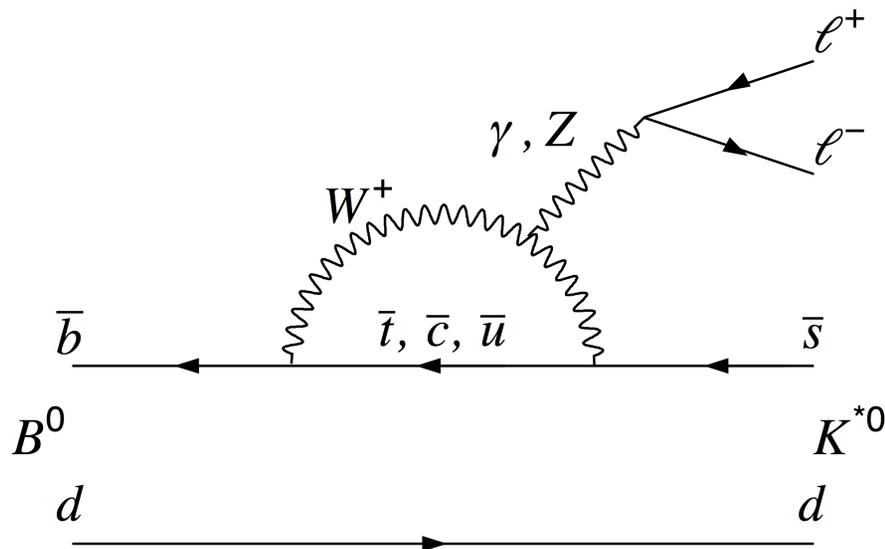
Strange *b* Activity



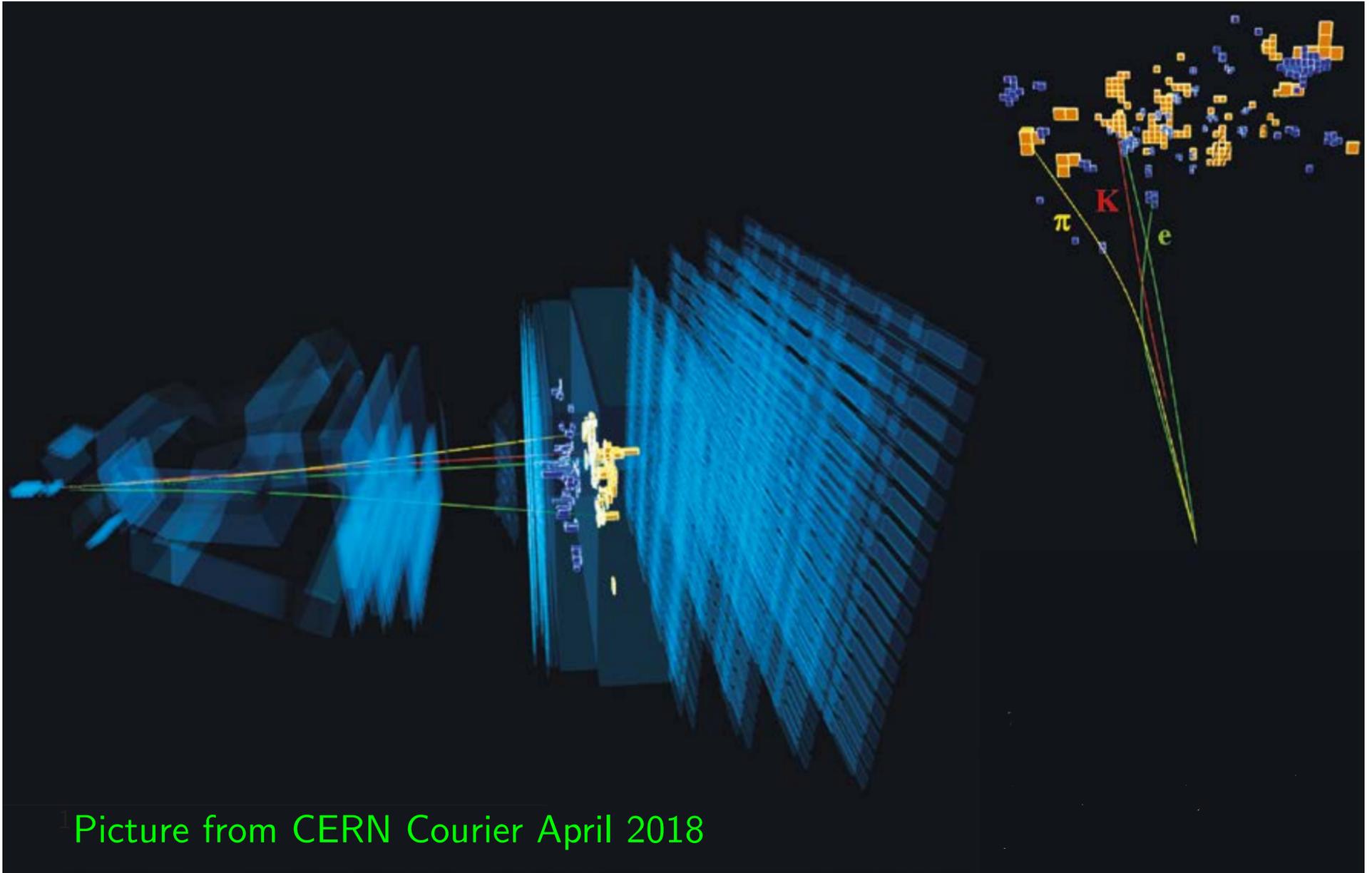
$R_K^{(*)}$ in Standard Model

$$R_K = \frac{BR(B \rightarrow K \mu^+ \mu^-)}{BR(B \rightarrow K e^+ e^-)}, \quad R_{K^*} = \frac{BR(B \rightarrow K^* \mu^+ \mu^-)}{BR(B \rightarrow K^* e^+ e^-)}.$$

These are **rare decays** (each $BR \sim \mathcal{O}(10^{-7})$) because they are absent at tree level in SM+EW+CKM



LHCb $B^0 \rightarrow K^{0*} e^+ e^-$ Event¹

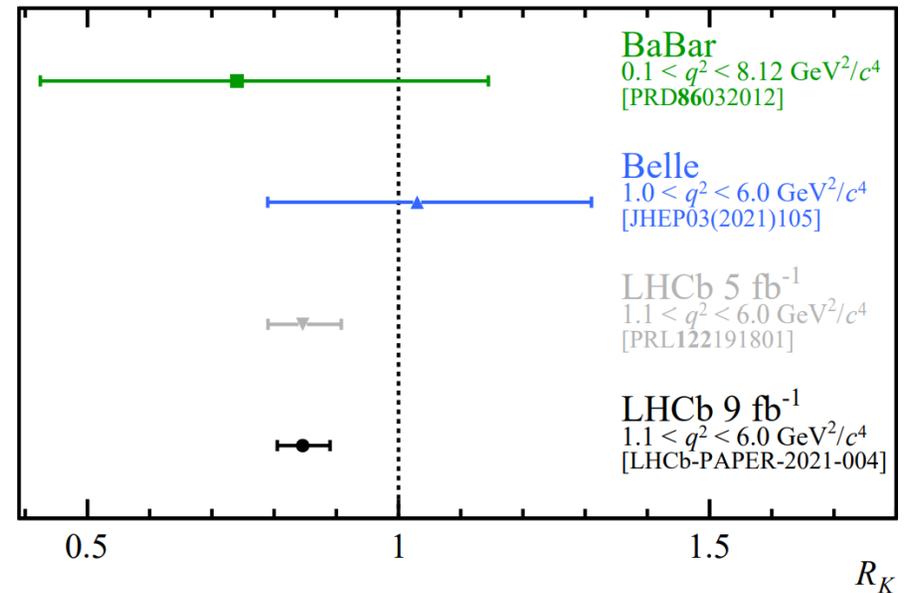
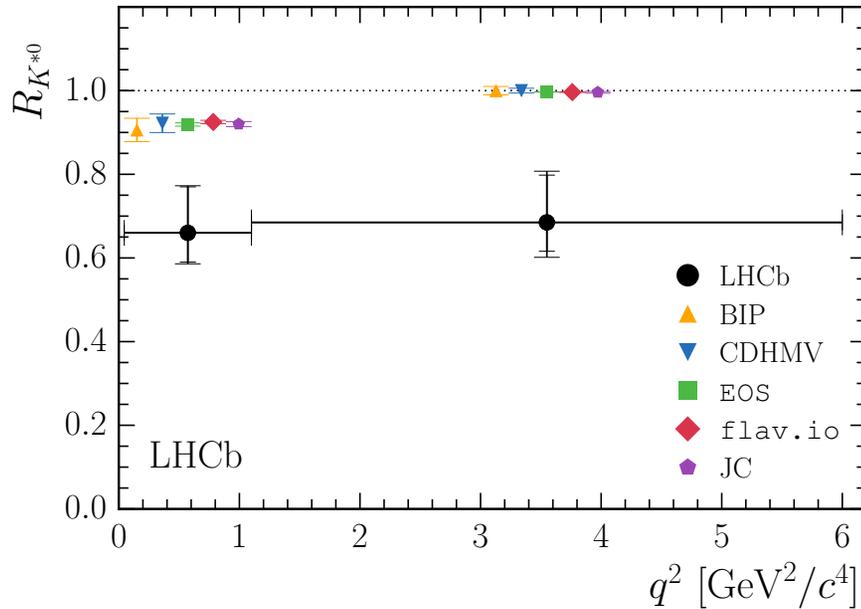


Picture from CERN Courier April 2018

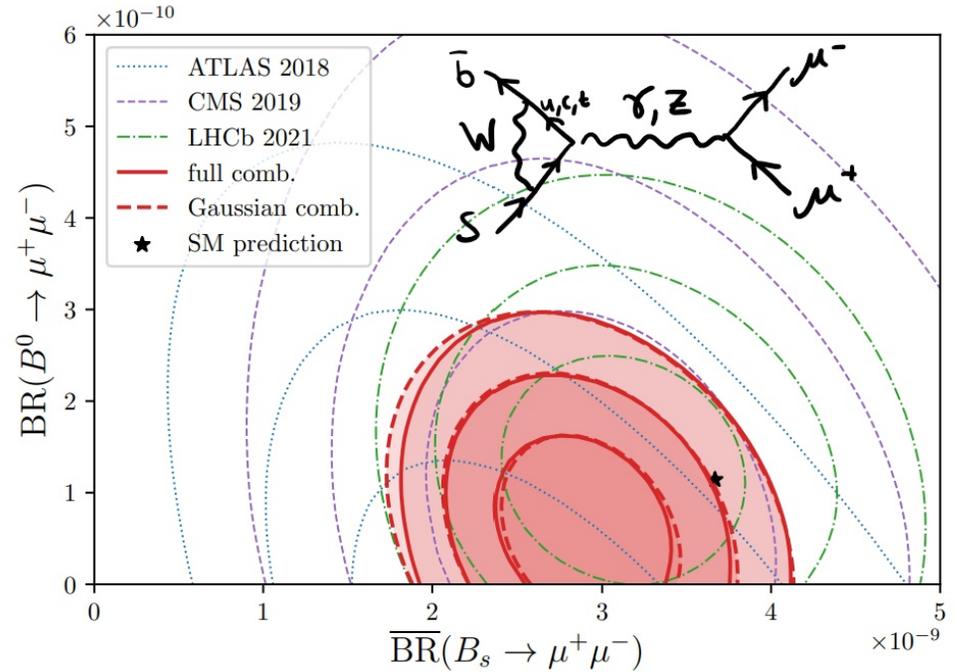
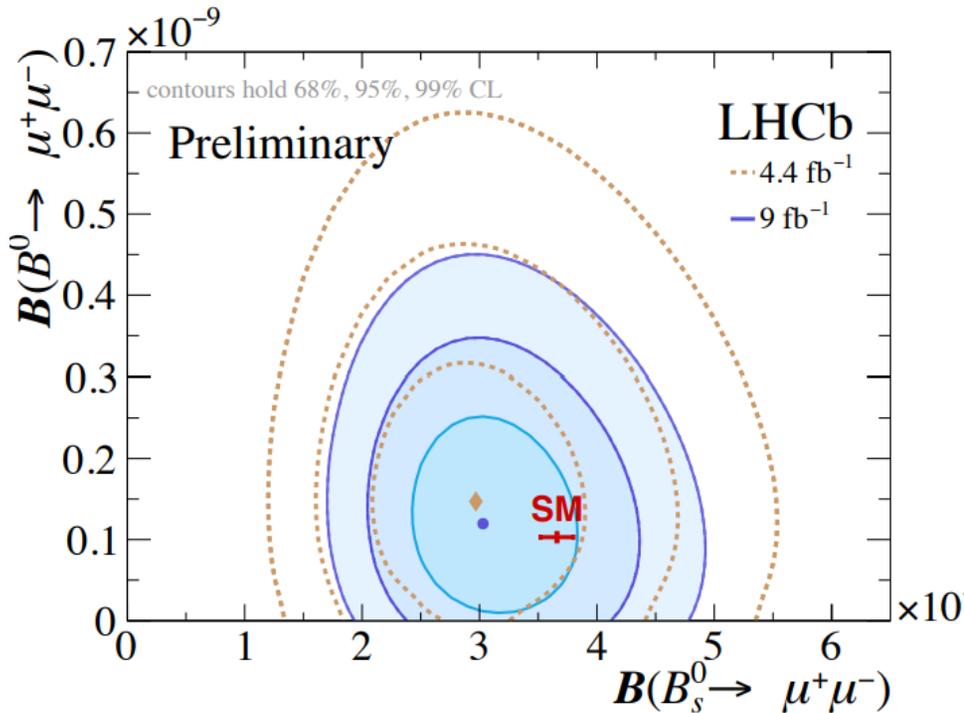
$R_{K^{(*)}}$

LHCb results: $q^2 = m_{\mu}^2$.

	q^2/GeV^2	SM	LHCb 3 fb^{-1}	σ
$R_K(9 \text{ fb})$	[1.1, 6]	1.00 ± 0.01	0.846 ± 0.04	3.1
$R_{K^*}(3 \text{ fb})$	[0.045, 1.1]	0.91 ± 0.03	$0.66^{+0.11}_{-0.07}$	2.2
$R_{K^*}(3 \text{ fb})$	[1.1, 6]	1.00 ± 0.01	$0.69^{+0.11}_{-0.07}$	2.5

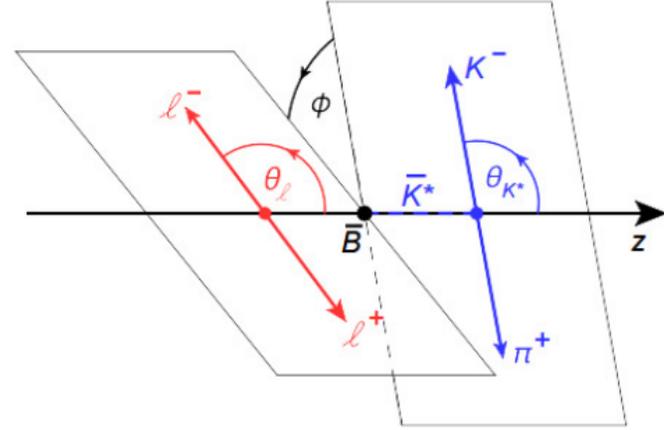
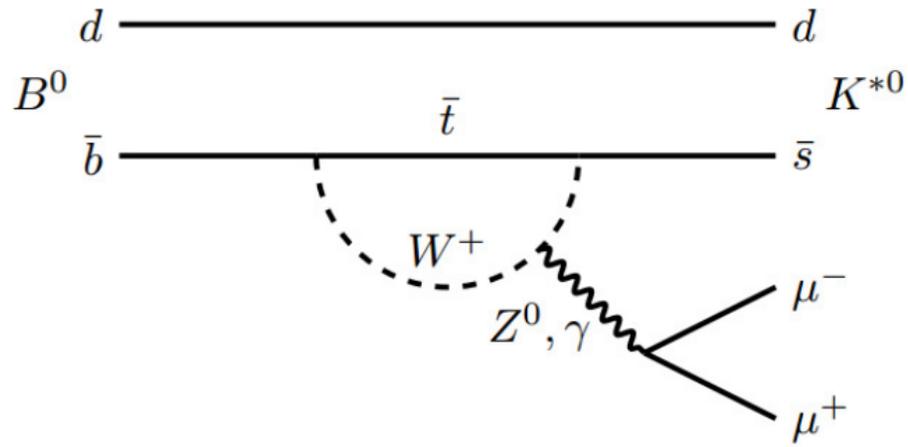


Latest $BR(B_s \rightarrow \mu^+ \mu^-)$



ATLAS + CMS + '21 LHCb measurements, multi-variate Gaussian combination by Altmannshofer, Stangl
 2103.13370

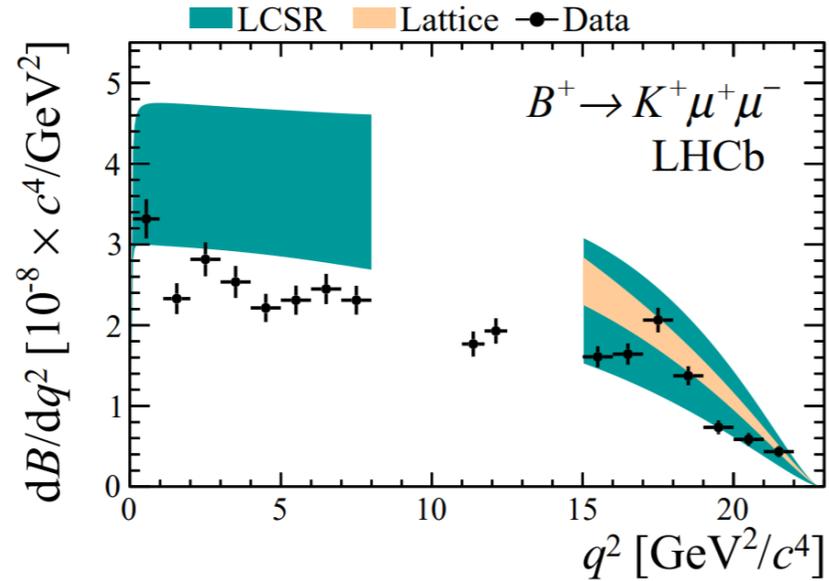
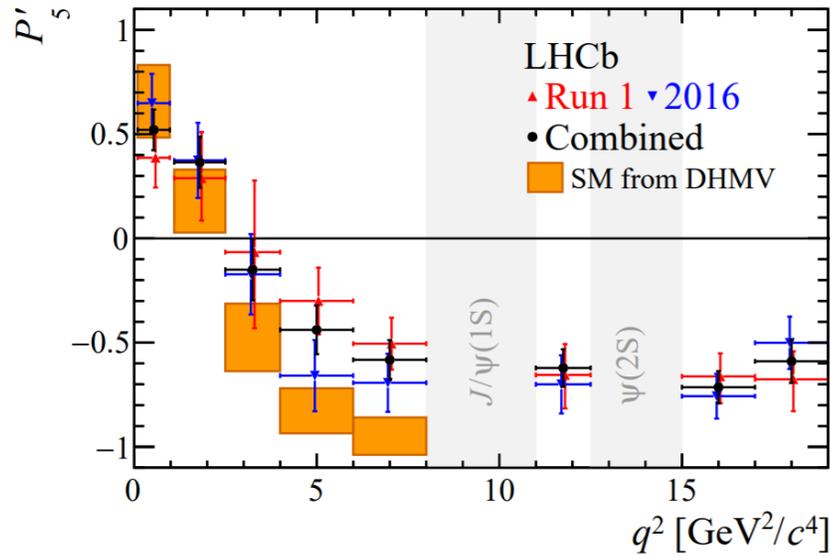
$$B^0 \rightarrow K^{*0} (\rightarrow K^+ \pi^-) \mu^+ \mu^-$$



Decay fully described by three helicity angles $\vec{\Omega} = (\theta_\ell, \theta_K, \phi)$ and $q^2 = m_{\mu\mu}^2$

$$\begin{aligned} \frac{1}{d(\Gamma + \bar{\Gamma})/dq^2} \frac{d^3(\Gamma + \bar{\Gamma})}{d\vec{\Omega}} &= \frac{9}{32\pi} \left[\frac{3}{4}(1 - F_L) \sin^2 \theta_K + F_L \cos^2 \theta_K + \frac{1}{4}(1 - F_L) \sin^2 \theta_K \cos 2\theta_\ell \right. \\ &\quad - F_L \cos^2 \theta_K \cos 2\theta_\ell + S_3 \sin^2 \theta_K \sin^2 \theta_\ell \cos 2\phi \\ &\quad + S_4 \sin 2\theta_K \sin 2\theta_\ell \cos \phi + S_5 \sin 2\theta_K \sin \theta_\ell \cos \phi \\ &\quad + \frac{4}{3} A_{FB} \sin^2 \theta_K \cos \theta_\ell + S_7 \sin 2\theta_K \sin \theta_\ell \sin \phi \\ &\quad \left. + S_8 \sin 2\theta_K \sin 2\theta_\ell \sin \phi + S_9 \sin^2 \theta_K \sin^2 \theta_\ell \sin 2\phi \right] \end{aligned}$$

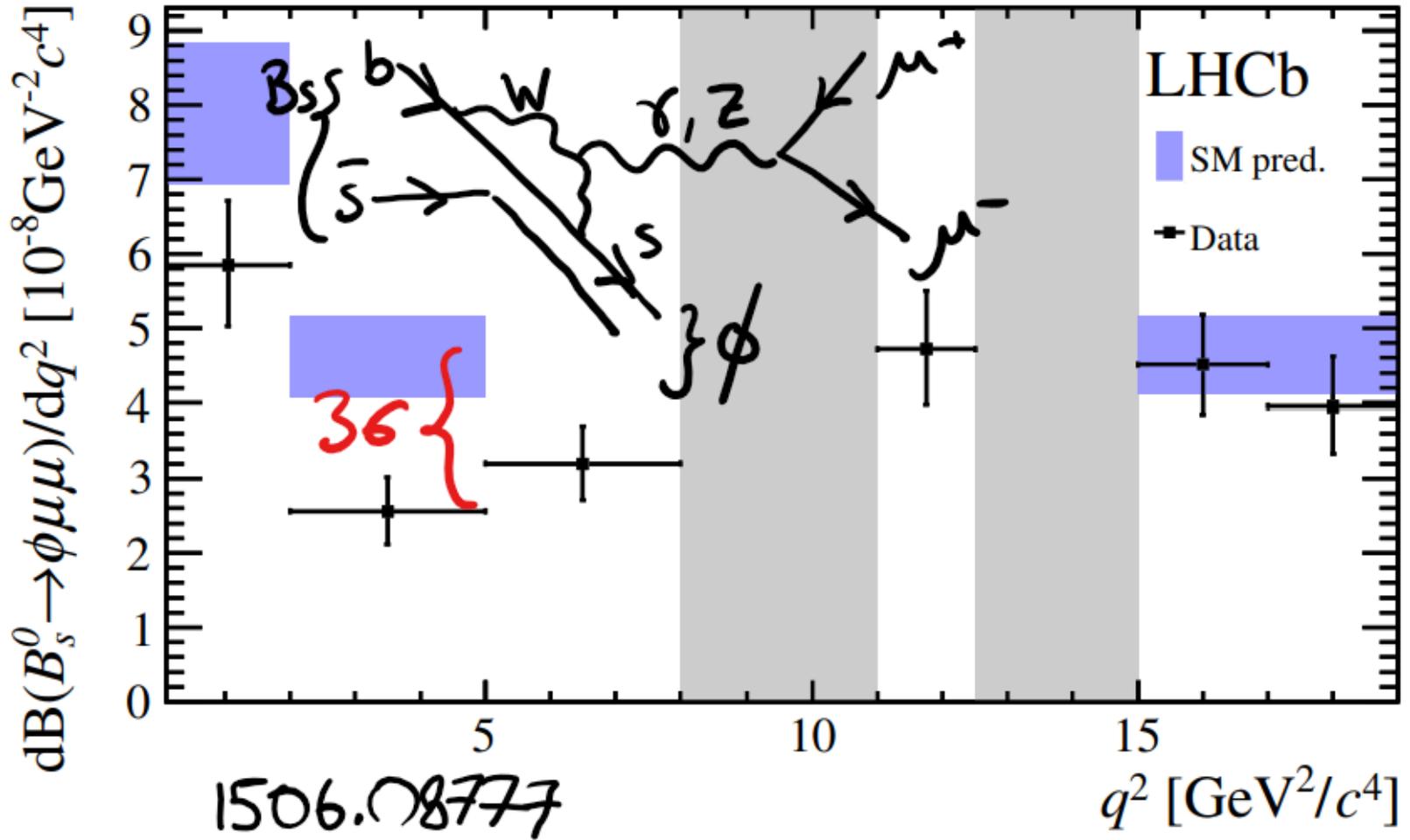
P'_5

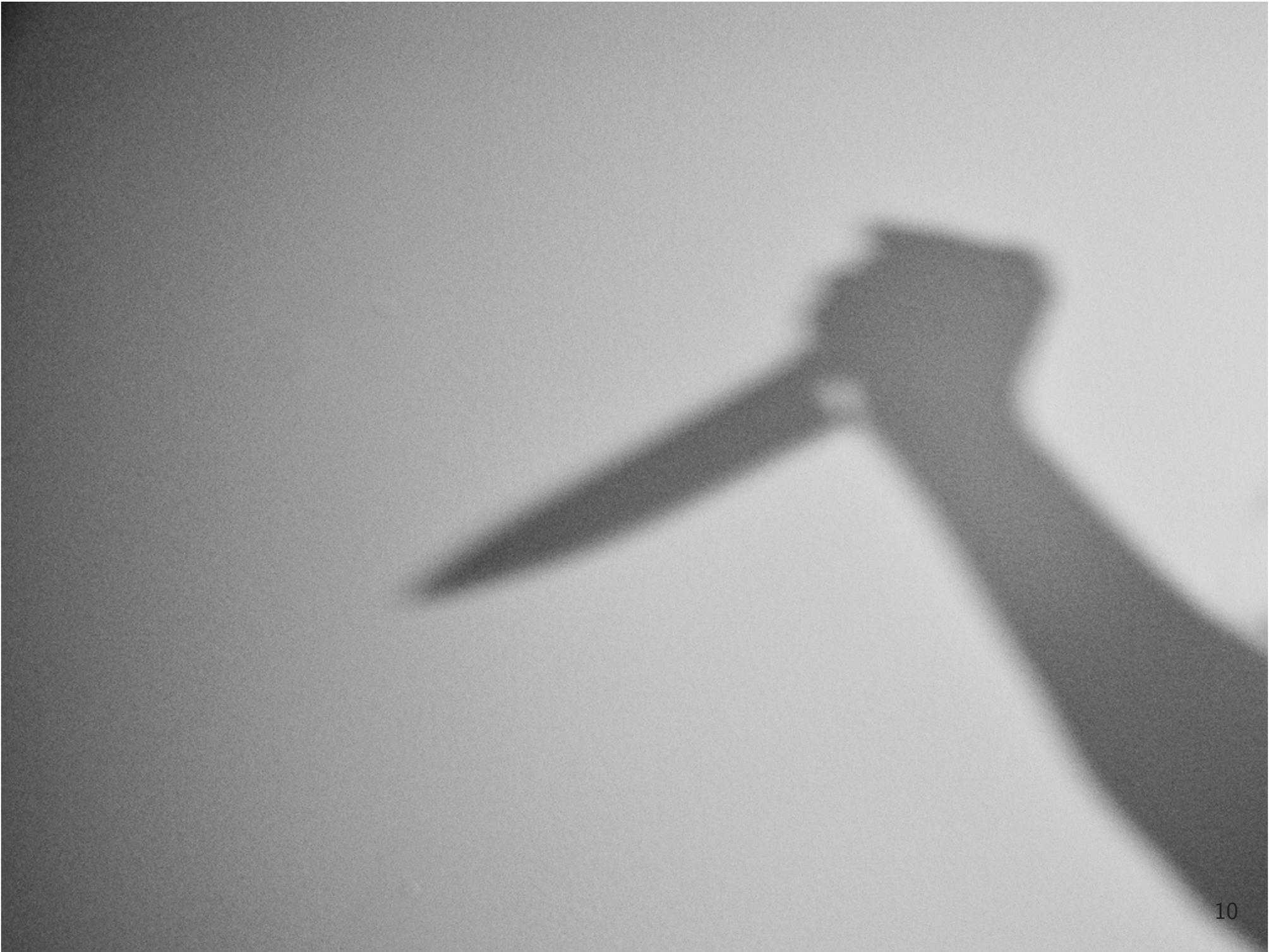


$P'_5 = S_5 / \sqrt{F_L(1 - F_L)}$, leading form factor uncertainties cancel ²

²LHCb, 2003.04831

$$B_s \rightarrow \phi \mu^+ \mu^-$$



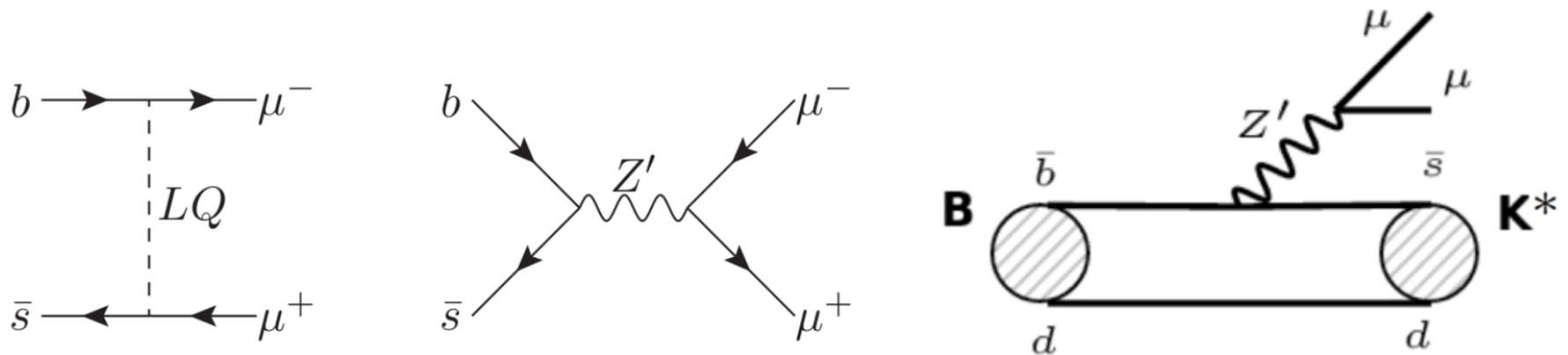


Simplified Models for NCBA's

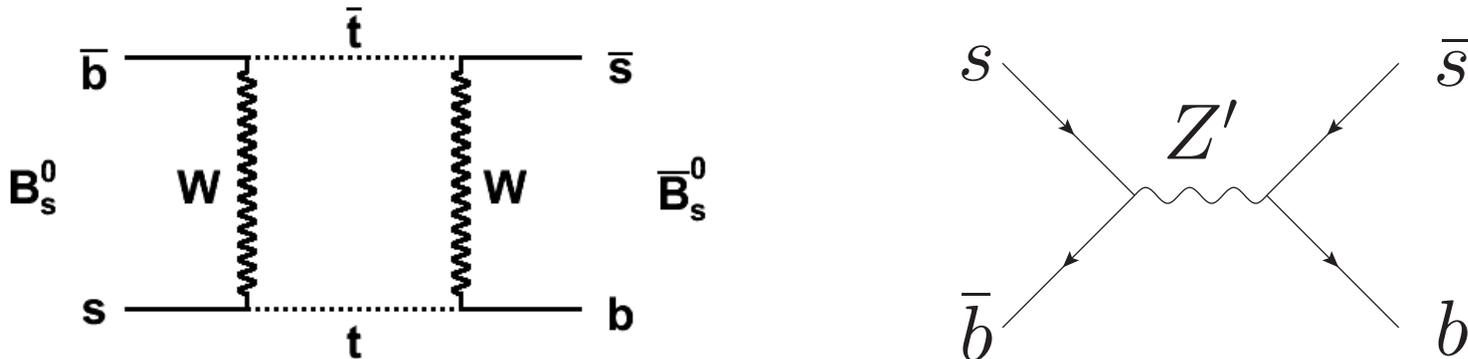
2 – 4 σ Discrepancies with SM predictions in (2021):

- R_K, R_{K^*}
- $BR(B_s \rightarrow \mu^+ \mu^-)$
- $BR(B_s \rightarrow \phi \mu^+ \mu^-)$
- Angular distributions of $B \rightarrow K^* \mu^+ \mu^-$, etc

We have tree-level **flavour changing** new physics options:



$B_s - \bar{B}_s$ Mixing



$$\bar{g}_L^{sb} \lesssim \frac{M_{Z'}}{194 \text{ TeV}}$$

from QCD sum rules and lattice³. Weaker on LQs (see later).

³King, Lenz, Rauh, arXiv:1904.00940

During the 1990s

We wanted to be the Grand Architects, searching for **the** string model to rule them all



During the 2020s

We are happy with **any** beyond the Standard Model
roof



A Simple Z' Model

BCA, Davighi, 1809.01158: Add complex SM-singlet scalar 'flavon' $\theta_{X \neq 0}$ which breaks gauged $U(1)_X$:

$$\begin{array}{c} SU(3) \times SU(2)_L \times U(1)_Y \times U(1)_X \\ \downarrow \langle \theta \rangle \sim \text{Several TeV} \\ SU(3) \times SU(2)_L \times U(1)_Y \\ \downarrow \langle H \rangle \sim 246 \text{ GeV} \\ SU(3) \times U(1)_{em} \end{array}$$

- SM fermion content
- **Zero** X charges for first two generations
- Solve anomaly cancellation for $U(1)_X$

The Flavour Problem

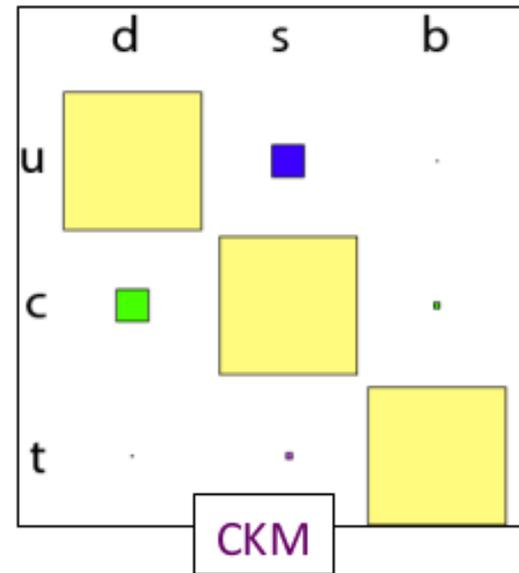
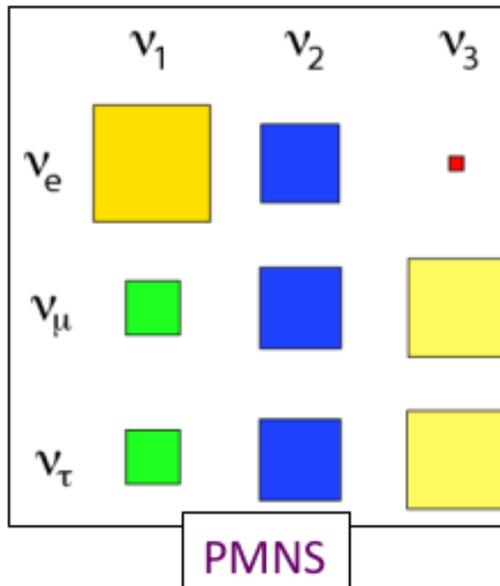
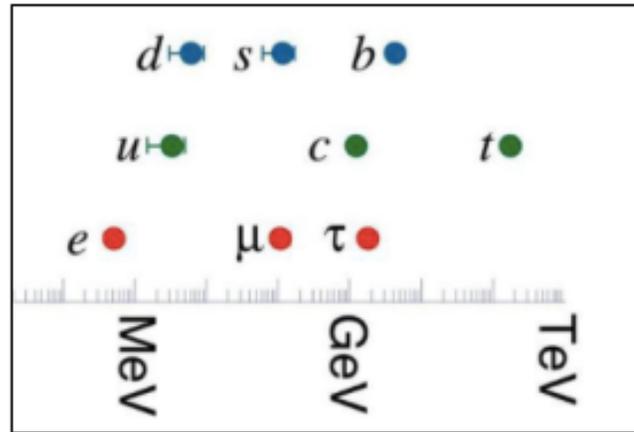
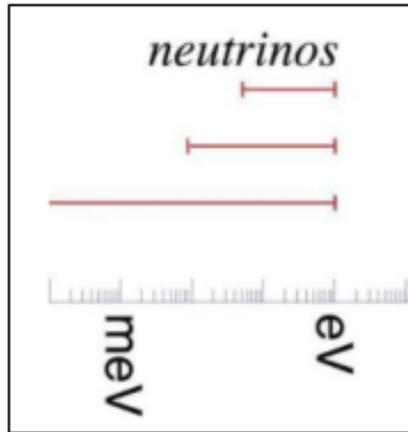


up

charm

top

The Flavour Problem



Unique Solution: $X = Y_3$

$X_{Q'_{1,2}} = 0$	$X_{u_{R'_{1,2}}} = 0$	$X_{d_{R'_{1,2}}} = 0$	$X_{L'_{1,2}} = 0$
$X_{e_{R'_{1,2}}} = 0$	$X_H = -1/2$	$X_{Q'_3} = 1/6$	$X_{u'_{R_3}} = 2/3$
$X_{d'_{R_3}} = -1/3$	$X_{L'_3} = -1/2$	$X_{e'_{R_3}} = -1$	$X_\theta \neq 0$

$$\mathcal{L} = Y_t \overline{Q'_{3L}} H t'_R + Y_b \overline{Q'_{3L}} H^c b'_R + Y_\tau \overline{L'_{3L}} H^c \tau'_R + H.c.,$$

$$\left(\begin{array}{c|c} & \\ \hline & \\ \hline & \blacksquare \\ \hline \end{array} \right) \approx \left(\begin{array}{c|c} \cdot & \cdot \\ \hline \cdot & \blacksquare \\ \hline & \blacksquare \\ \hline \end{array} \right)$$

Y_3 Consequences

- Flavour changing TeV-scale Z' to do NCBAAs: couples dominantly to third family quarks and second family leptons
- First two fermion families massless at renormalisable level
- Their masses and fermion mixings generated by small non-renormalisable operators

This explains the hierarchical heaviness of the third family and small CKM angles

$Z - Z'$ mixing

Because $Y_3(H) = 1/2$, $B - W^3 - X$ bosons **mix**:

$$\mathcal{M}_N^2 = \frac{1}{4} \begin{pmatrix} g'^2 v^2 & -gg'v^2 & g'g_X v^2 \\ -gg'v^2 & g^2 v^2 & -gg_X v^2 \\ g'g_X v^2 & -gg_X v^2 & 4g_X^2 \langle \theta \rangle^2 \left(1 + \frac{\epsilon^2}{4}\right) \end{pmatrix} \begin{matrix} -B_\mu \\ -W_\mu^3 \\ -(X)_\mu \end{matrix}$$

- $v \approx 246$ GeV is SM Higgs VEV,
- $\langle \theta \rangle \sim \text{TeV}$. $M_{Z'} = g_X \langle \theta \rangle$.
- $g_X = U(1)_X$ gauge coupling
- $\epsilon \equiv v/\langle \theta \rangle \ll 1$

$$\mathcal{L}_{X\psi} = g_X \left(\frac{1}{6} \overline{\mathbf{u}_L} \Lambda^{(u_L)} \gamma^\rho \mathbf{u}_L + \frac{1}{6} \overline{\mathbf{d}_L} \Lambda^{(d_L)} \gamma^\rho \mathbf{d}_L - \frac{1}{2} \overline{\mathbf{n}_L} \Lambda^{(n_L)} \gamma^\rho \mathbf{n}_L - \frac{1}{2} \overline{\mathbf{e}_L} \Lambda^{(e_L)} \gamma^\rho \mathbf{e}_L + \frac{2}{3} \overline{\mathbf{u}_R} \Lambda^{(u_R)} \gamma^\rho \mathbf{u}_R - \frac{1}{3} \overline{\mathbf{d}_R} \Lambda^{(d_R)} \gamma^\rho \mathbf{d}_R - \overline{\mathbf{e}_R} \Lambda^{(e_R)} \gamma^\rho \mathbf{e}_R \right) Z'_\rho,$$

$$\Lambda^{(I)} \equiv V_I^\dagger \xi V_I, \quad \xi = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Z' couplings, $I \in \{u_L, d_L, e_L, \nu_L, u_R, d_R, e_R\}$

A simple limiting case

$$V_{u_R} = V_{d_R} = V_{e_R} = 1$$

for simplicity and the ease of passing bounds.

$$V_{d_L} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & -\sin \theta_{23} \\ 0 & \sin \theta_{23} & \cos \theta_{23} \end{pmatrix}, \quad V_{e_L} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix},$$

$$\Rightarrow V_{u_L} = V_{d_L} V_{CKM}^\dagger \text{ and } V_{\nu_L} = V_{e_L} U_{PMNS}^\dagger.$$

Important Z' Couplings

$$g_X \left[\frac{1}{6} (\overline{d_L} \ \overline{s_L} \ \overline{b_L}) \begin{pmatrix} 0 & 0 & 0 \\ 0 & \sin^2 \theta_{23} & \frac{1}{2} \sin 2\theta_{23} \\ 0 & \frac{1}{2} \sin 2\theta_{23} & \cos^2 \theta_{23} \end{pmatrix} \cancel{Z}' \begin{pmatrix} d_L \\ s_L \\ b_L \end{pmatrix} + \right. \\ \left. - \frac{1}{2} (\overline{e_L} \ \overline{\mu_L} \ \overline{\tau_L}) \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \cancel{Z}' \begin{pmatrix} e_L \\ \mu_L \\ \tau_L \end{pmatrix} \right]$$

$Z - Z'$ mixing

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- $g_X = U(1)_X$ gauge coupling
- $\epsilon \equiv v/\langle \theta \rangle \ll 1$

$Z - Z'$ mixing angle

$$\sin \alpha_z \approx \frac{g_X}{\sqrt{g^2 + g'^2}} \left(\frac{M_Z}{M'_Z} \right)^2 \ll 1.$$

This gives small non-flavour universal couplings to the Z boson proportional to g_X and:

$$Z_\mu = \cos \alpha_z \left(-\sin \theta_w B_\mu + \cos \theta_w W_\mu^3 \right) + \sin \alpha_z X_\mu,$$

Important Z' Couplings

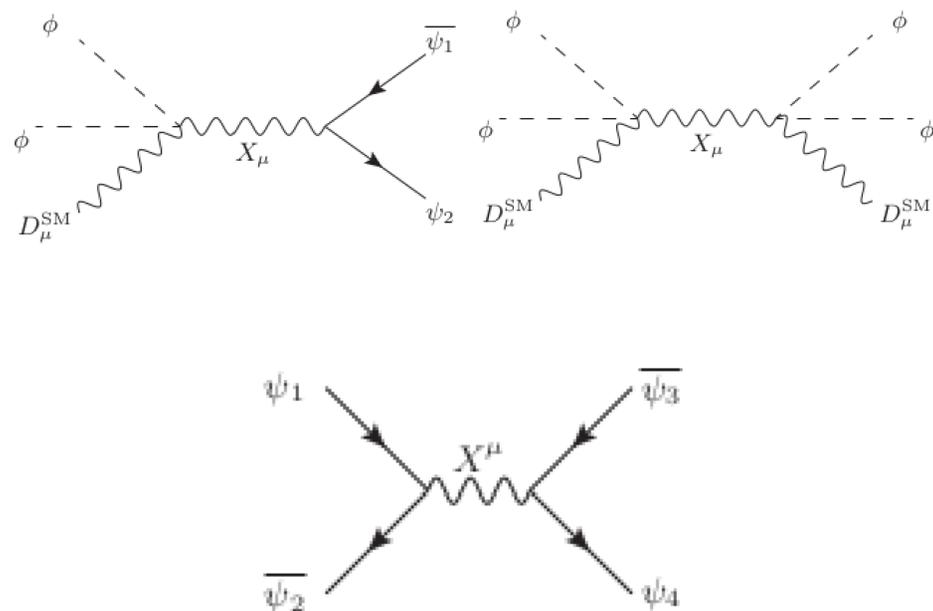
$$g_X \left[\frac{1}{6} (\overline{d_L} \ \overline{s_L} \ \overline{b_L}) \begin{pmatrix} 0 & 0 & 0 \\ 0 & \sin^2 \theta_{23} & \frac{1}{2} \sin 2\theta_{23} \\ 0 & \frac{1}{2} \sin 2\theta_{23} & \cos^2 \theta_{23} \end{pmatrix} \cancel{Z}' \begin{pmatrix} d_L \\ s_L \\ b_L \end{pmatrix} + \right. \\ \left. - \frac{1}{2} (\overline{e_L} \ \overline{\mu_L} \ \overline{\tau_L}) \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \cancel{Z}' \begin{pmatrix} e_L \\ \mu_L \\ \tau_L \end{pmatrix} \right]$$

B/EW Observables

$$\text{SMEFT}(M_{Z'}) \rightarrow \text{smelli} \rightarrow \text{WET}(M_W) \rightarrow \text{obs}(m_B)$$

In units of g_X^2/M_X^2 :

WC	value	WC	value
C_{ll}^{2222}	$-\frac{1}{8}$	$(C_{lq}^{(1)})^{22ij}$	$\frac{1}{12} A_\xi^{(d_L)} ij$
$(C_{qq}^{(1)})^{ijkl}$	$A_\xi^{(d_L)} ij A_\xi^{(d_L)} kl \frac{\delta_{ik}\delta_{jl}-2}{72}$	C_{ee}^{3333}	$-\frac{1}{2}$
C_{uu}^{3333}	$-\frac{2}{9}$	C_{dd}^{3333}	$-\frac{1}{18}$
C_{eu}^{3333}	$\frac{2}{3}$	C_{ed}^{3333}	$-\frac{1}{3}$
$(C_{ud}^{(1)})^{3333}$	$\frac{2}{9}$	C_{lc}^{2233}	$-\frac{1}{2}$
C_{lu}^{2233}	$\frac{1}{3}$	C_{ld}^{2233}	$-\frac{1}{6}$
C_{qe}^{ij33}	$\frac{1}{6} A_\xi^{(d_L)} ij$	$(C_{qu}^{(1)})^{ij33}$	$-\frac{1}{9} A_\xi^{(d_L)} ij$
$(C_{qd}^{(1)})^{ij33}$	$\frac{1}{18} A_\xi^{(d_L)} ij$	$(C_{\phi l}^{(1)})^{22}$	$\frac{1}{4}$
$(C_{\phi q}^{(1)})^{ij}$	$-\frac{1}{12} A_\xi^{(d_L)} ij$	$C_{\phi e}^{33}$	$\frac{1}{2}$
$C_{\phi u}^{33}$	$-\frac{1}{3}$	$C_{\phi d}^{33}$	$\frac{1}{6}$
$C_{\phi D}$	$-\frac{1}{2}$	$C_{\phi \square}$	$-\frac{1}{8}$



smelli observables

- 167 **quarks**: P'_5 , $BR(B_s \rightarrow \mu^+ \mu^-)$ and others with significant theory errors
- 21 **LFU FCNCs**: $R_K, R_{K^*}, B \rightarrow \text{di-tau}$ decays
- 31 EWPOs from LEP **not assuming lepton flavour universality**

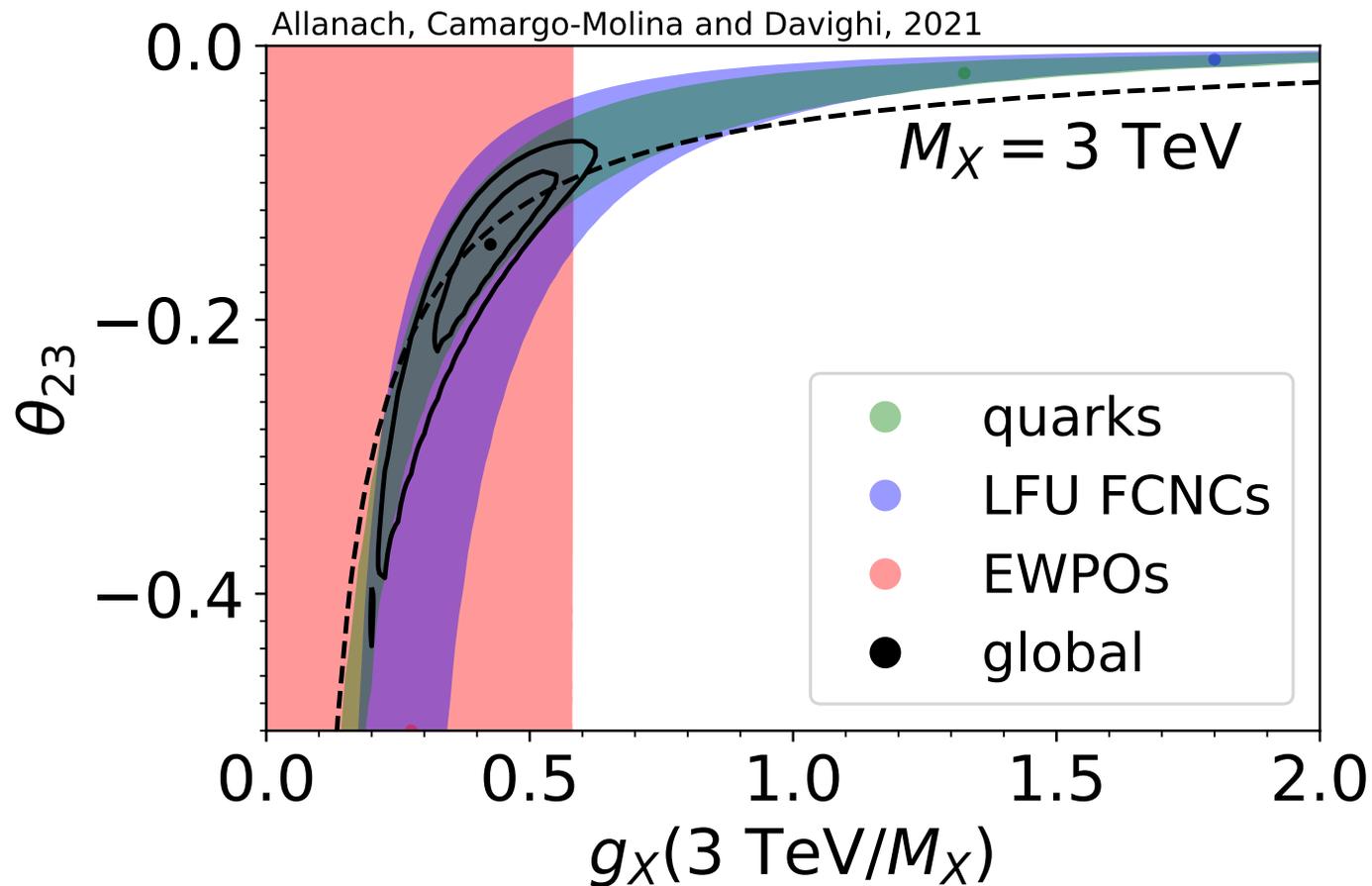
Theory uncertainties modelled as multi-variate Gaussians: approximated to be independent of new physics.

SM:

data set	χ^2	n	p -value
quarks	221.6	167	.003
LFU FCNCs	35.3	21	.026
EWPOs	35.7	31	.26
global	292.6	219	.00065

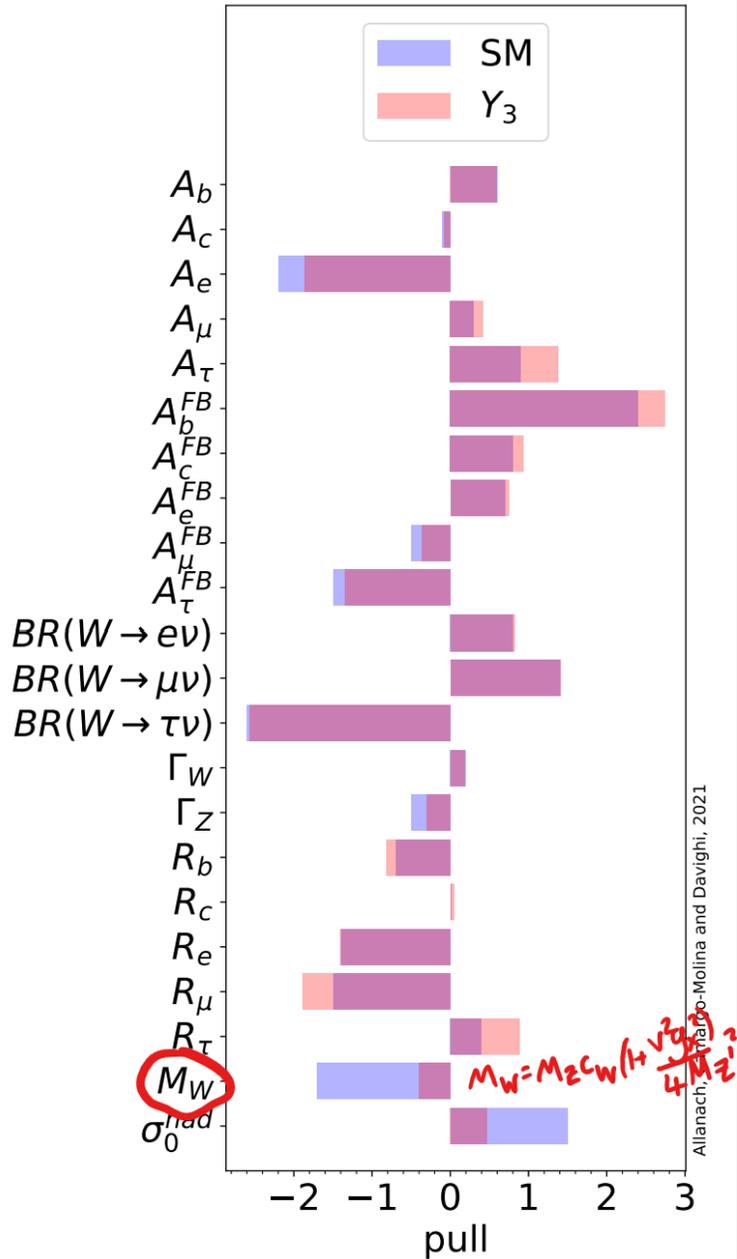
$\rightarrow 3.4$
 $B_d \rightarrow \tau\tau$

Y_3 Fit: 95% CL



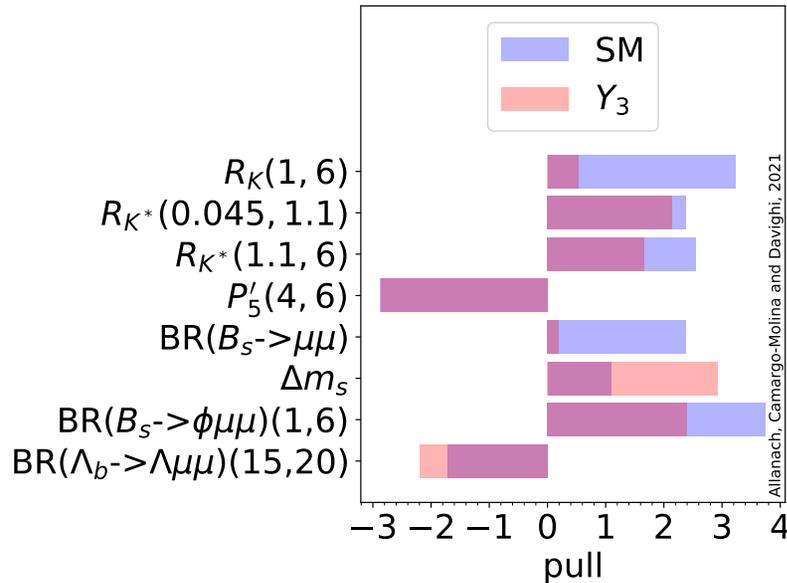
Relies on: smelli-2.2.0 (Aebischer, Kumar, Stangl, Straub, 1810.07698),
flavio-2.2.0 (Straub, 1810.08132), Wilson (Aebischer *et al*, 1712.05298)

Global Fits $M_{Z'} = 3 \text{ TeV}$



data set	χ^2	n	p -value
quarks	221.3	167	.0031
LFU FCNCs	35.3	21	.026
EWPOs	35.7	31	.26
global	292.3	219	.00067

data set	χ^2	n	p -value
quarks	192.8	167	.068
LFU FCNCs	21.0	21	.34
EWPOs	36.0	31	.17
global	249.9	219	.062



Other Z' Decay Modes

Mode	BR	Mode	BR	Mode	BR
$t\bar{t}$	0.42	$b\bar{b}$	0.12	$\nu\bar{\nu}'$	0.08
$\mu^+\mu^-$	0.08	$\tau^+\tau^-$	0.30	other $f_i f_j$	$\sim \mathcal{O}(10^{-4})$

LEP LFU

$$g_X^2 \left(\frac{M_Z}{M_{Z'}} \right)^2 \leq 0.004 \Rightarrow g_X \leq \frac{M_{Z'}}{1.3 \text{ TeV}}.$$

It's worth chasing $BR(B \rightarrow K^{(*)} \tau^\pm \tau^\mp)$.

$Z' \rightarrow \mu\mu$ **ATLAS 13 TeV 139** **fb⁻¹**

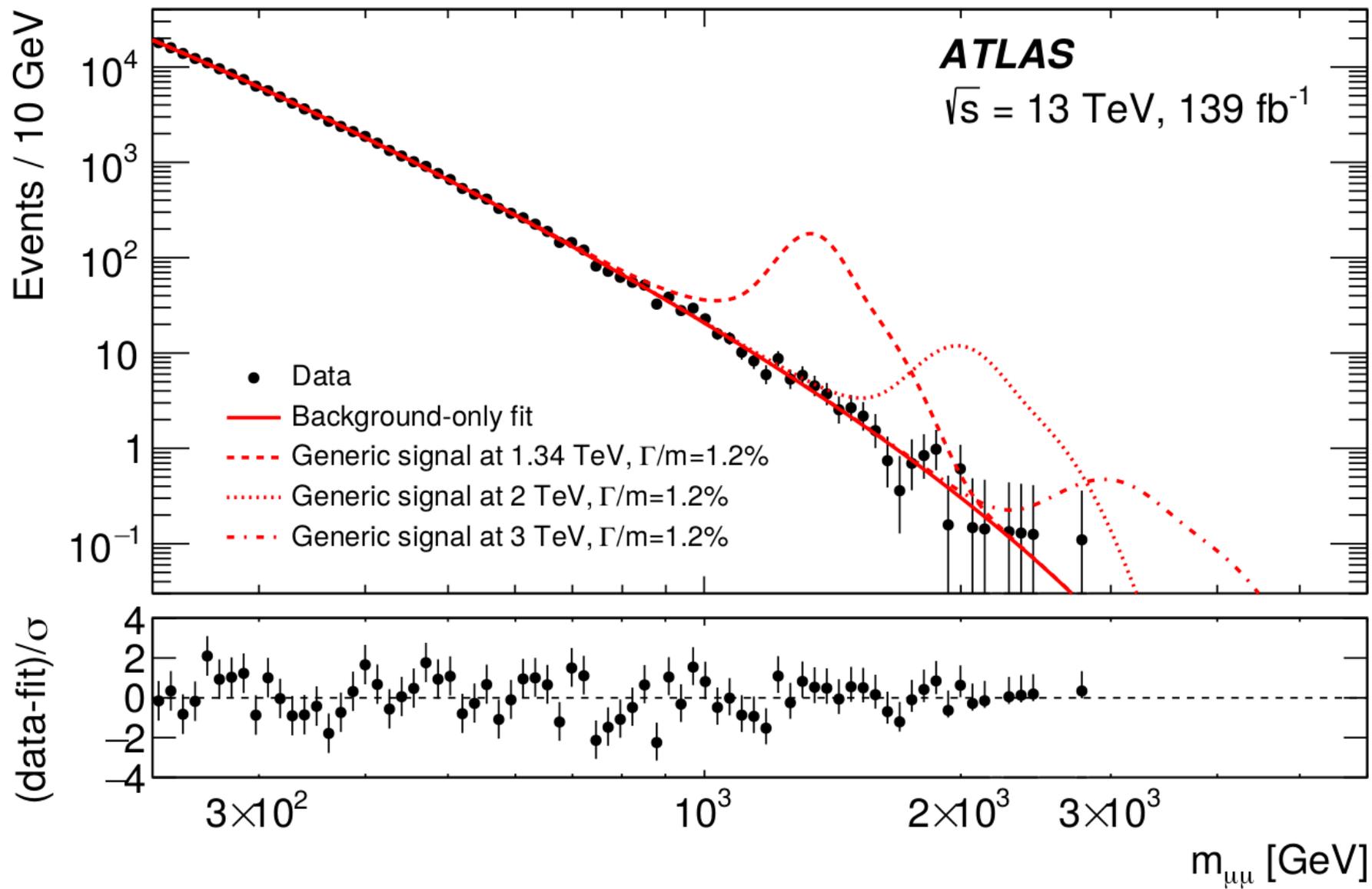
ATLAS analysis: look for two track-based isolated μ , $p_T > 30$ GeV. One reconstructed primary vertex. Keep only highest scalar sum p_T pair⁴

$$m_{\mu_1\mu_2}^2 = (p_1^\mu + p_2^\mu) (p_{1\mu} + p_{2\mu})$$

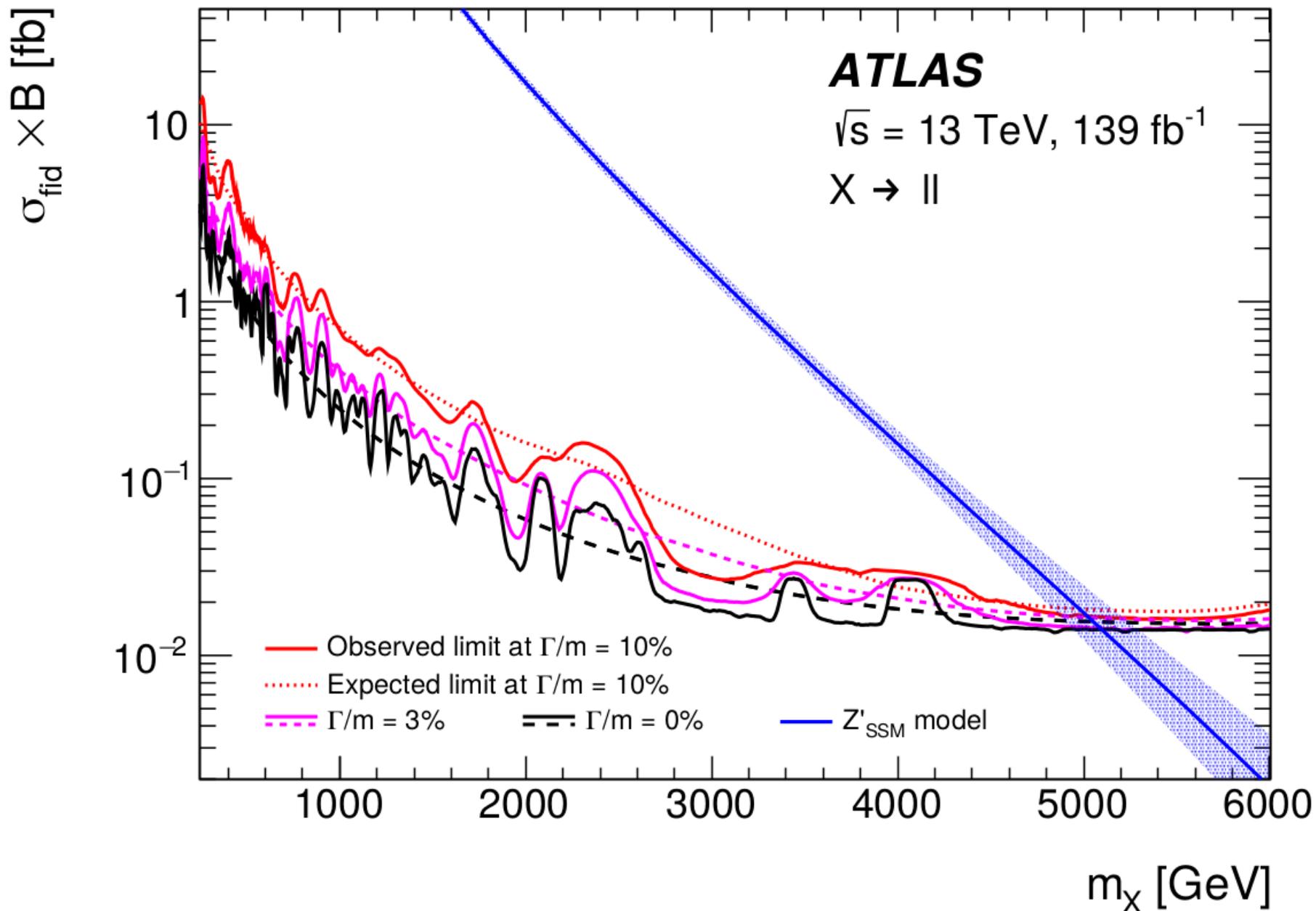
CMS also have released⁵ a 139 fb⁻¹ analysis.

⁴1903.06248

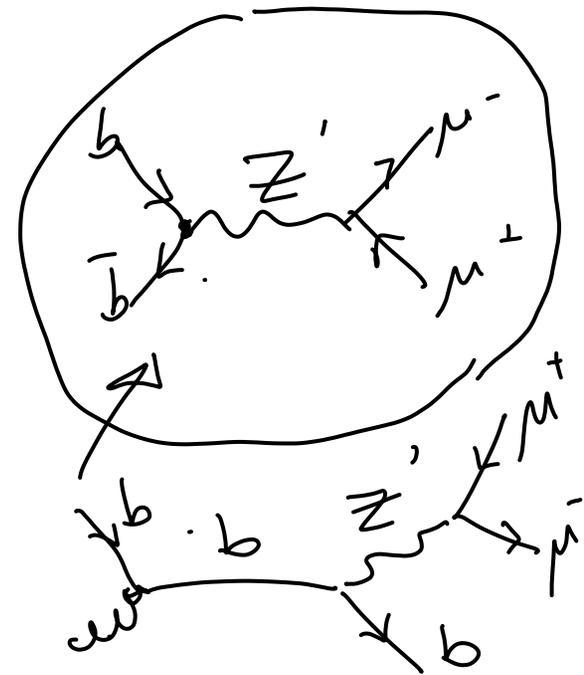
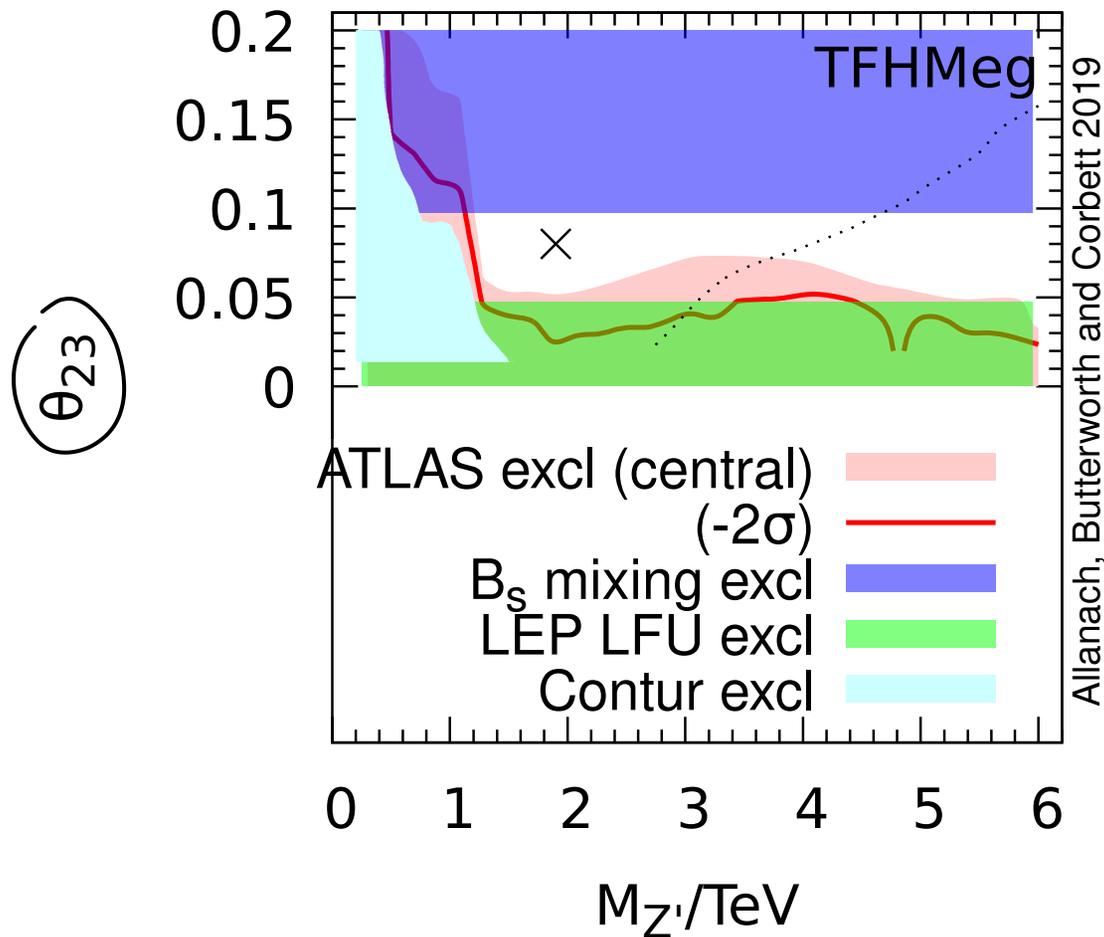
⁵2103.02708



ATLAS l^+l^- limits



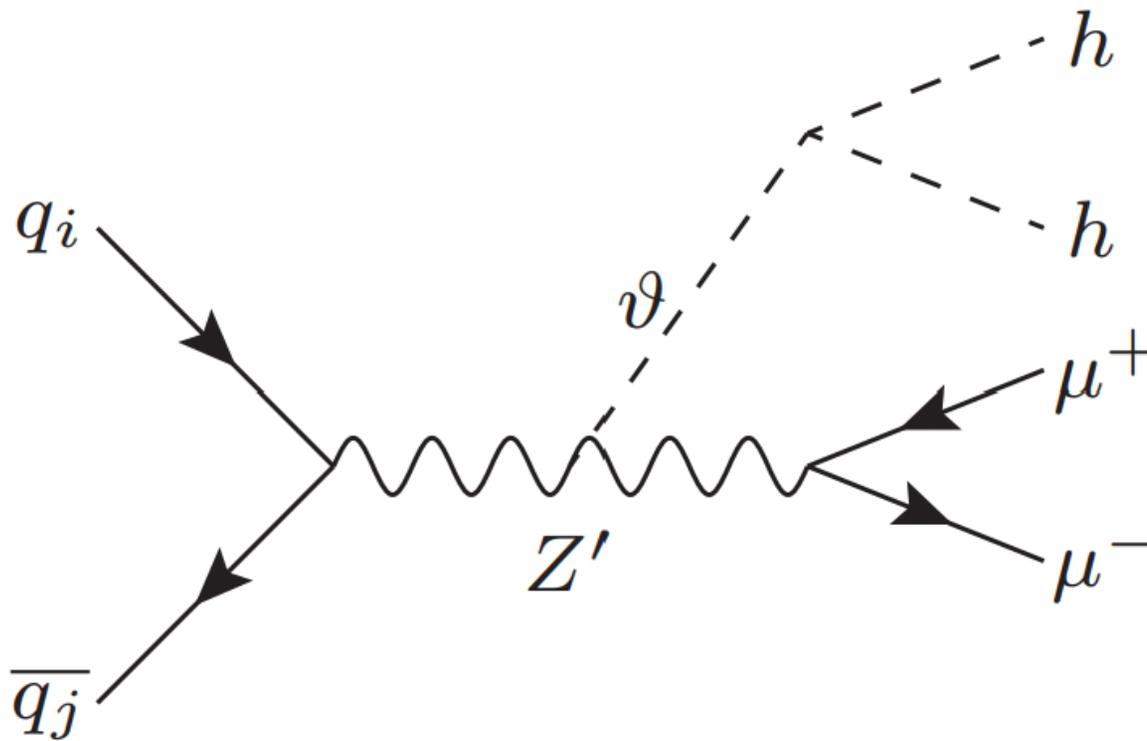
$$g_X \propto M_{Z'} / \sqrt{\sin 2\theta_{23}}^6$$



⁶BCA, Butterworth, Corbett, 1904.10954, *doesn't include 2021 LHCb data*

Flavonstrahlung⁷

Models of this ilk possess $\mathcal{L} = \lambda H H^\dagger \theta \theta^\dagger \Rightarrow$ a *flavonstrahlung* signature:



⁷BCA, 2009.02197

Summary

The Third Family Hypercharge Model is a simple and successful model. Global 2-parameter fits to 217 electroweak and neutral current B -anomalies data:

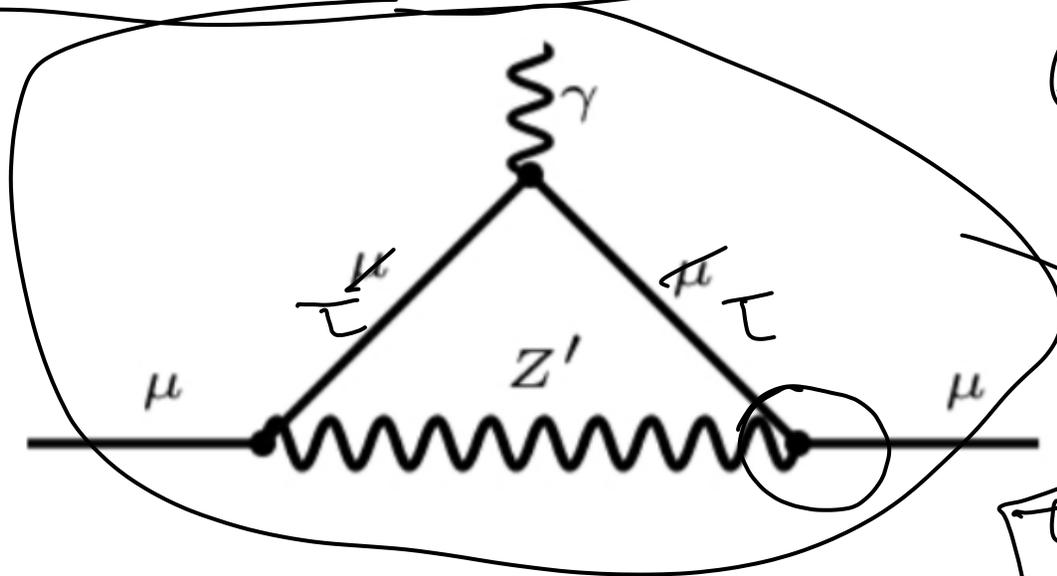
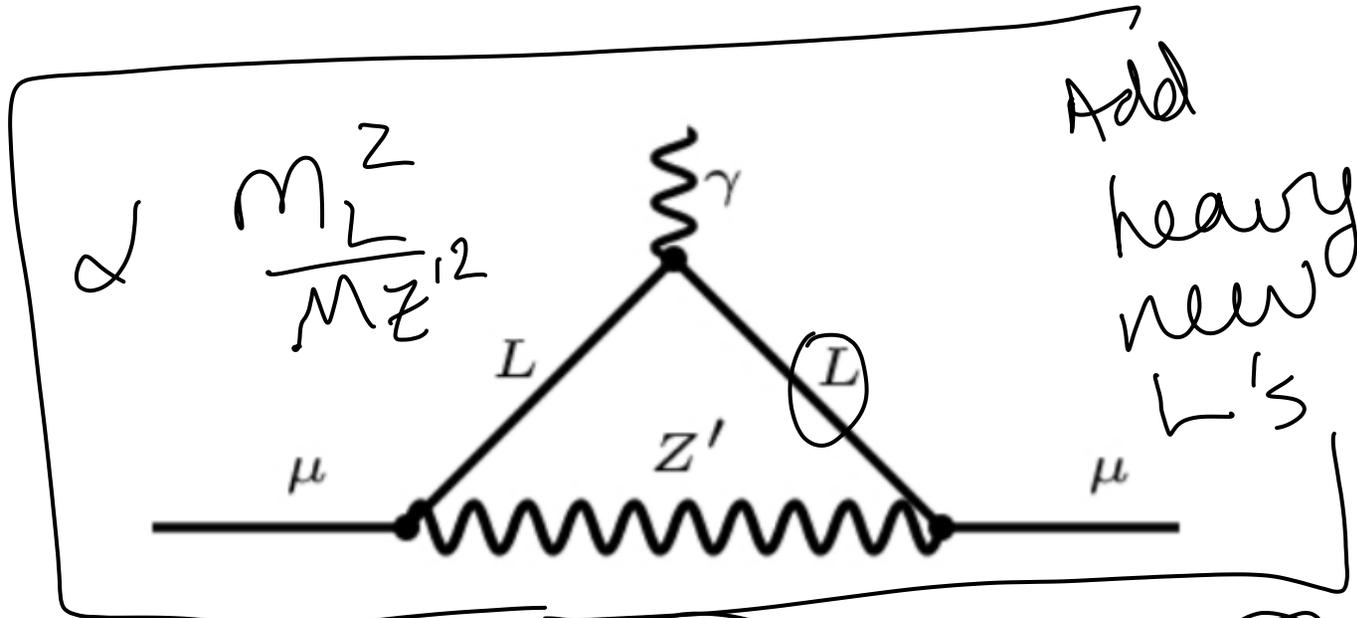
model	p -value	$\sqrt{\chi_{SM}^2 - \chi^2}$
SM	.00065	0
Y_3	.062	6.5σ

NB perturbativity $\Rightarrow M_{Z'} < 8$ TeV.

The answers to the questions raised by the B -anomalies may provide a **direct experimental probe into the flavour problem.**

Backup

$$(g - 2)_\mu$$



$$\frac{m_\tau^2}{M Z'^2}$$

$$\tau \rightarrow \mu^+ \nu \mu^-$$

Trident Neutrino Process

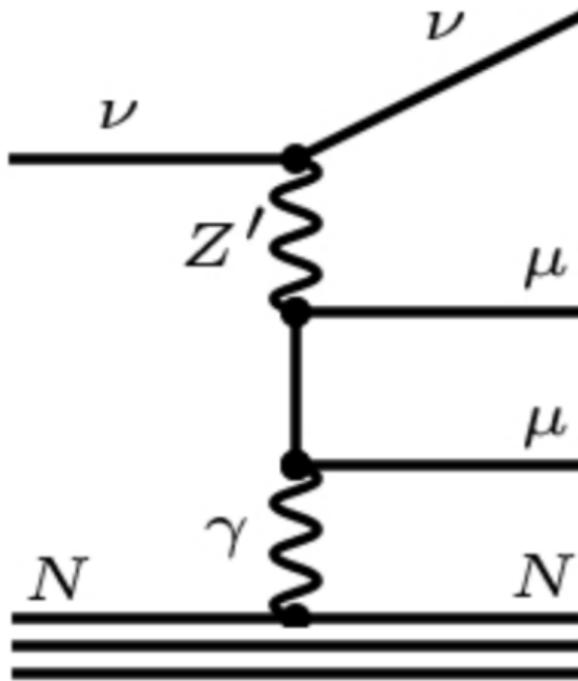
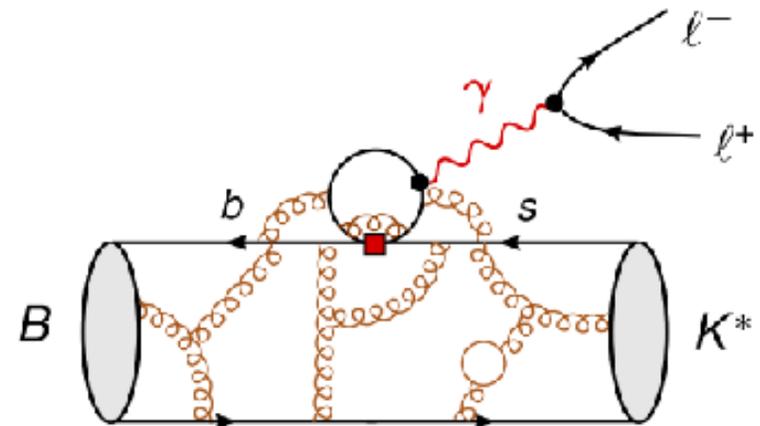


FIG. 10. Neutrino trident process that leads to constraints on the Z^μ coupling strength to neutrinos-muons, namely $M_{Z'}/g_{\nu\mu} \gtrsim 750$ GeV.

Hadronic Uncertainties

- ▶ Hadronic effects like charm loop are photon-mediated \Rightarrow vector-like coupling to leptons just like C_9



- ▶ How to disentangle NP \leftrightarrow QCD?
 - ▶ Hadronic effect can have different q^2 dependence
 - ▶ Hadronic effect is lepton flavour universal ($\rightarrow R_K!$)

Wilson Coefficients c_{ij}^l

In SM, can form an **EFT** since $m_B \ll M_W$:

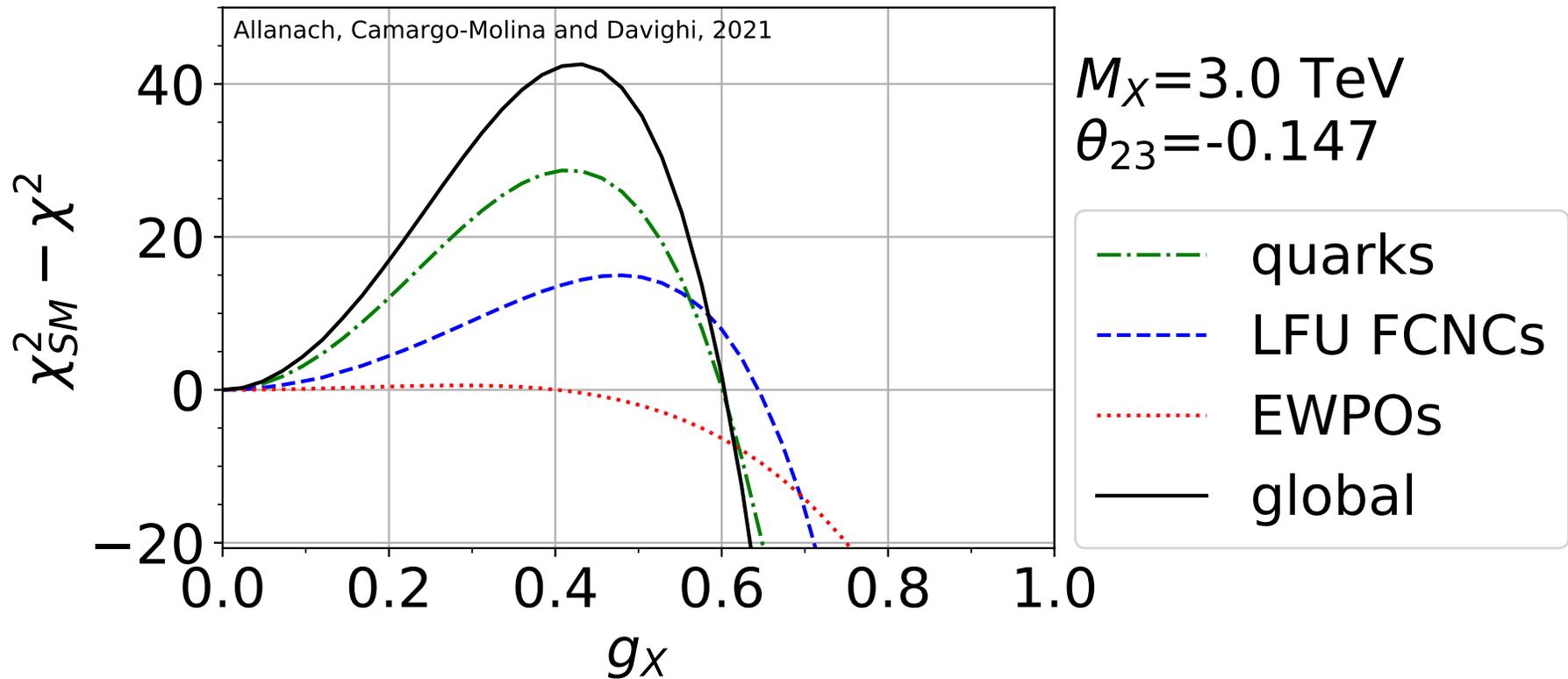
$$\mathcal{L}_{\text{eff}} = \frac{1}{(36 \text{ TeV})^2} c_{ij}^l (\bar{s} \gamma^\mu P_i b) (\bar{l} \gamma_\mu P_j l) \quad (1)$$

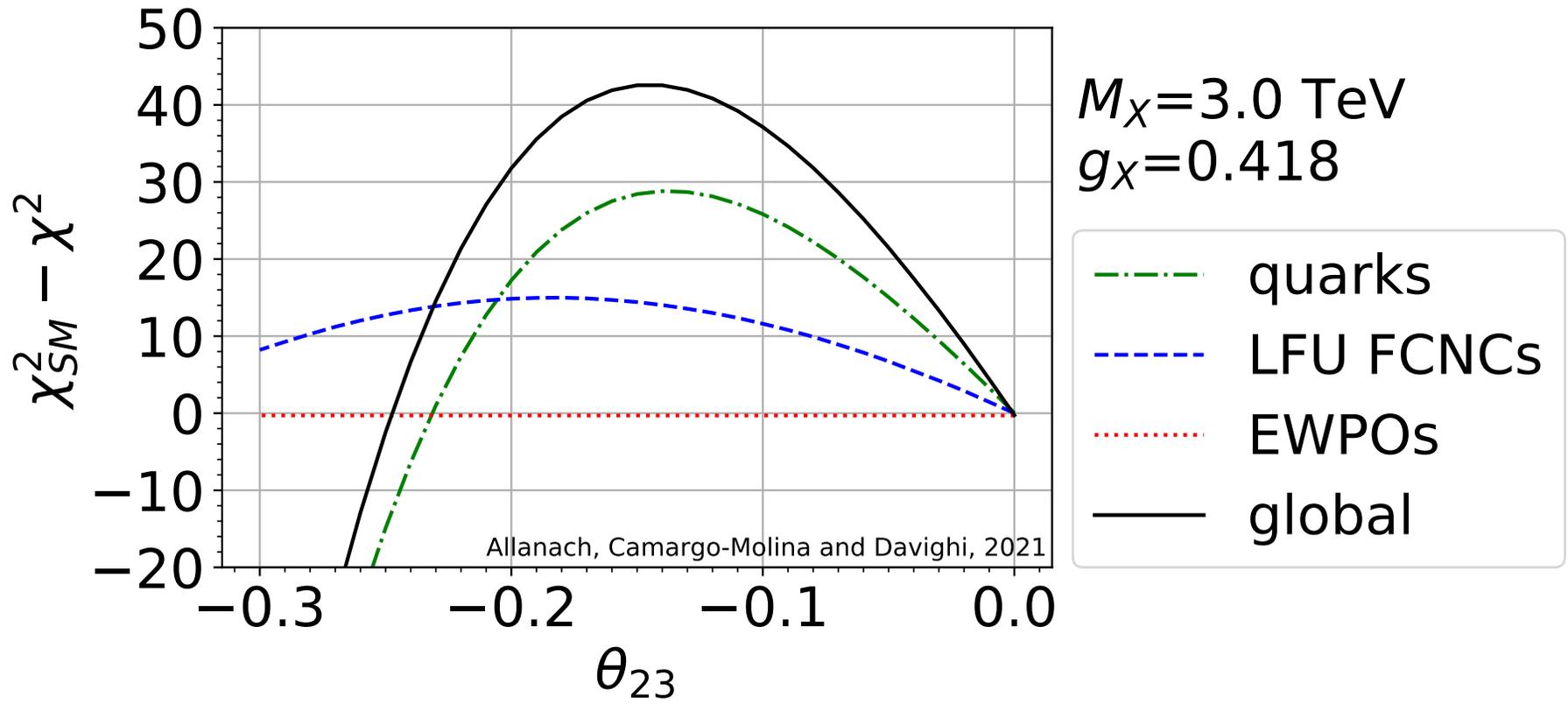
One loop weak interactions give $c_{ij}^l \sim \pm \mathcal{O}(1)$ in SM.

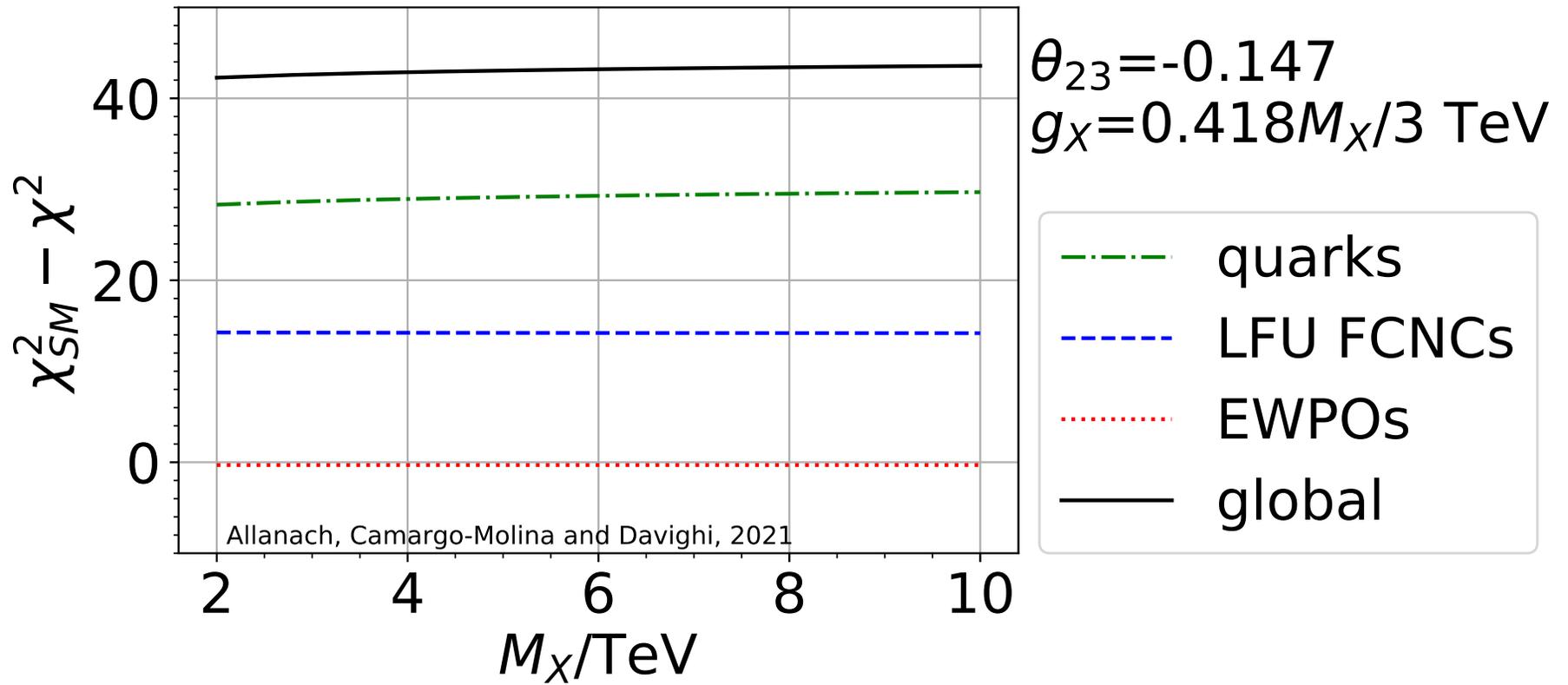
$$(1/36 \text{ TeV})^2 = V_{tb} V_{ts}^* \alpha / (4\pi v^2).$$

From now on, c_{ij}^l refer to *beyond* SM contribution.

TFHM Near best-fit point







Which Ones Work?

Options for a single *BSM* operator:

- c_{ij}^e operators fine for $R_{K^{(*)}}$ but are disfavoured by global fits including other observables.
- c_{LR}^μ disfavoured: predicts *enhancement* in both R_K and R_{K^*}
- c_{RR}^μ, c_{RL}^μ disfavoured: they pull R_K and R_{K^*} in *opposite directions*.
- $c_{LL}^\mu = -1.06$ fits well globally⁸.

⁸D'Amico et al, 1704.05438; Aebischer et al 1903.10434.

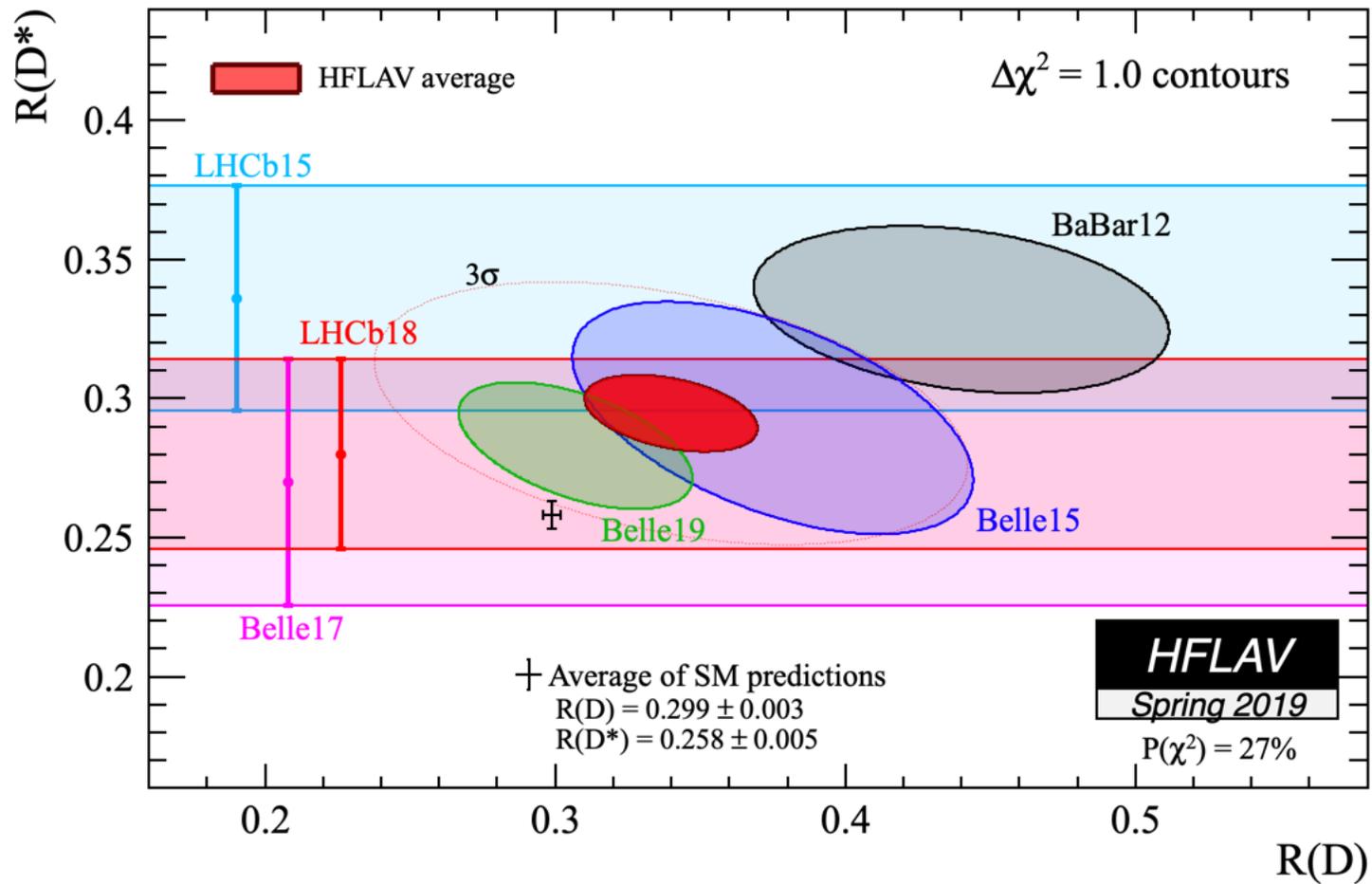
Invisible Width of Z Boson

$$\Gamma_{\text{inv}}^{(\text{exp})} = 499.0 \pm 1.5 \text{ MeV}, \text{ whereas } \Gamma_{\text{inv}}^{(\text{SM})} = 501.44 \text{ MeV}.$$

$$\Rightarrow \Delta\Gamma^{(\text{exp})} = \Gamma_{\text{inv}}^{(\text{exp})} - \Gamma_{\text{inv}}^{(\text{SM})} = -2.5 \pm 1.5 \text{ MeV}.$$

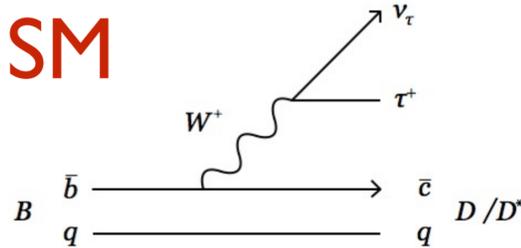
$$\begin{aligned} \mathcal{L}_{\bar{\nu}\nu Z} = & -\frac{g}{2 \cos \theta_w} \overline{\nu'_{Le}} \not{Z} P_L \nu'_{Le} \\ & -\overline{\nu'_{L\mu}} \left(\frac{g}{2 \cos \theta_w} + \frac{5}{6} g_F \sin \alpha_z \right) \not{Z} \nu'_{L\mu} \\ & -\overline{\nu'_{L\tau}} \left(\frac{g}{2 \cos \theta_w} - \frac{8}{6} g_F \sin \alpha_z \right) \not{Z} \nu'_{L\tau}. \end{aligned}$$

$$R_{D^{(*)}} = BR(B^- \rightarrow D^{(*)}\tau\nu) / BR(B^- \rightarrow D^{(*)}\mu\nu)$$



$R_{D^{(*)}}$: BSM Explanation

SM



... has to compete with

$$\mathcal{L}_{eff} = -\frac{2}{\Lambda^2} (\bar{c}_L \gamma^\mu b_L) (\bar{\tau}_L \gamma_\mu \nu_{\tau L}) + H.c.$$

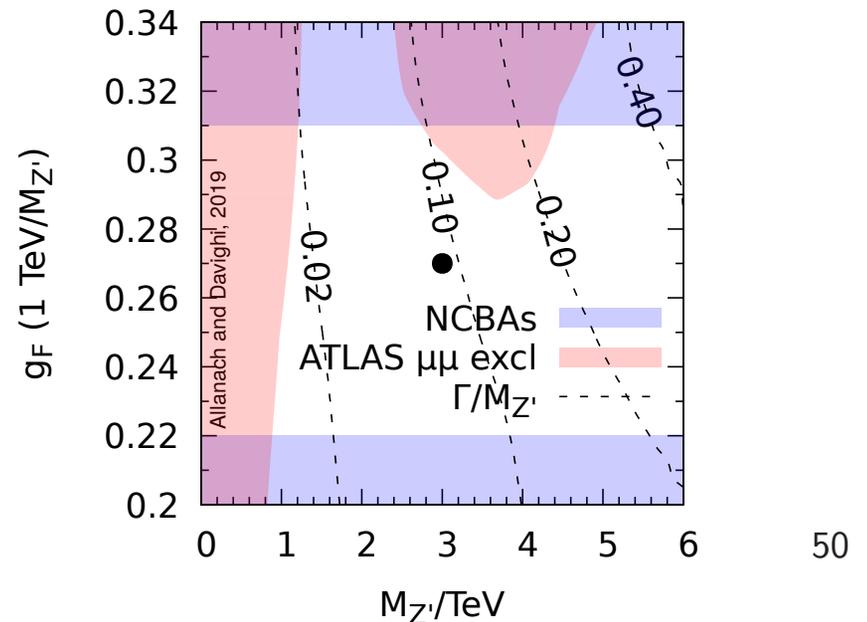
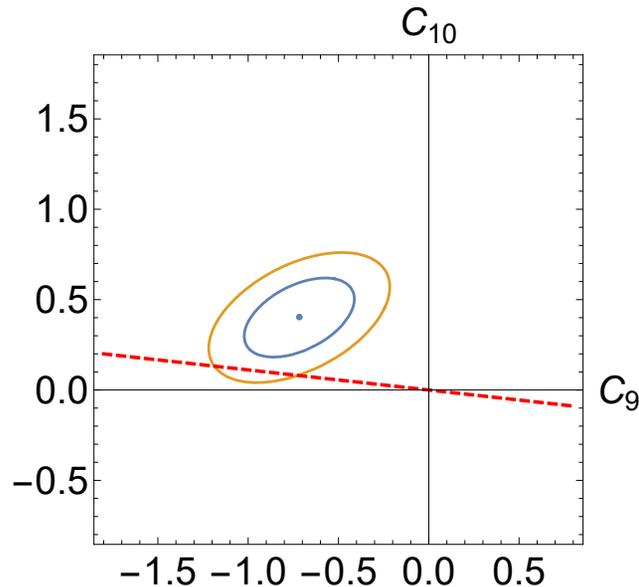
$$\Lambda = 3.4 \text{ TeV}$$

A factor 10 lower than required for $R_{K^{()}} \Rightarrow$ different explanation?*

Deformed TFHM

$$\begin{array}{cccc}
 F_{Q'_i} = 0 & F_{u_{R'_i}} = 0 & F_{d_{R'_i}} = 0 & F_H = -1/2 \\
 F_{e_{R'_1}} = 0 & F_{e_{R'_2}} = 2/3 & F_{e_{R'_3}} = -5/3 & \\
 F_{L'_1} = 0 & F_{L'_2} = 5/6 & F_{L'_3} = -4/3 & \\
 F_{Q'_3} = 1/6 & F_{u'_{R3}} = 2/3 & F_{d'_{R3}} = -1/3 & F_\theta \neq 0
 \end{array}$$

$$\mathcal{L} = Y_t \overline{Q'_{3L}} H t'_R + Y_b \overline{Q'_{3L}} H^c b'_R + H.c.,$$



Neutrino Masses

At dimension 5:

$$\mathcal{L}_{SS} = \frac{1}{2M} (L'_3{}^T H^c) (L'_3 H^c),$$

but if we add RH neutrinos, then integrate them out

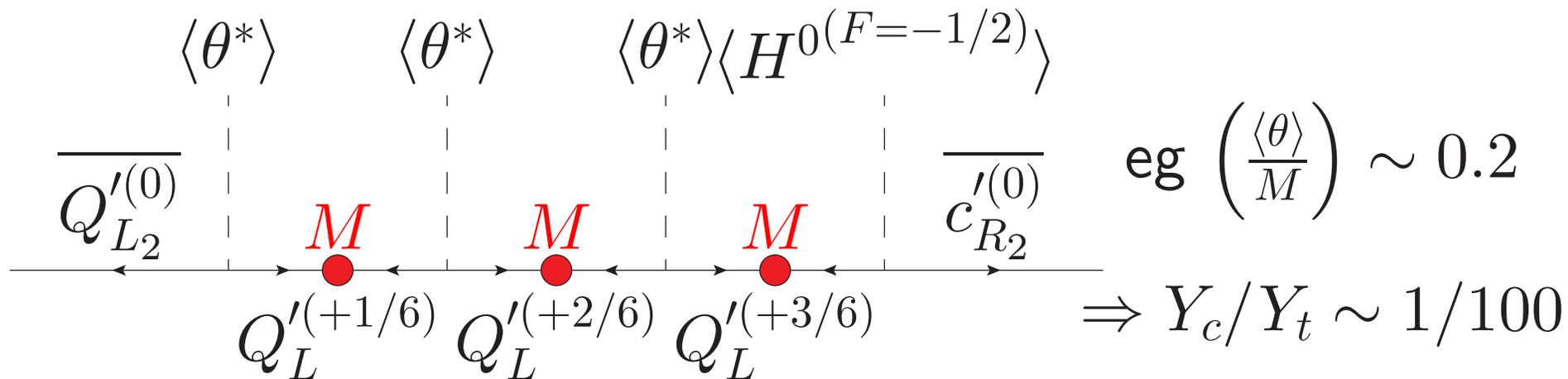
$$\mathcal{L}_{SS} = 1/2 \sum_{ij} (L'_i H^c) (M^{-1})_{ij} (L'_j H^c),$$

where now $(M^{-1})_{ij}$ may well have a non-trivial structure. If $(M^{-1})_{ij}$ are of same order, large PMNS mixing results.

Froggatt Neilsen Mechanism⁹

A means of generating the non-renormalisable Yukawa terms, e.g. $X_\theta = 1/6$:

$$Y_c \overline{Q'_{L2}}^{(F=0)} H^{(F=-1/2)} c'_R{}^{(F=0)} \sim \mathcal{O} \left[\left(\frac{\langle \theta \rangle}{M} \right)^3 \overline{Q'_{L2}} H c'_R \right]$$



⁹C Froggatt and H Neilsen, NPB147 (1979) 277