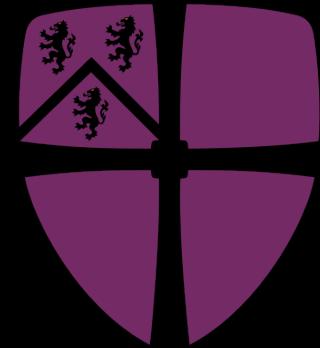


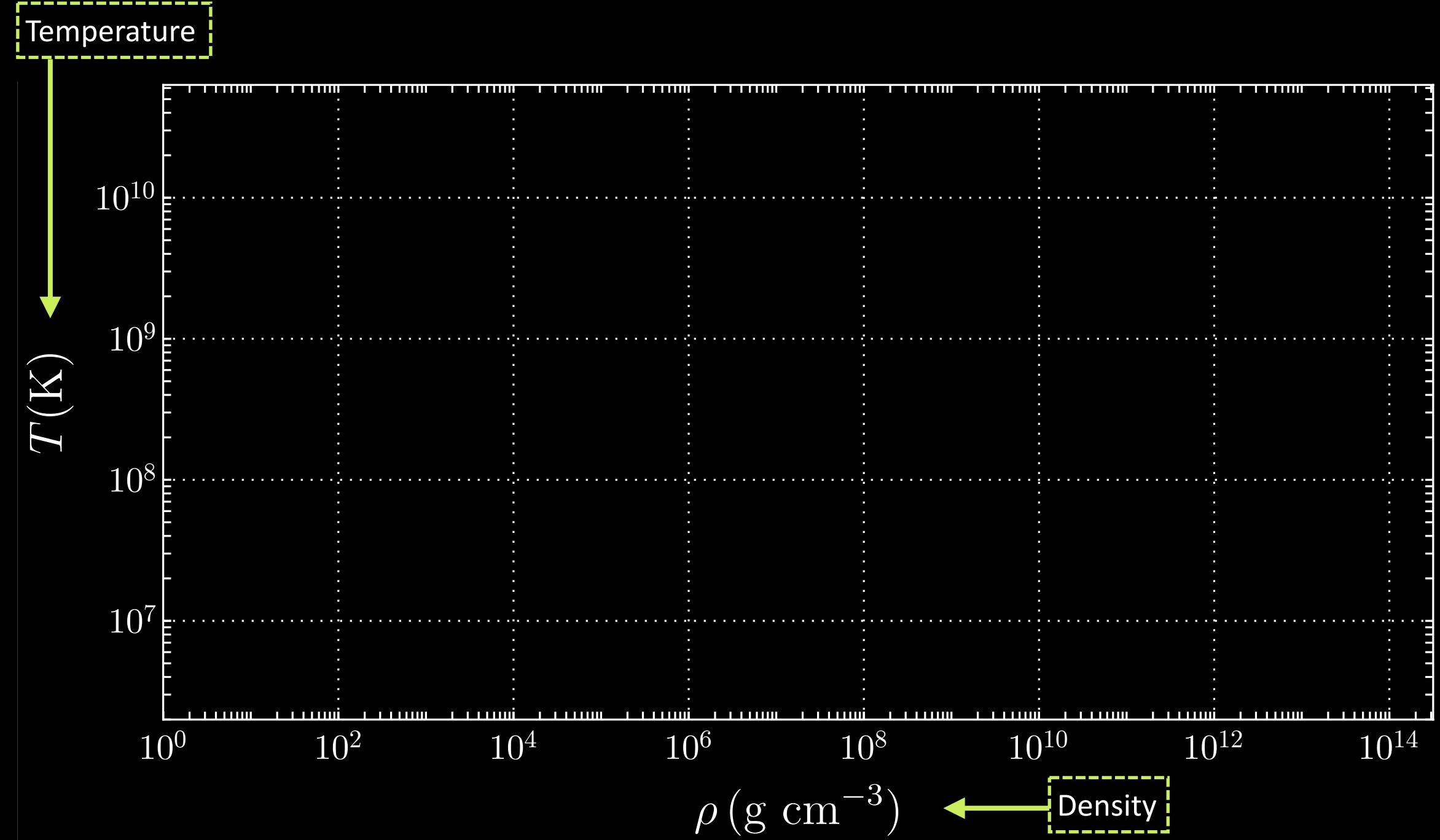
# Black hole archaeology with gravitational waves

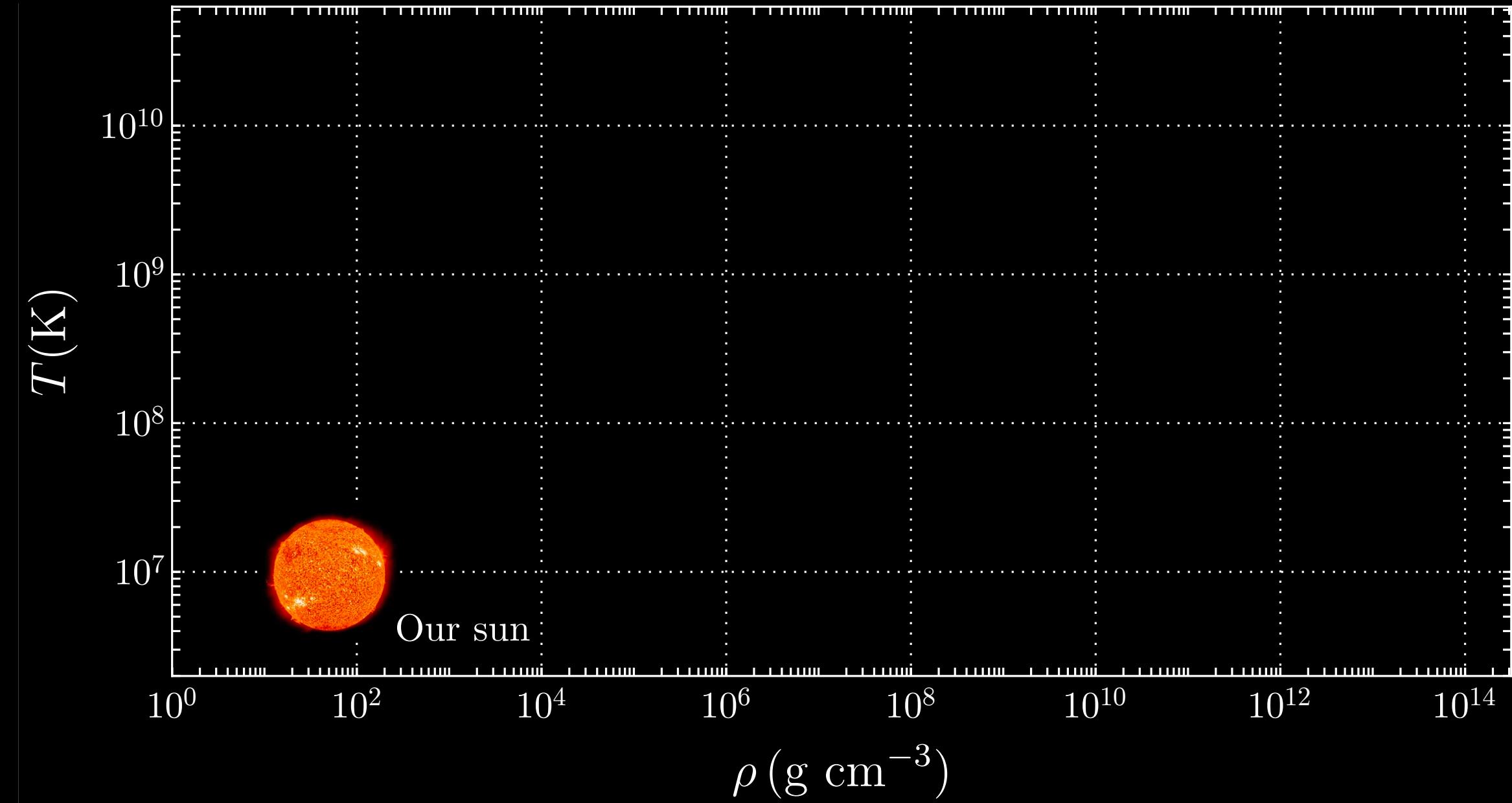
Djuna Lize Croon ([IPPP Durham](#))

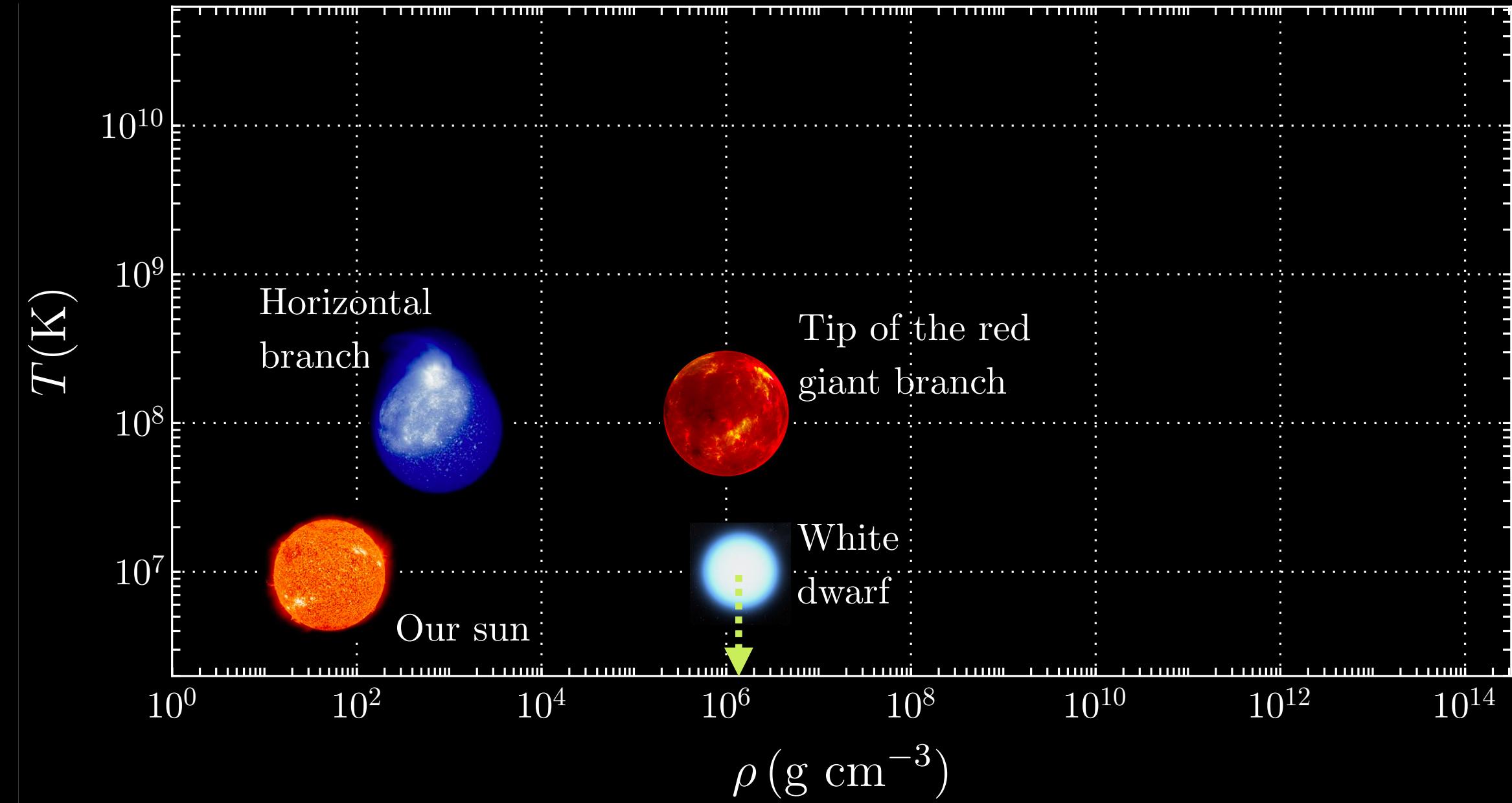
KCL seminar, May 2022

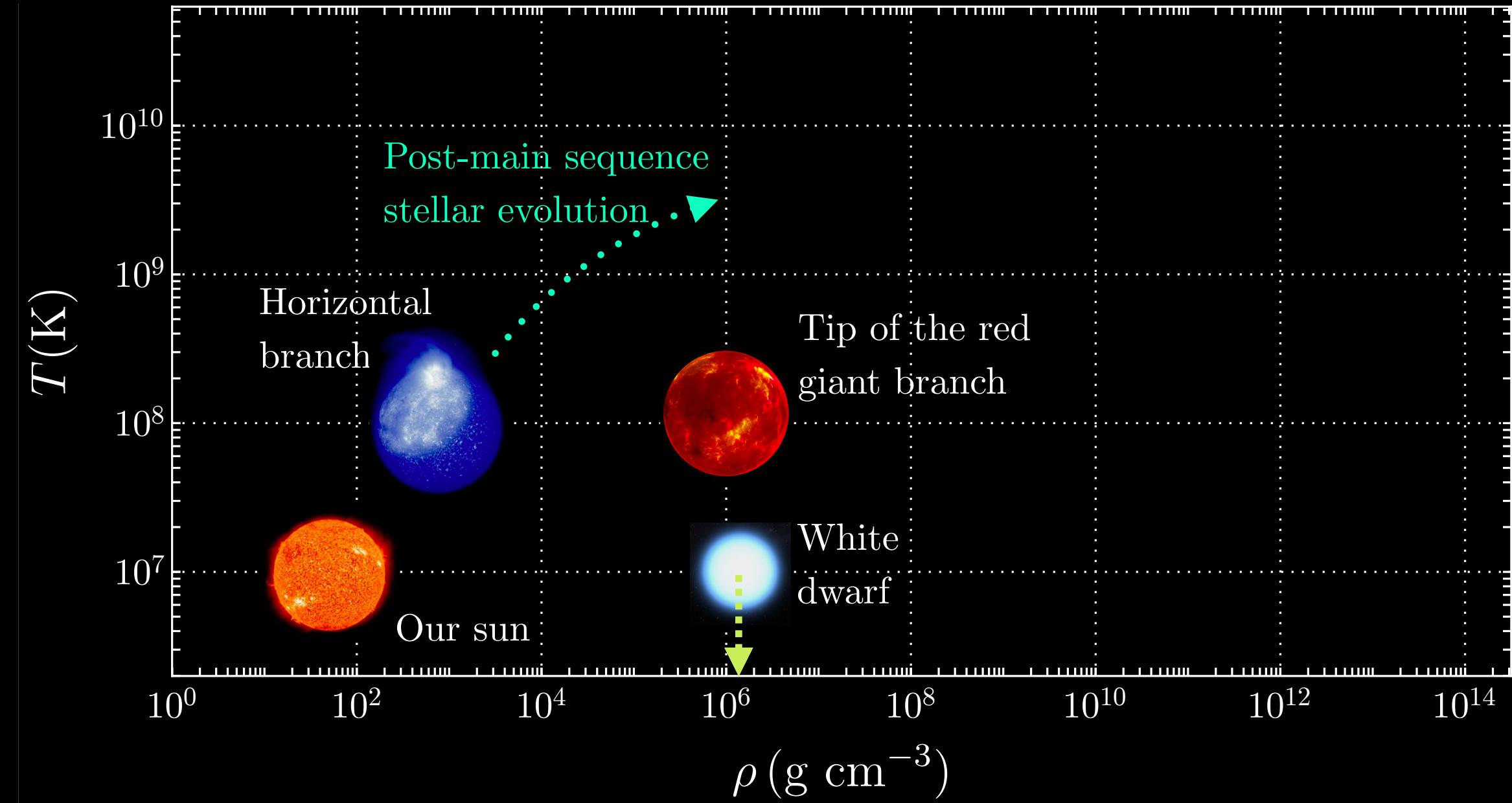
[djuna.l.croon@durham.ac.uk](mailto:djuna.l.croon@durham.ac.uk) | [djunacroon.com](http://djunacroon.com)

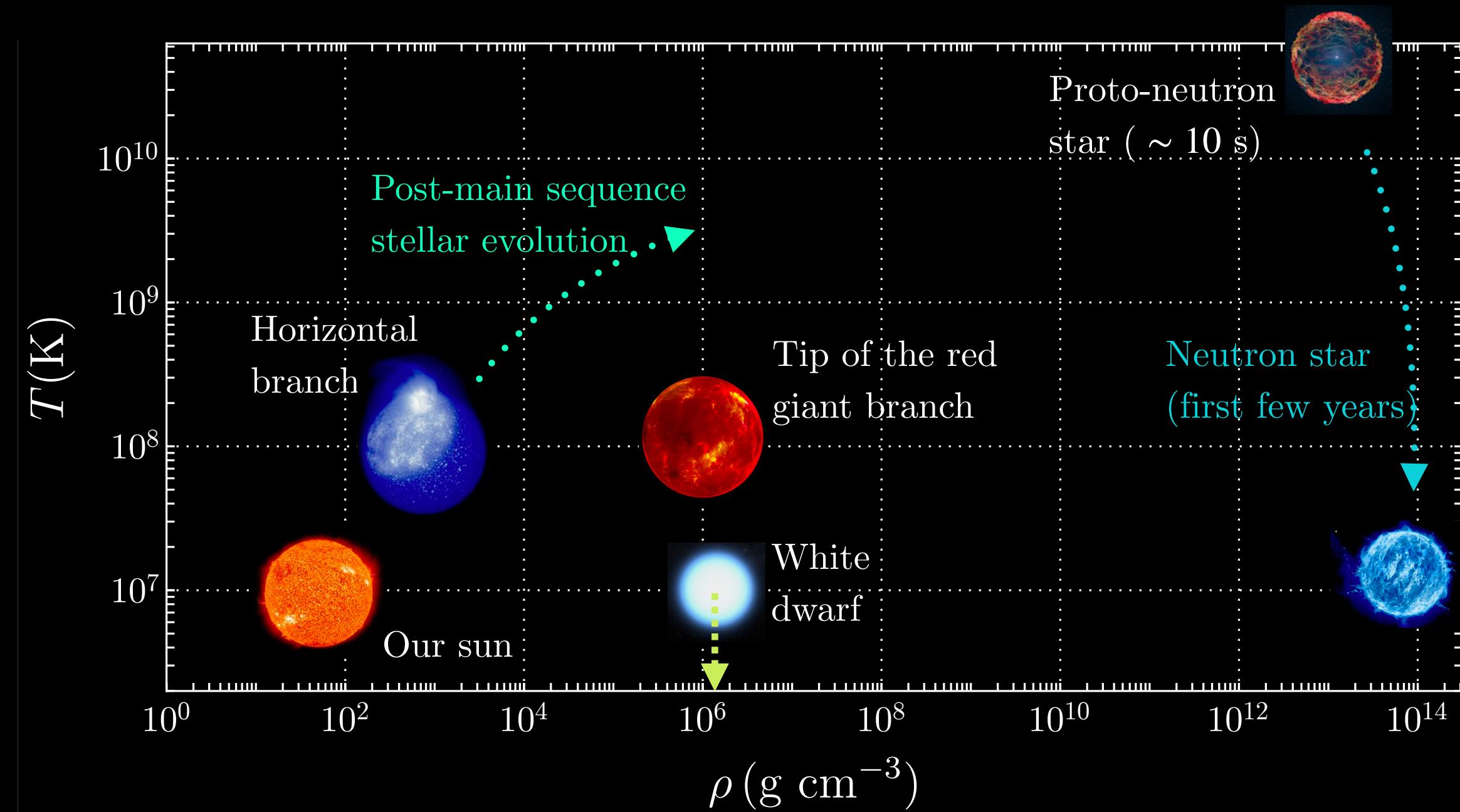


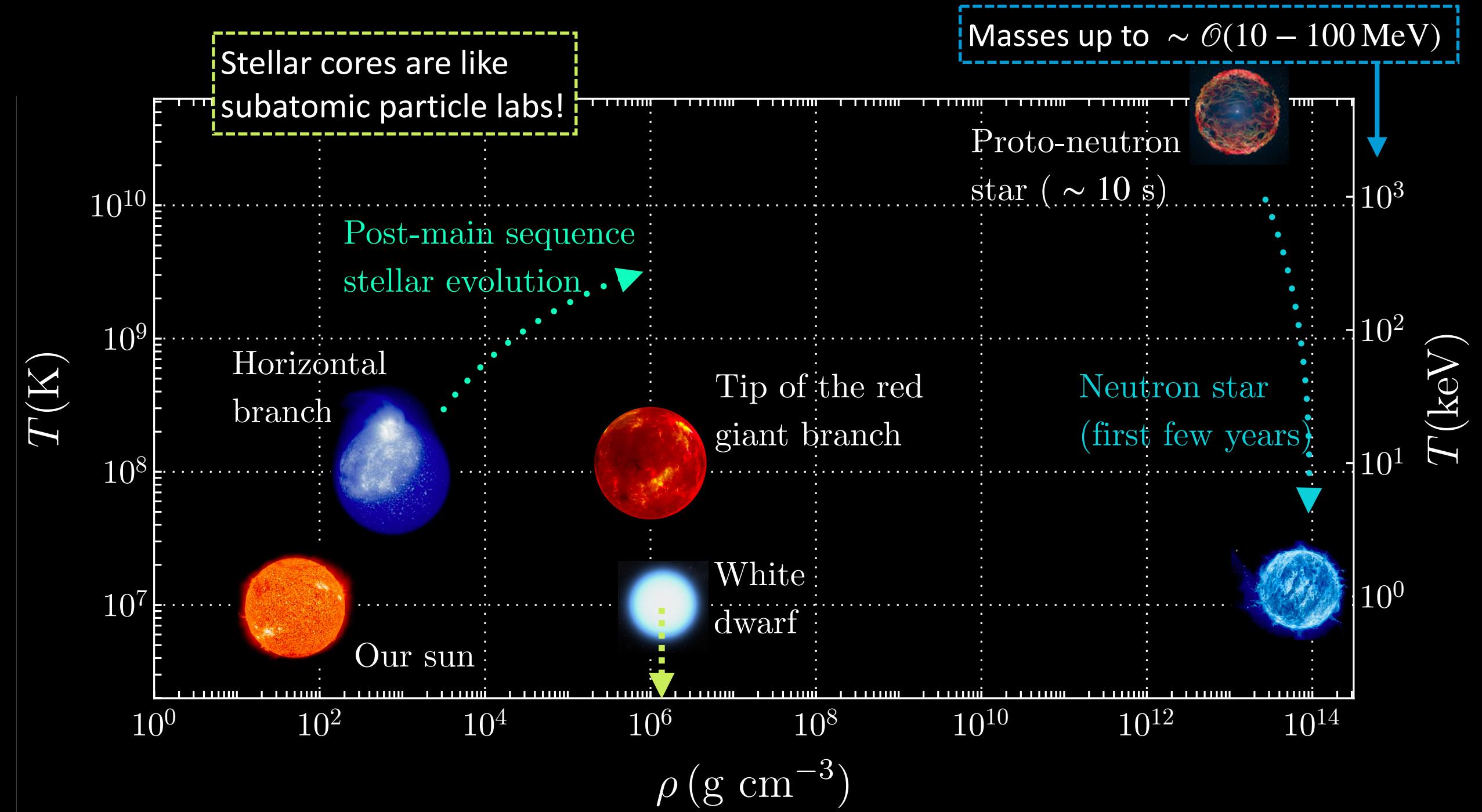




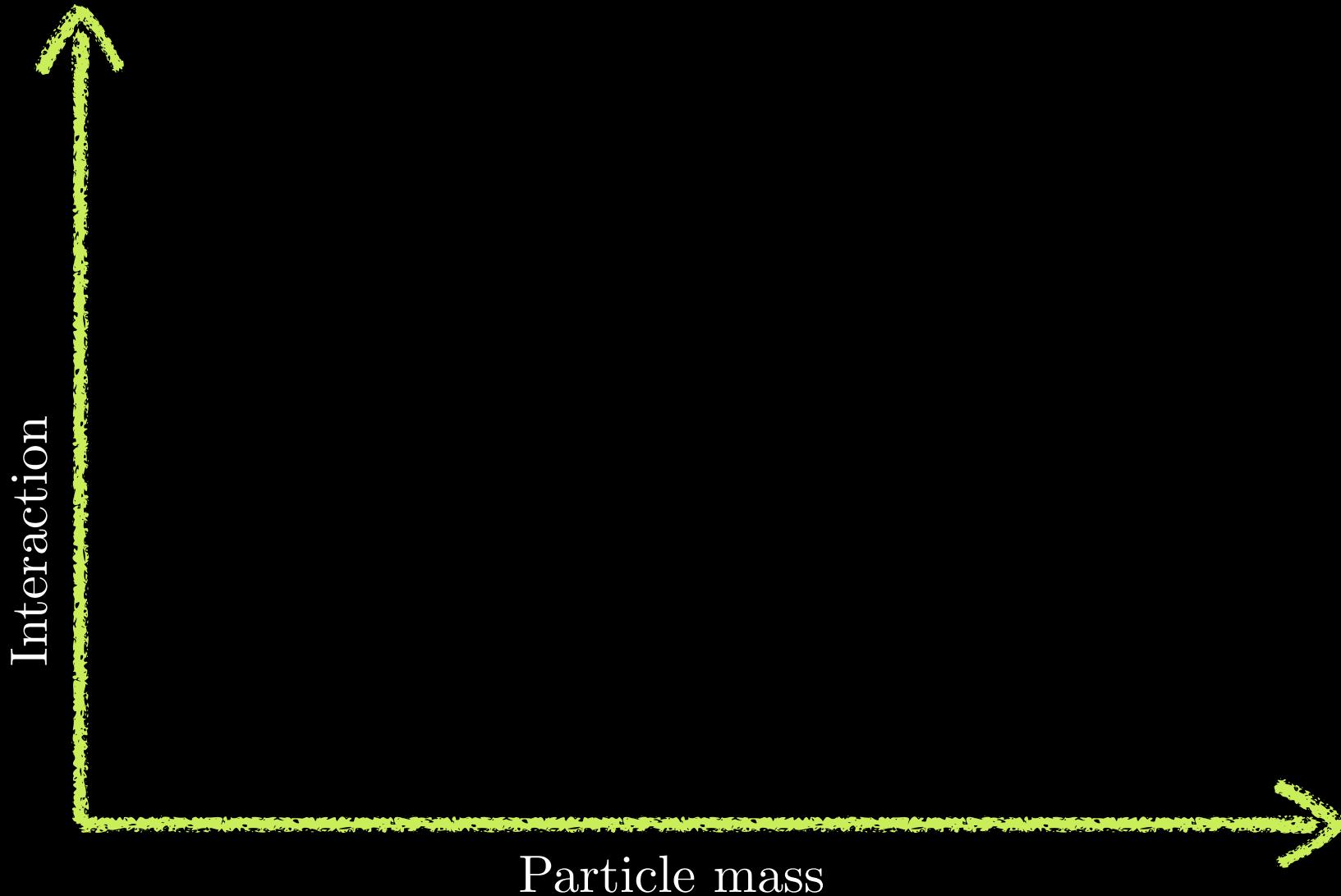




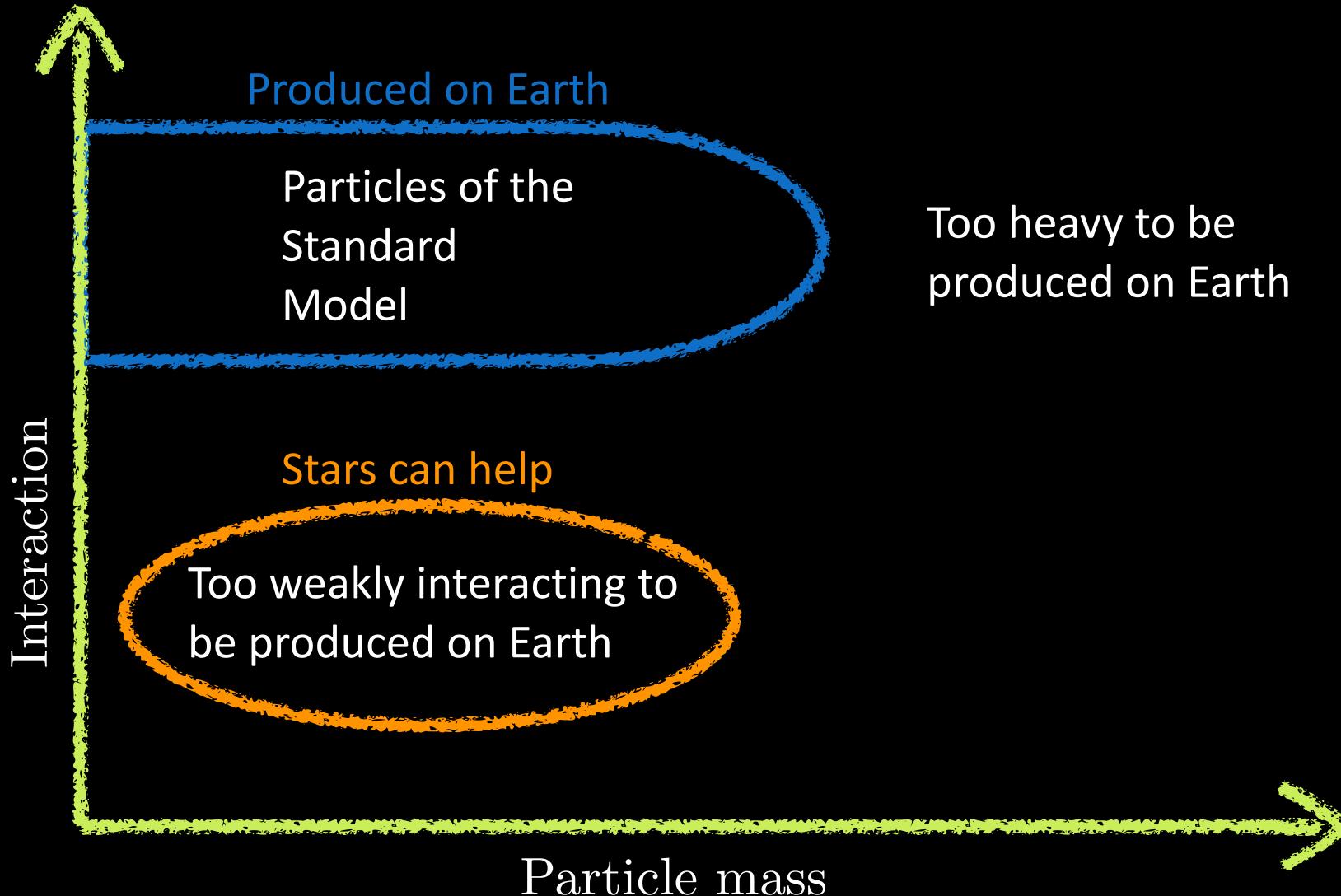




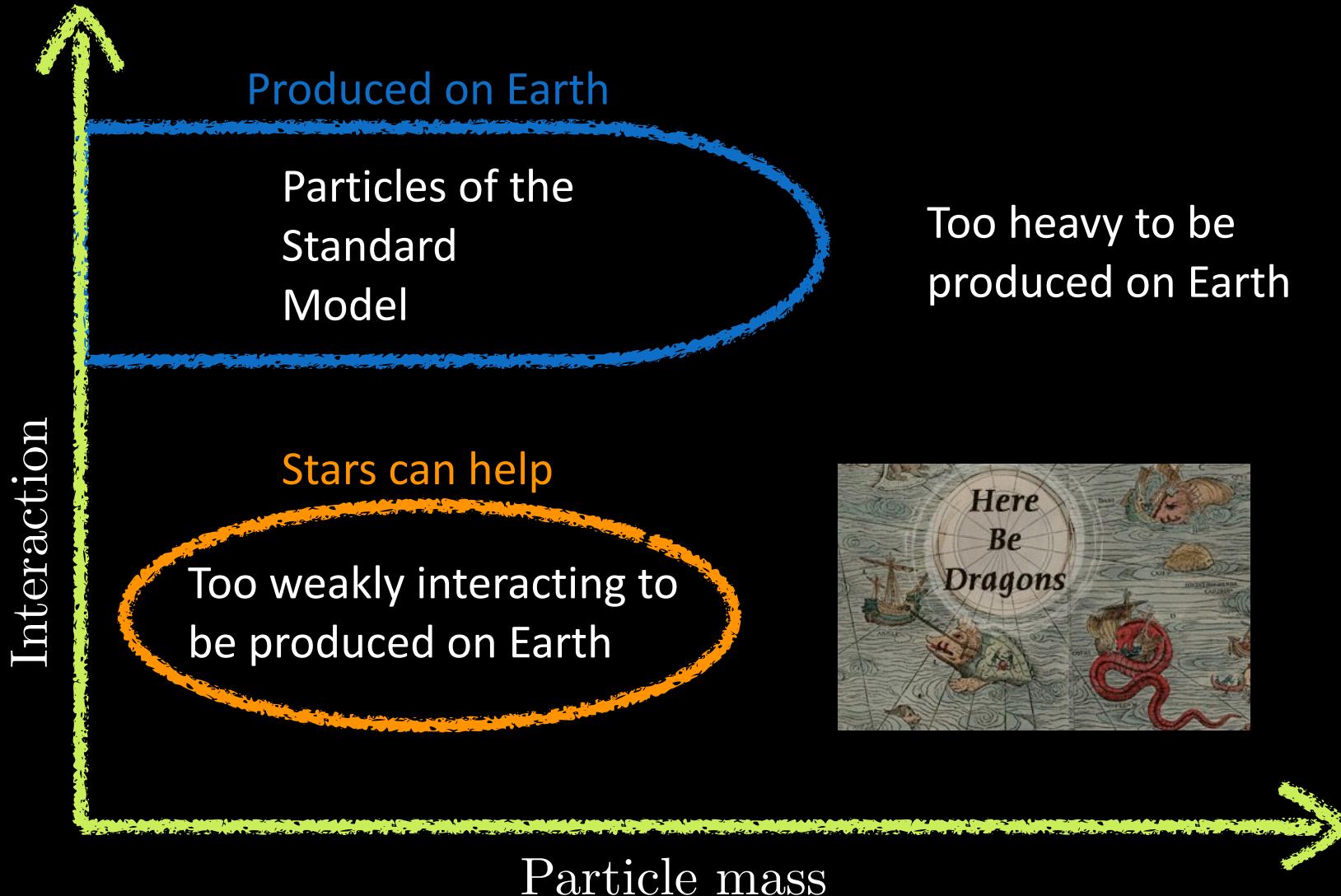
# Particle physics in stars



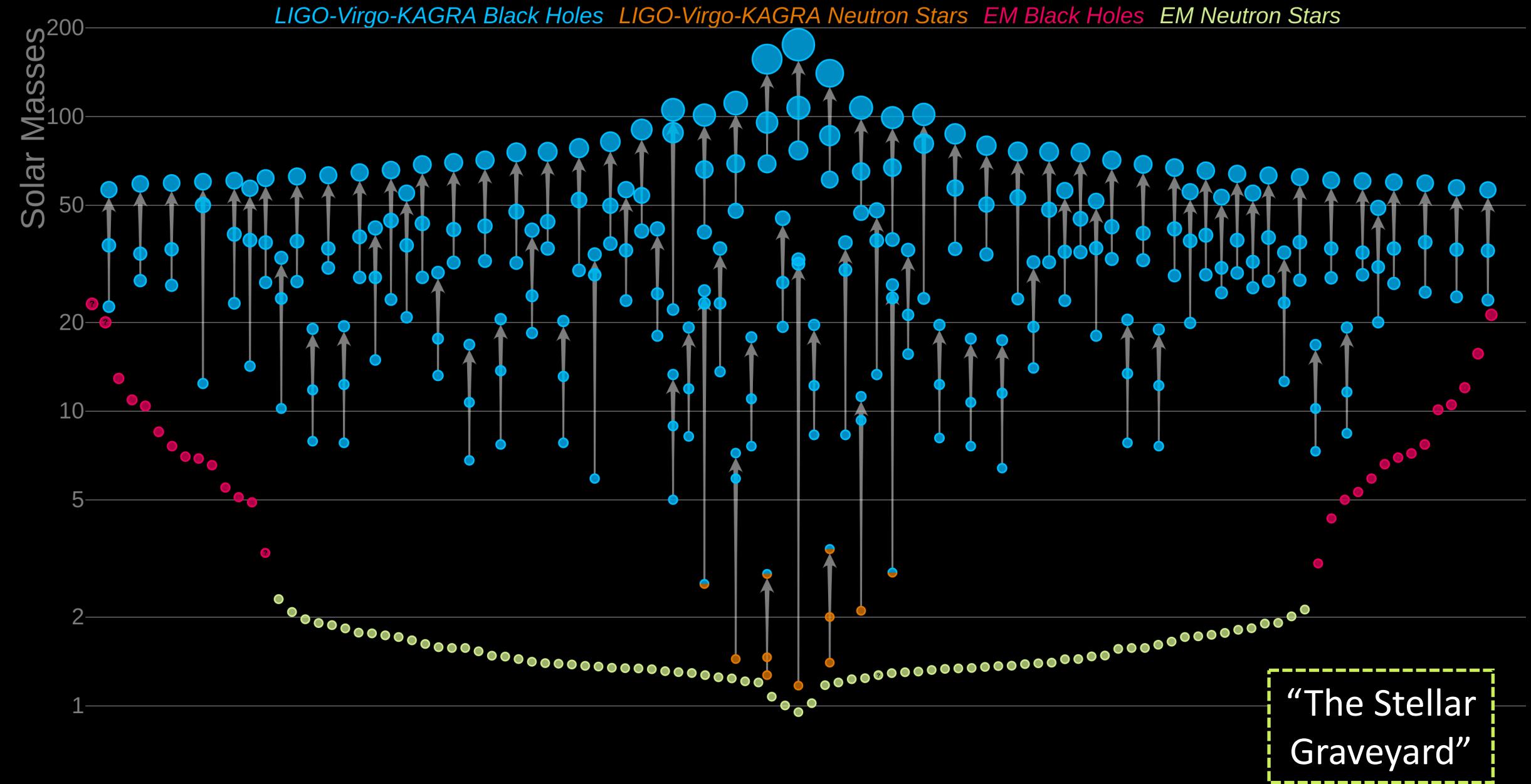
# Particle physics in stars



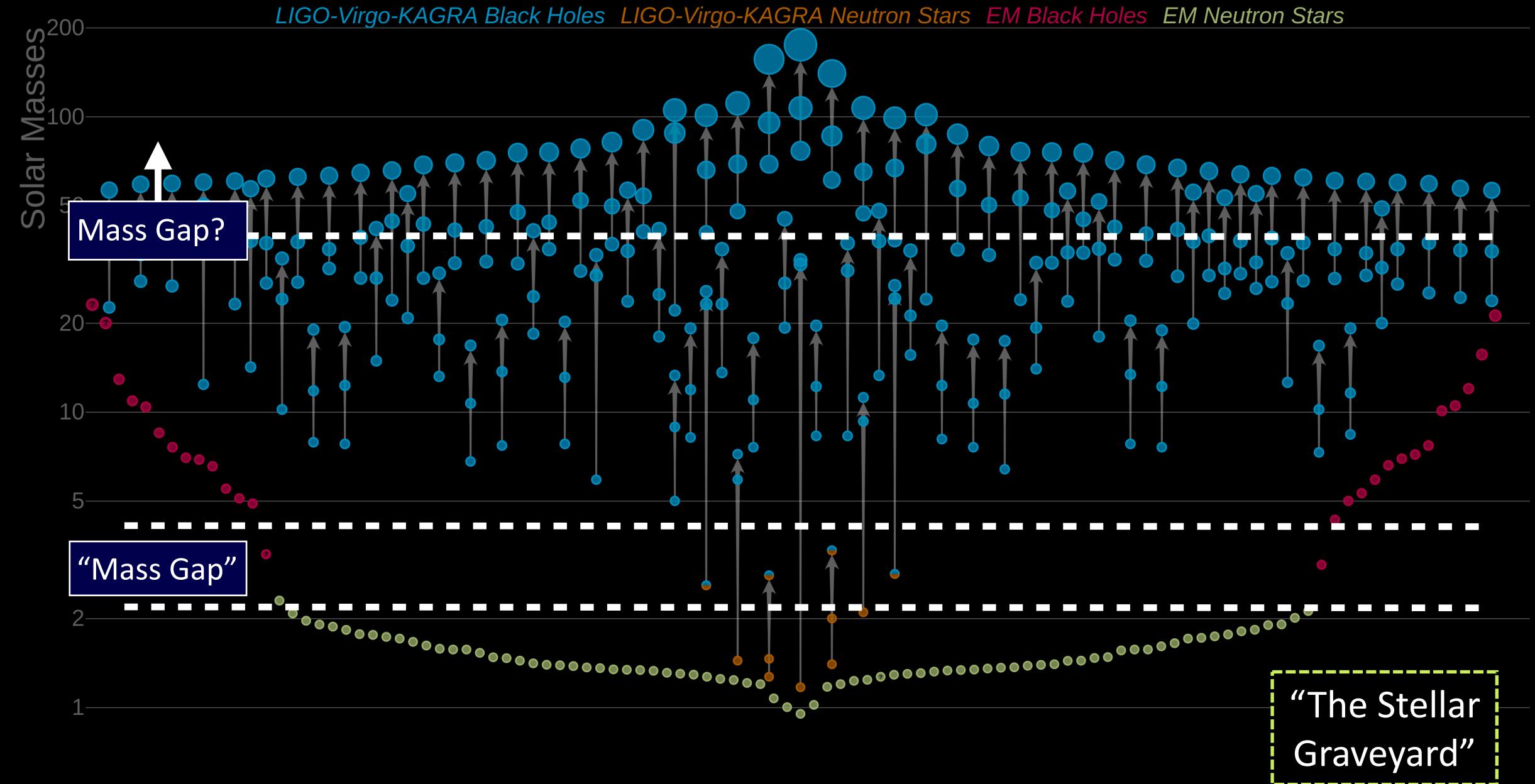
# Particle physics in stars



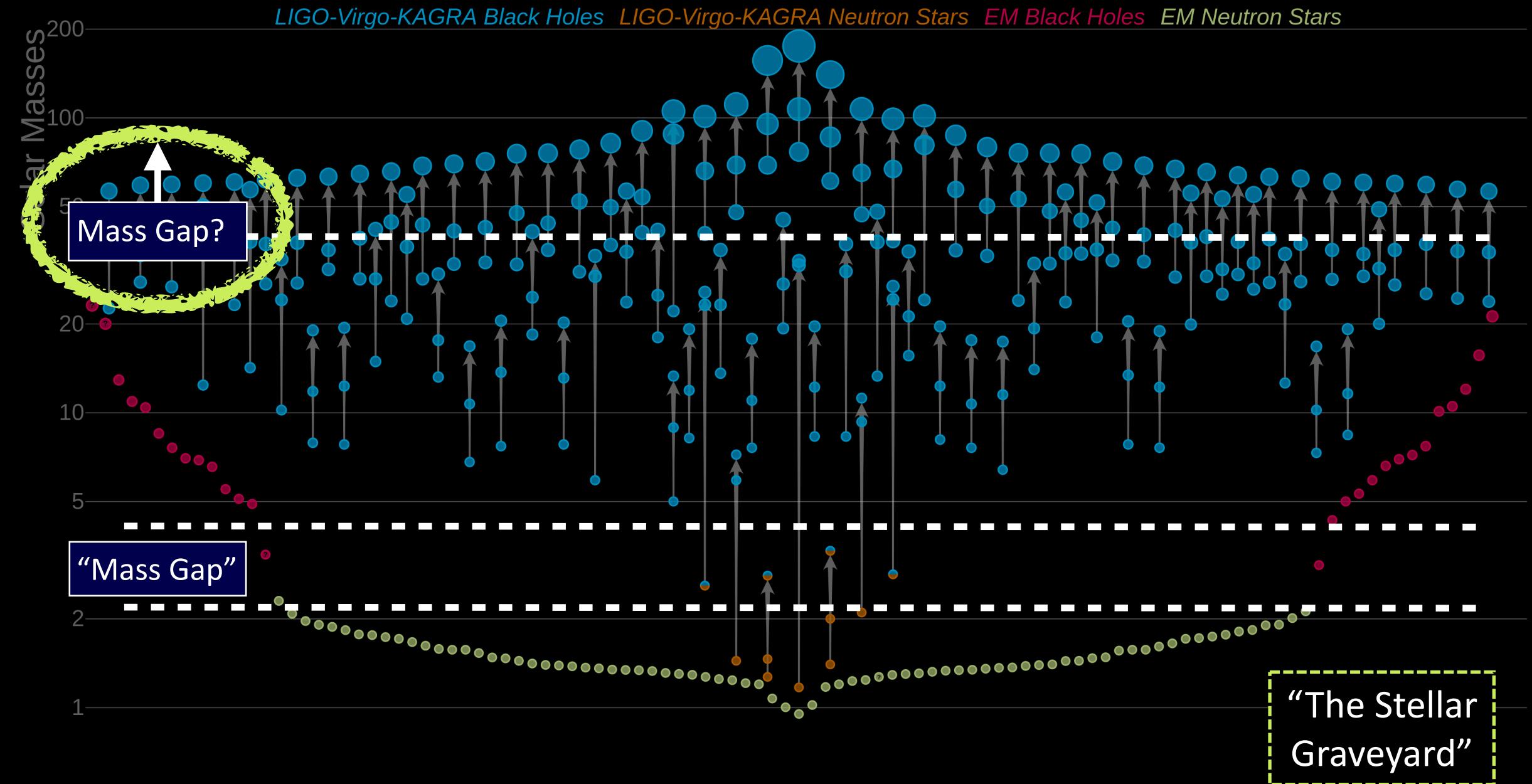
# Binary mergers in LIGO/Virgo 01-3

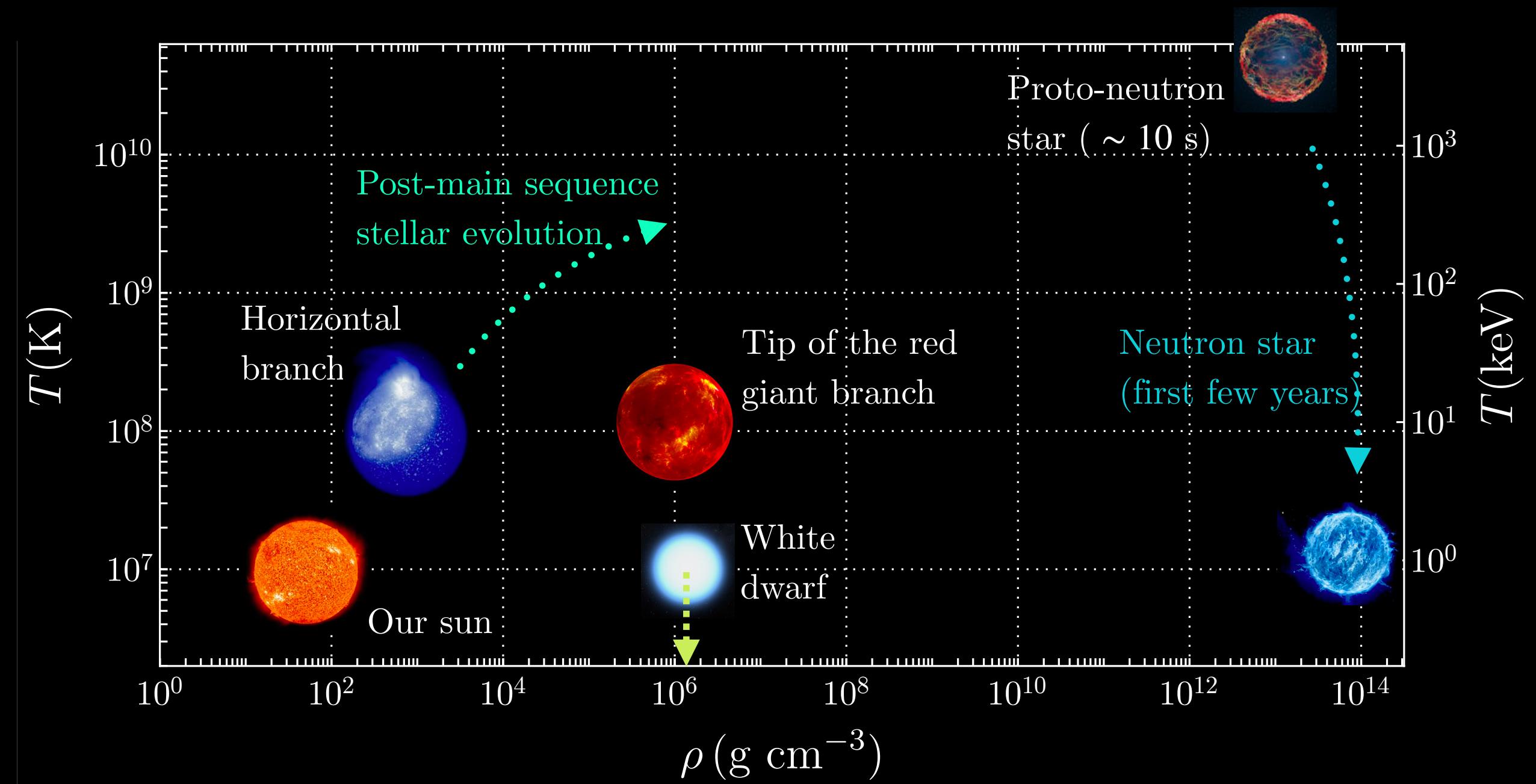


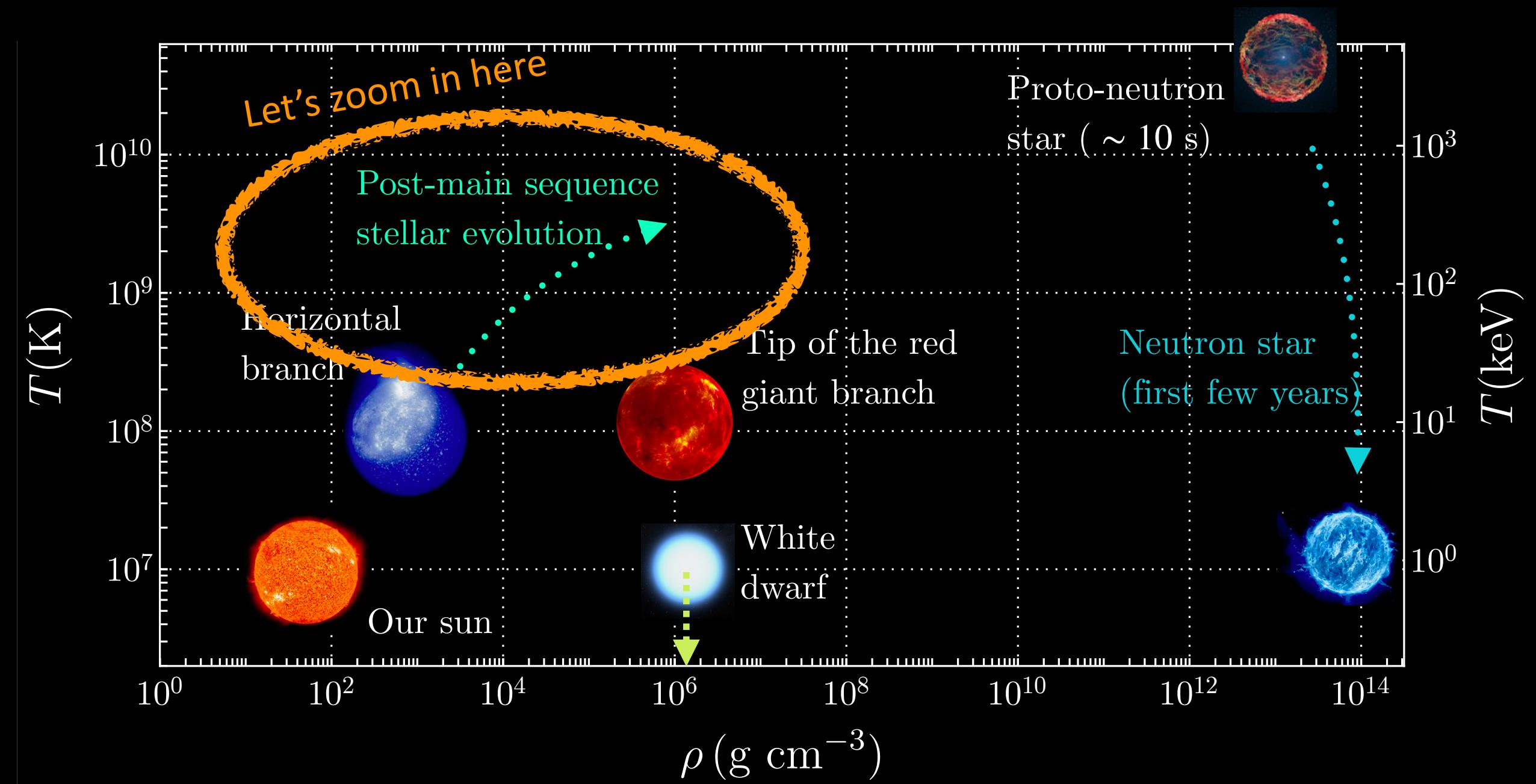
# Binary mergers in LIGO/Virgo 01-3



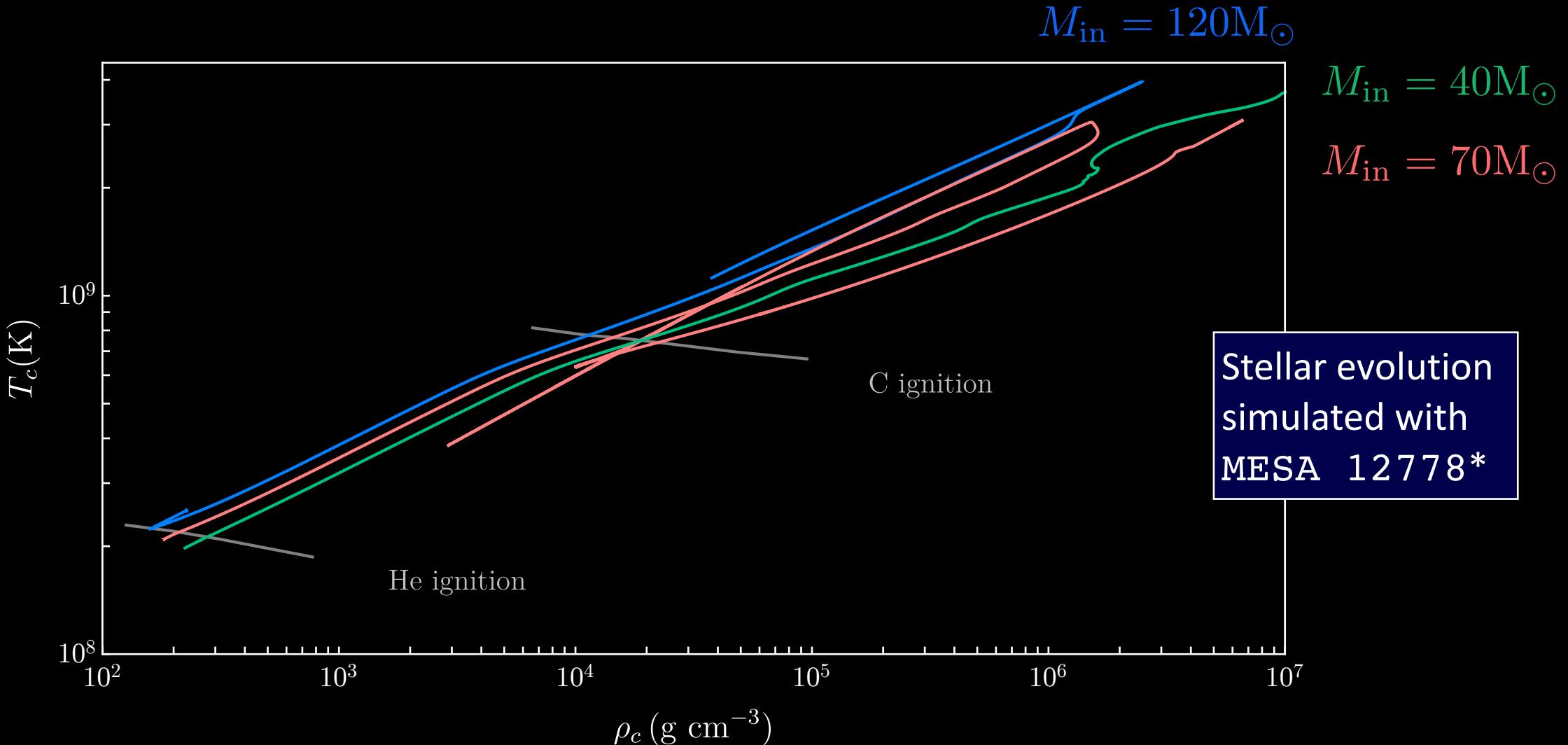
# Binary mergers in LIGO/Virgo 01-3



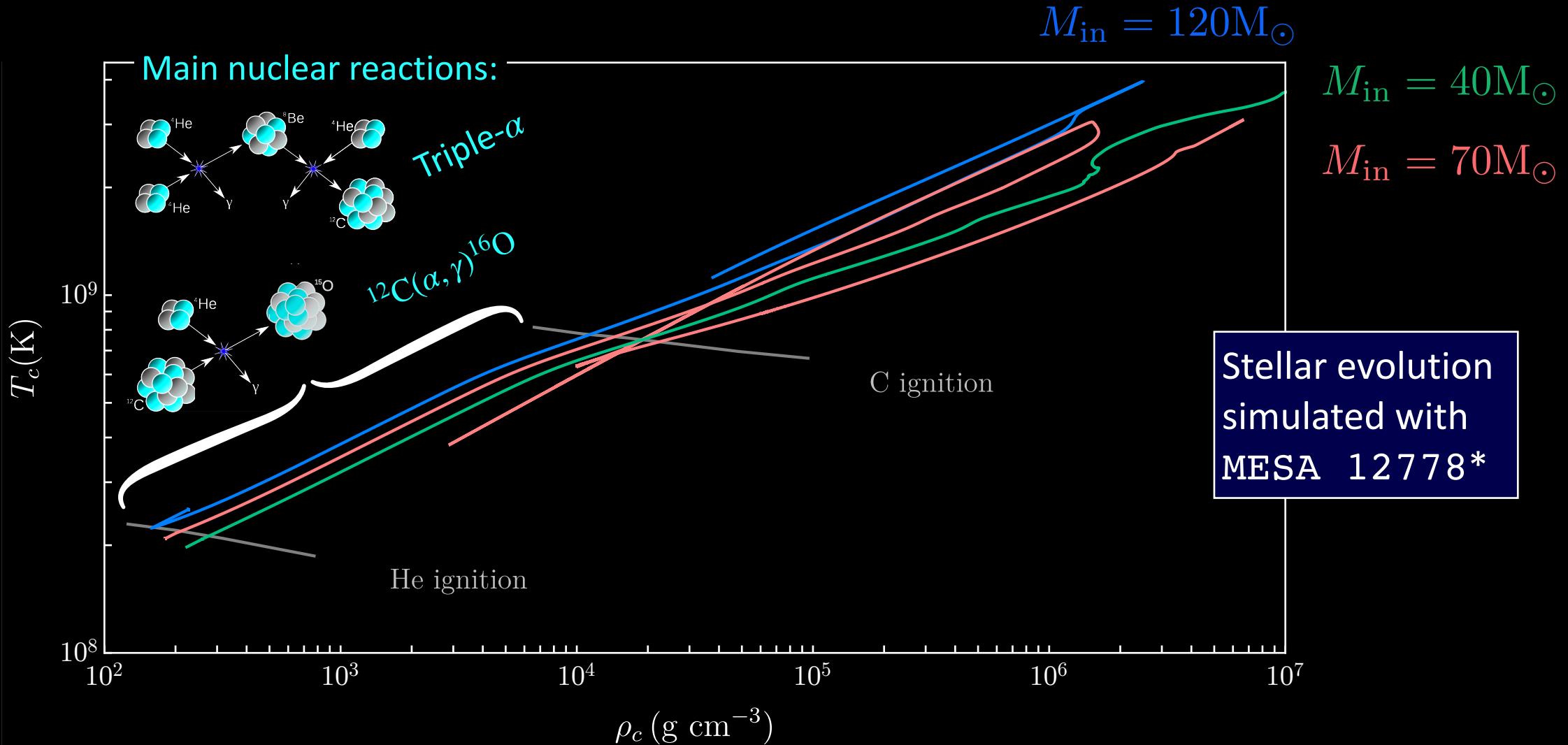




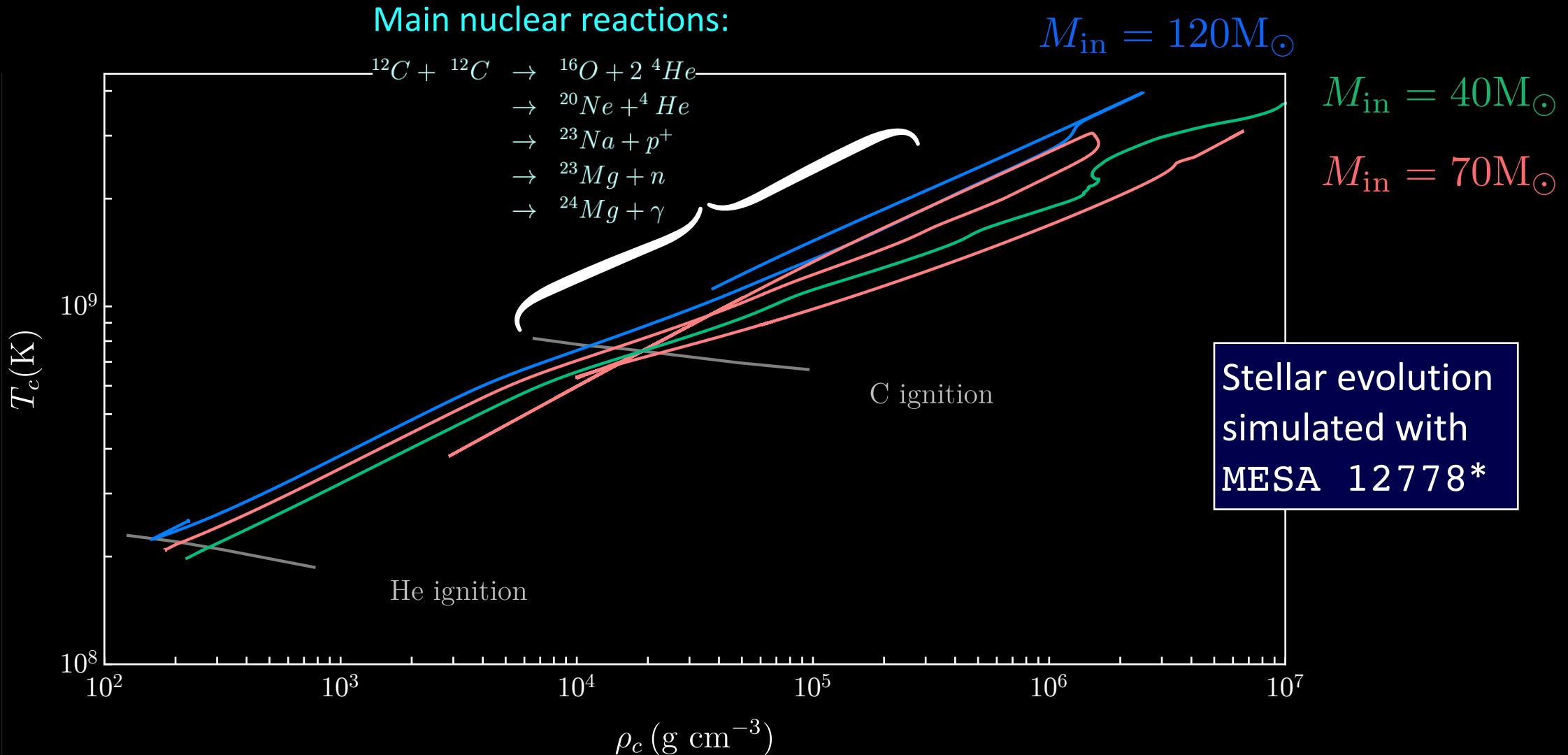
# Late evolution of heavy stars



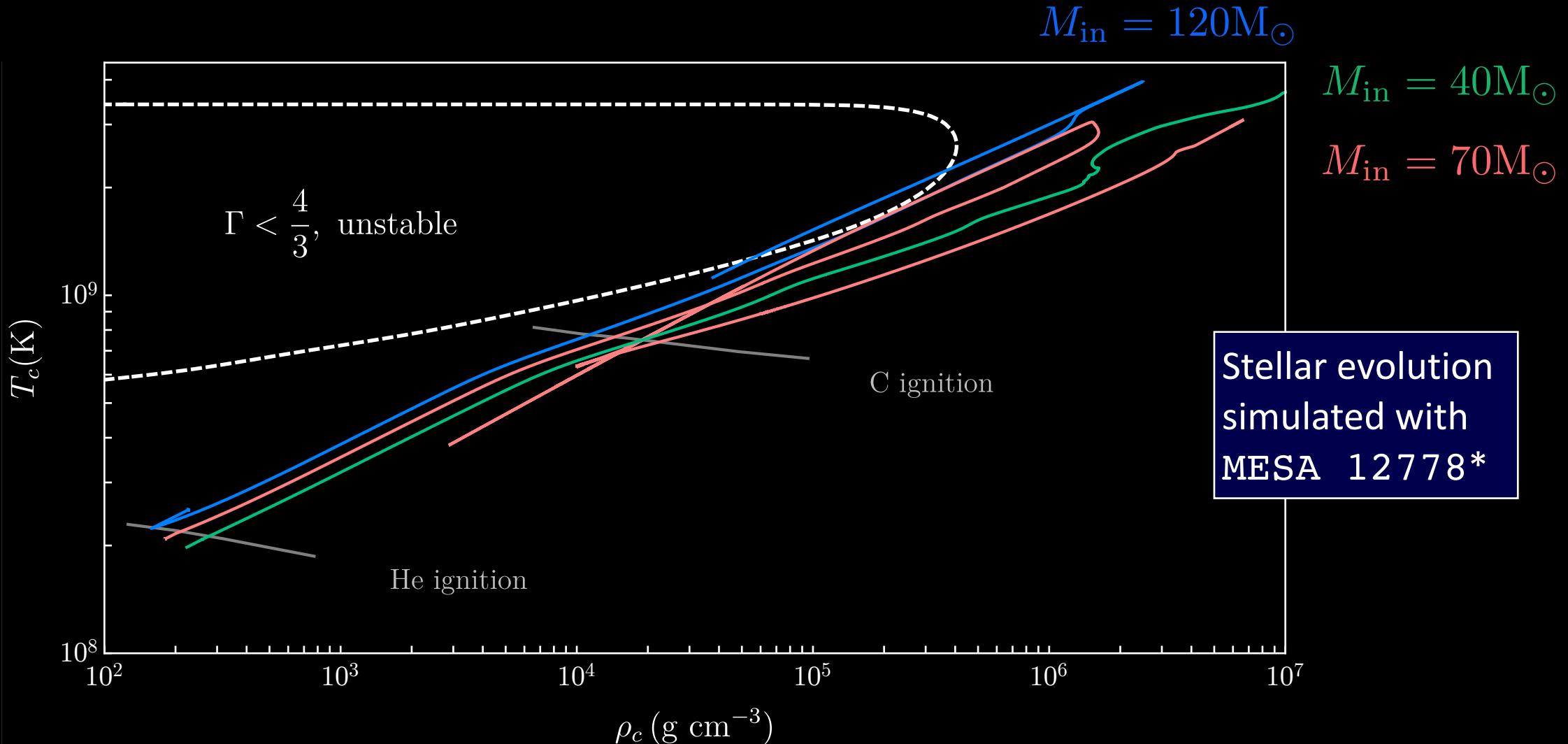
# Late evolution of heavy stars



# Late evolution of heavy stars

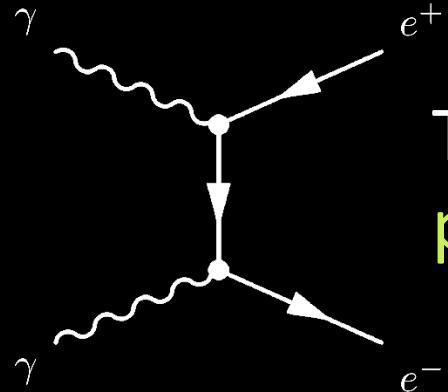


# Late evolution of heavy stars



# The danger zone: pair-instability

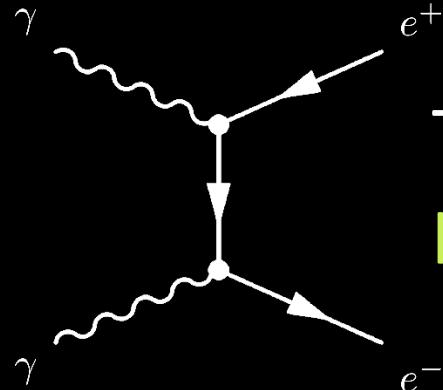
Barkat, Rakavy, Sack PRL (1967)  
Rakavy, Shaviv, ApJ (1967)



The high temperatures of stellar cores mean **electron-positron pairs** can be created from photons:  $\gamma\gamma \rightarrow e^+e^-$

# The danger zone: pair-instability

Barkat, Rakavy, Sack PRL (1967)  
Rakavy, Shaviv, ApJ (1967)



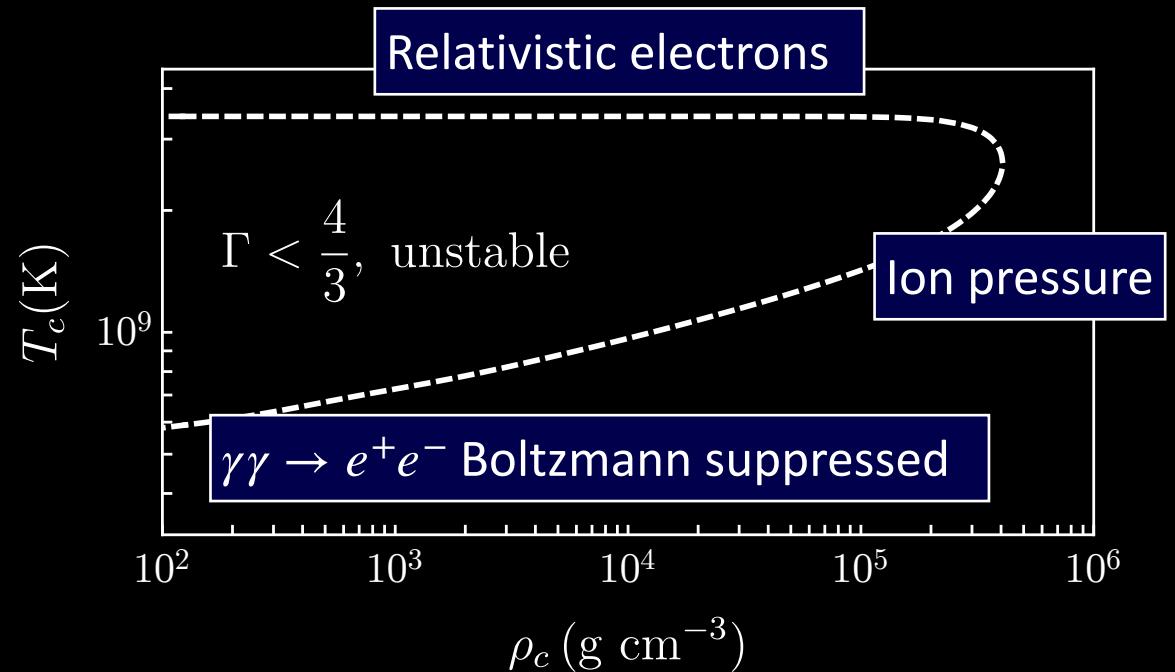
The high temperatures of stellar cores mean **electron-positron pairs** can be created from photons:  $\gamma\gamma \rightarrow e^+e^-$

Unstable, because:

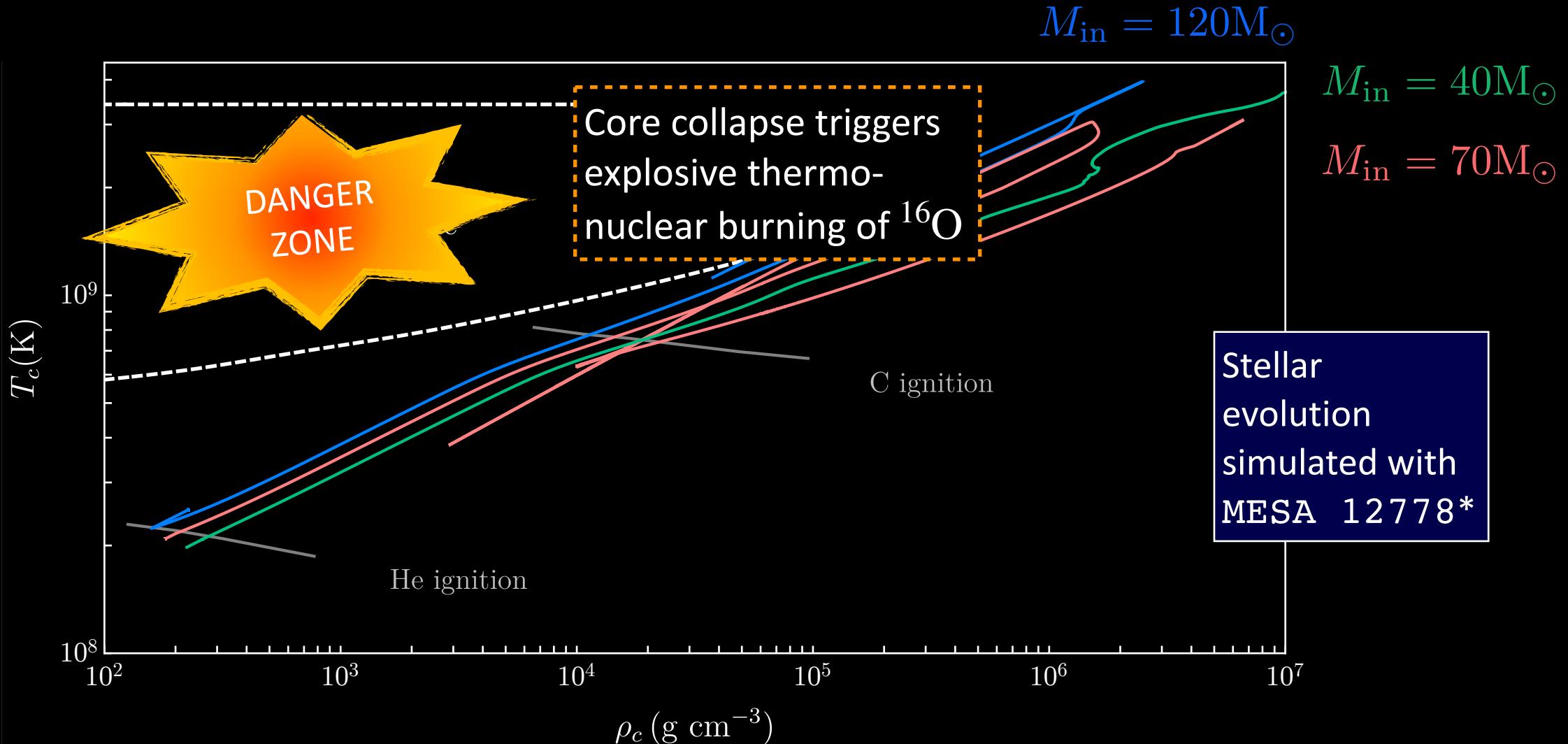
The **photons** give the star outward pressure

The **electron-positron pairs** imply extra gravity but no pressure

→ *the core starts to collapse*

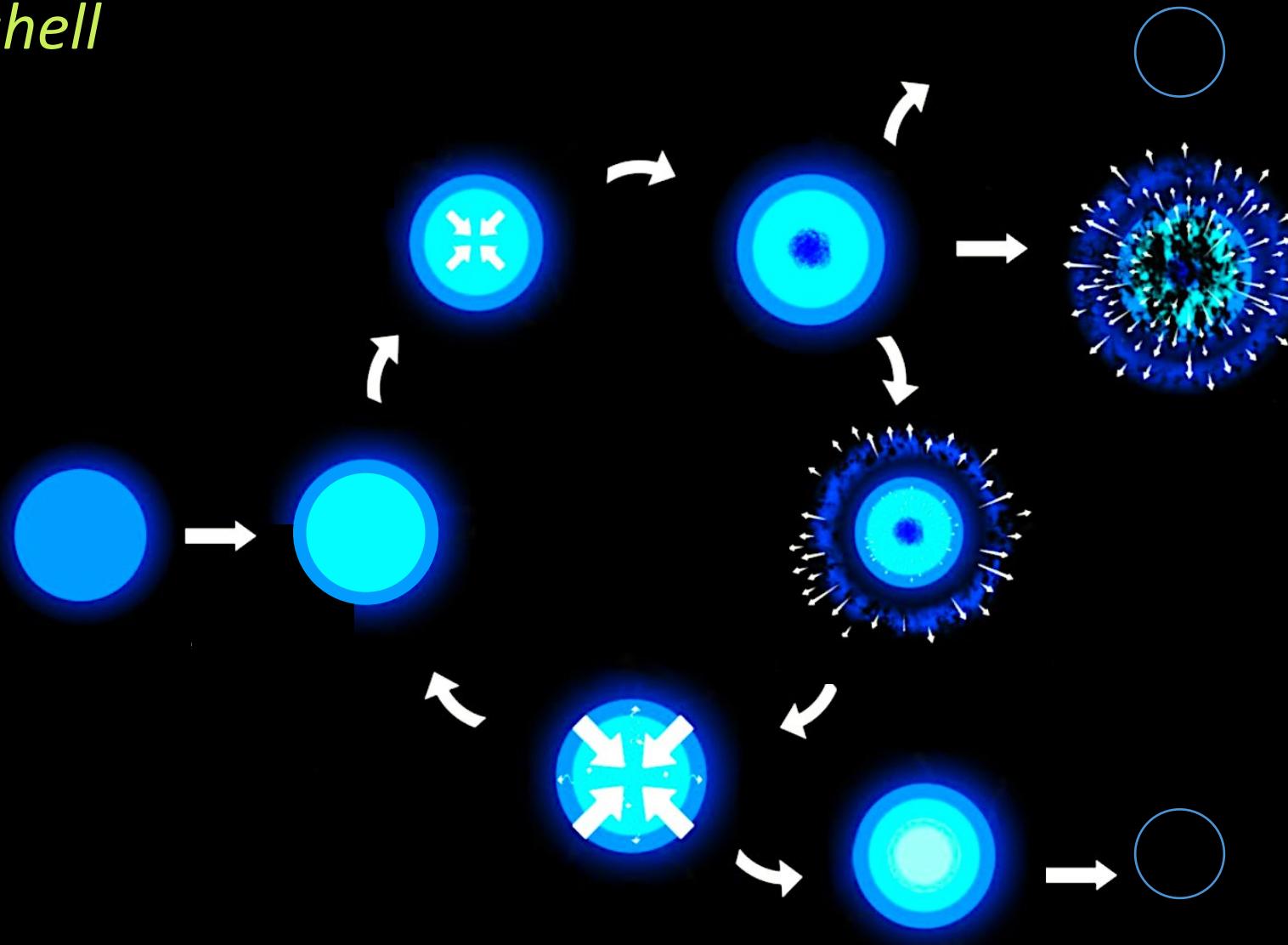


# Evolution of old population-III stars



# Pair instability

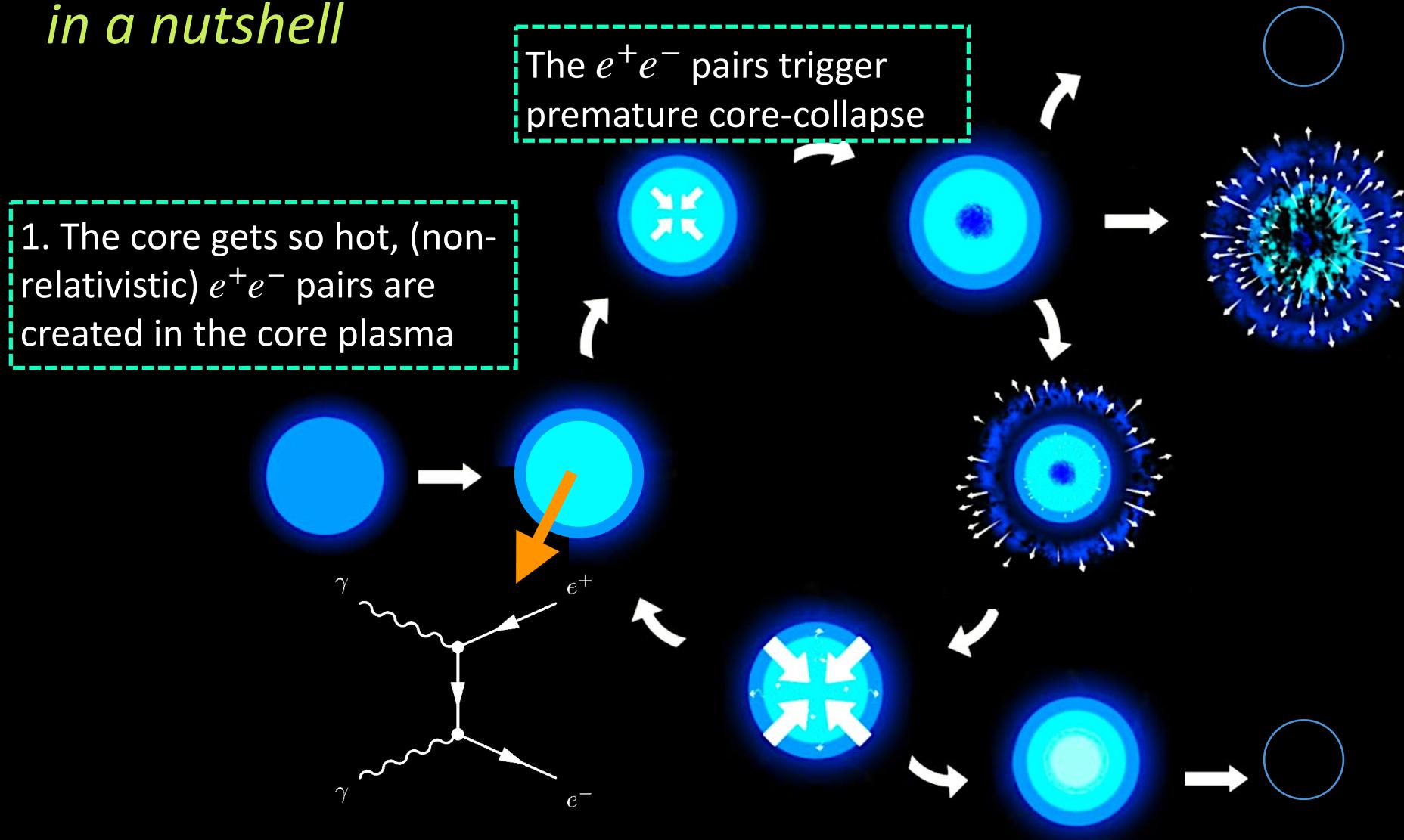
*in a nutshell*



Adapted from Renzo et al [2002.05077]

# Pair instability

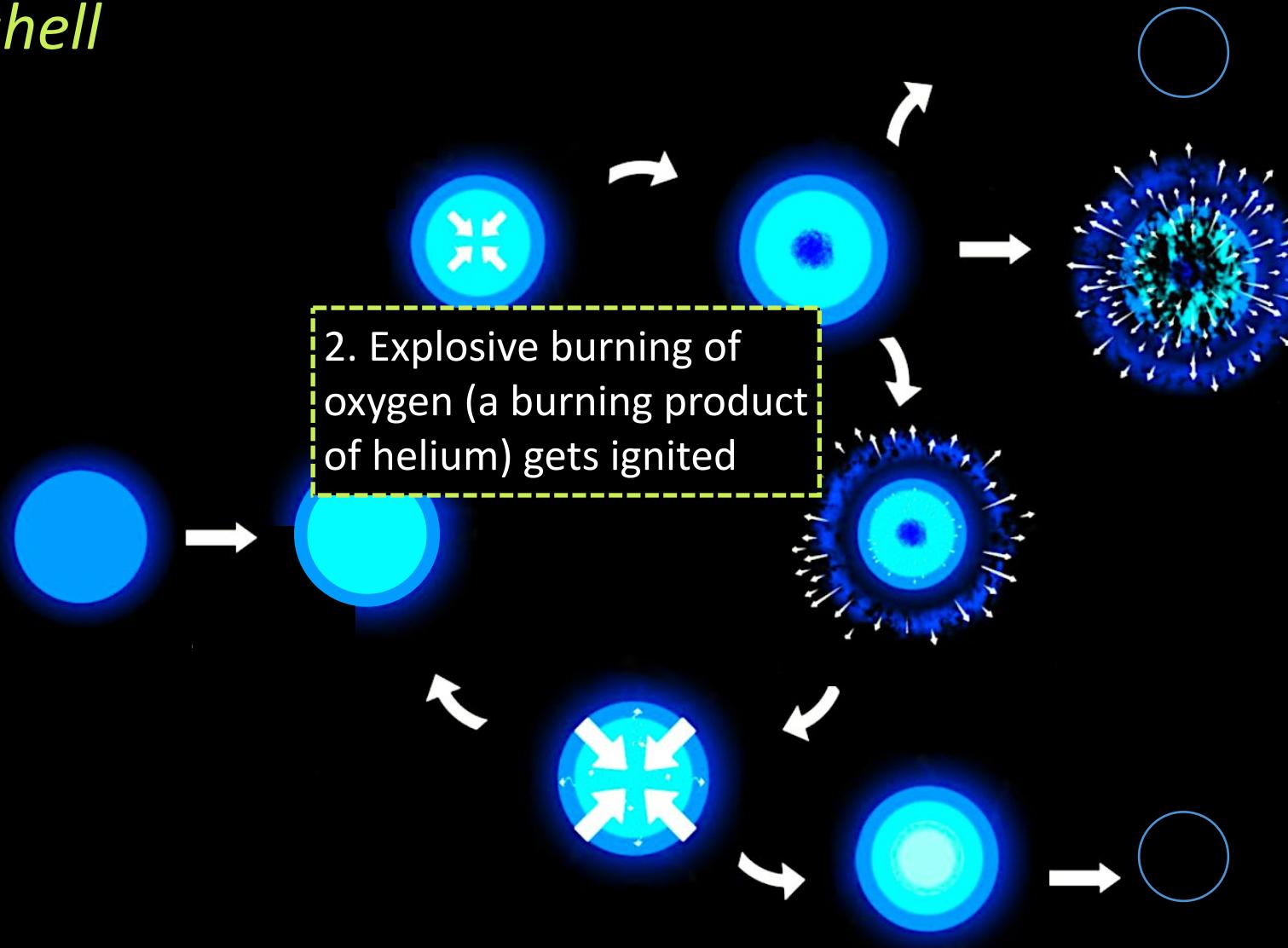
*in a nutshell*



Adapted from Renzo et al [2002.05077]

# Pair instability

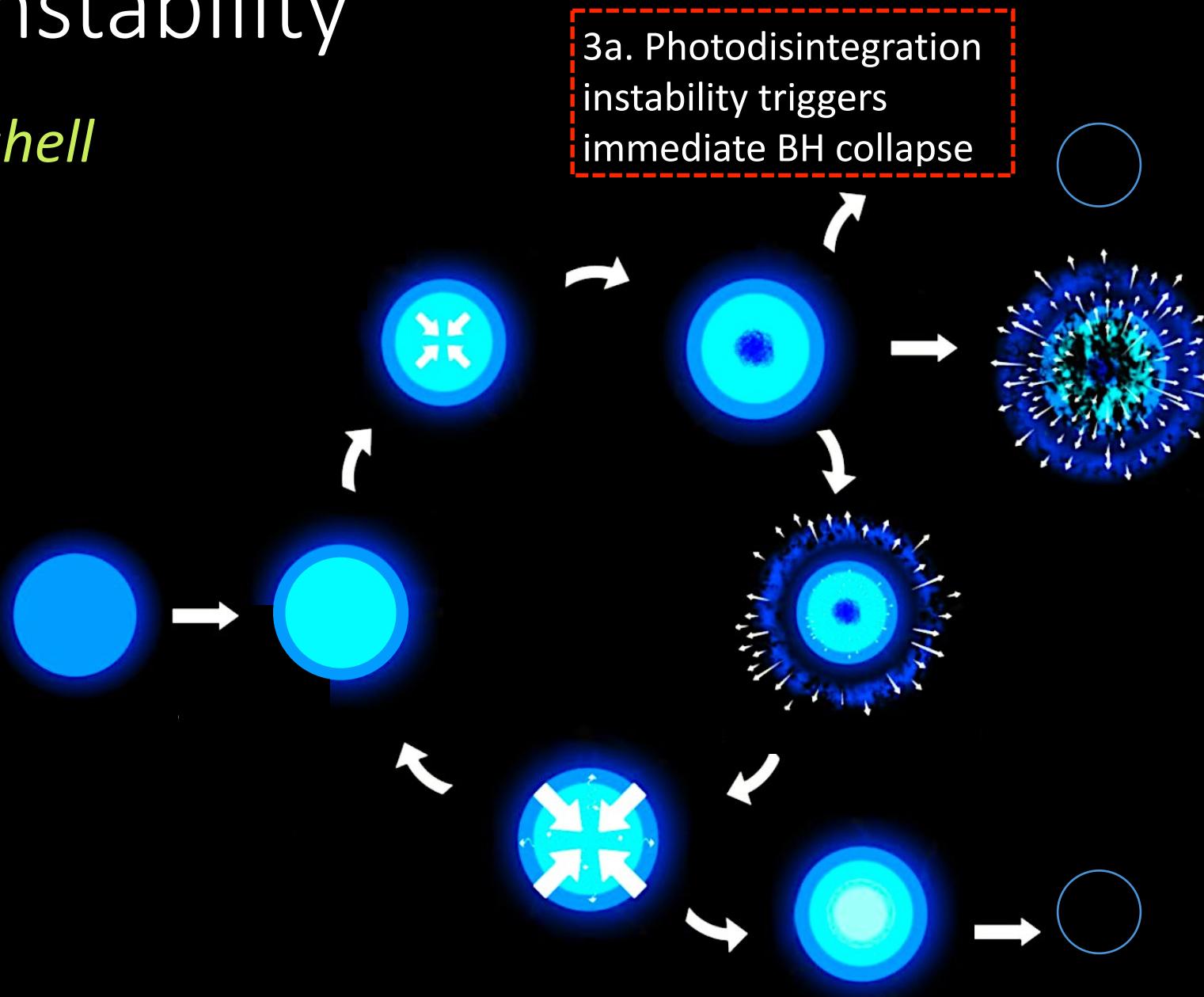
*in a nutshell*



Adapted from Renzo et al [2002.05077]

# Pair instability

*in a nutshell*

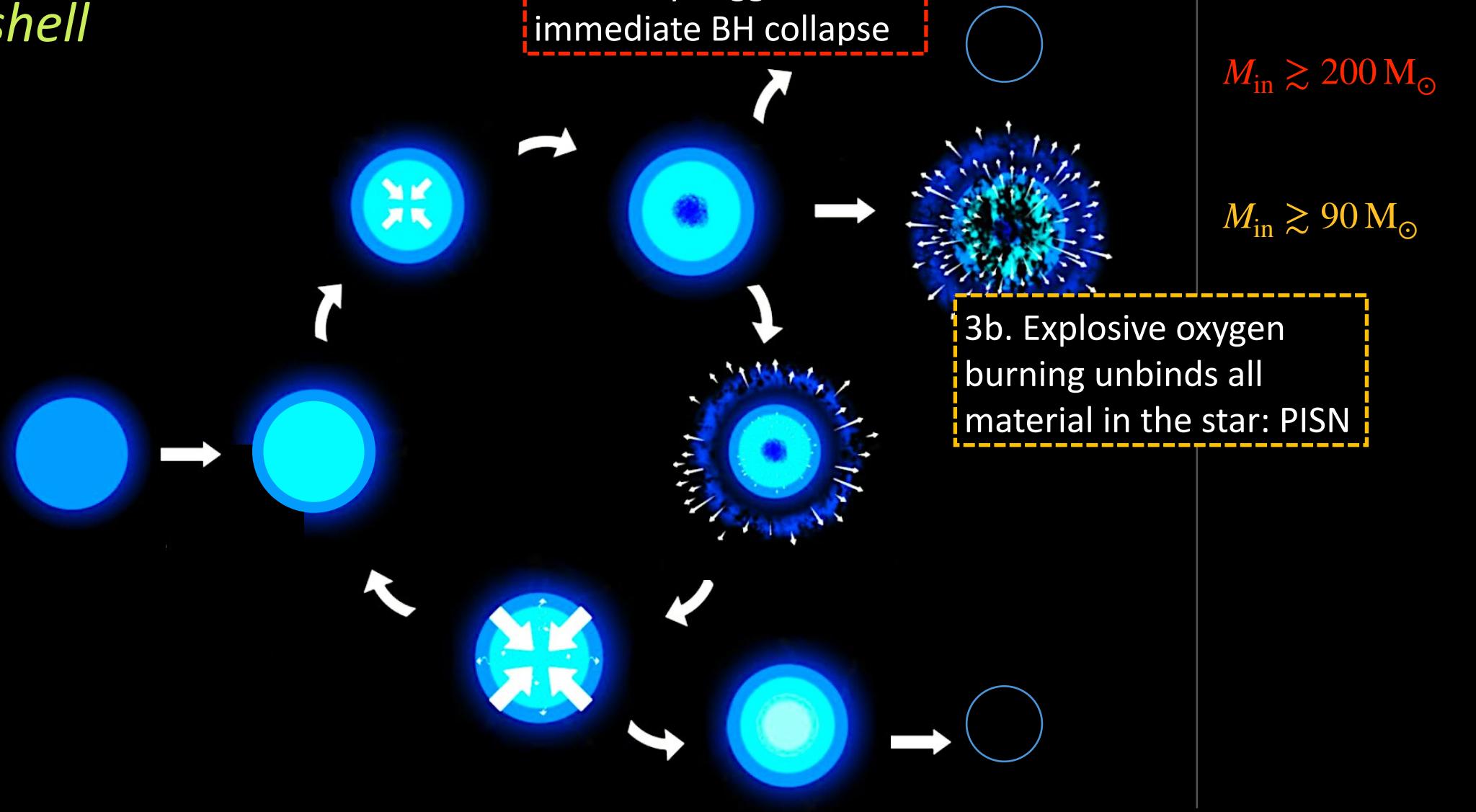


Initial star mass

$$M_{\text{in}} \gtrsim 200 M_{\odot}$$

# Pair instability

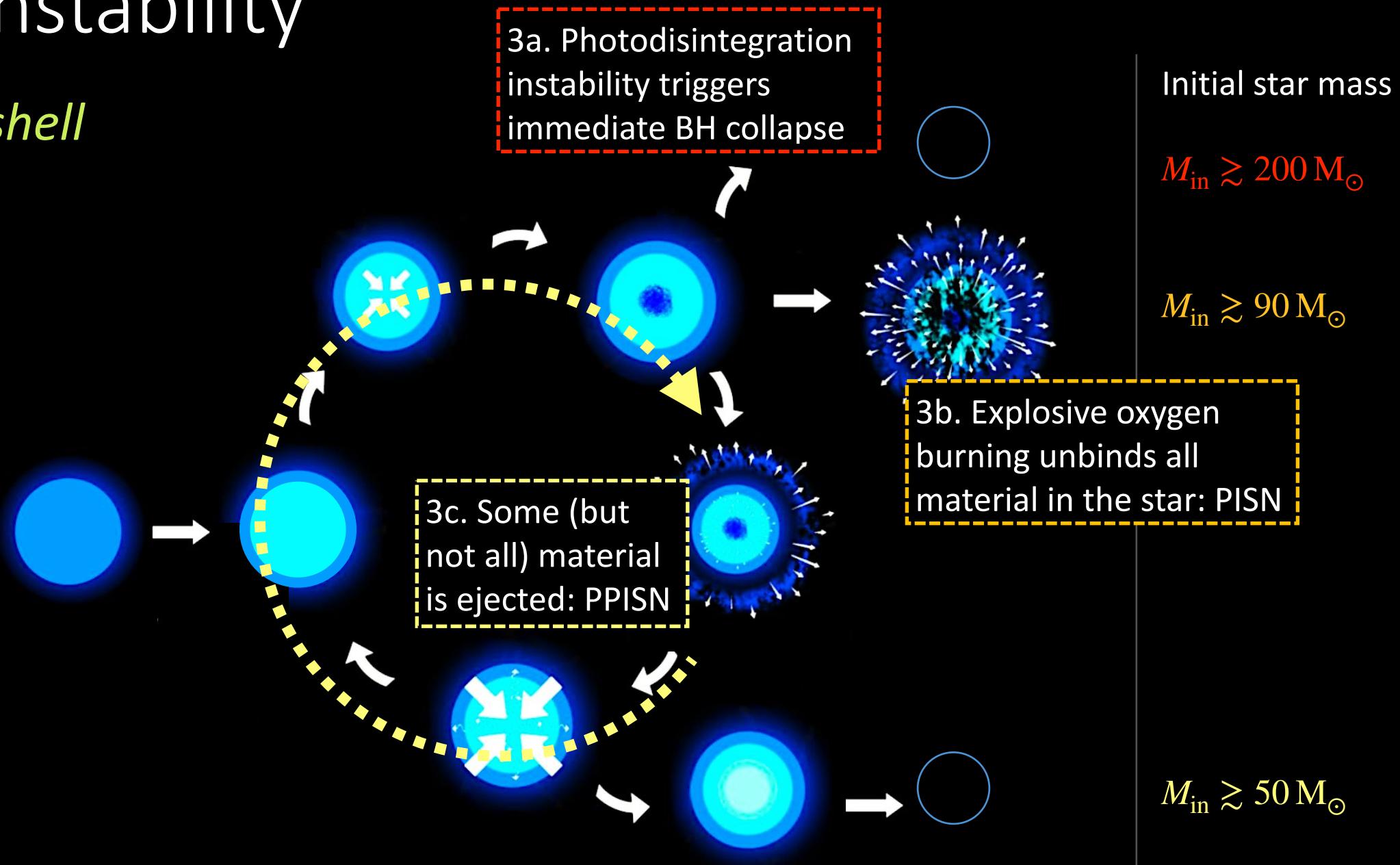
*in a nutshell*



Adapted from Renzo et al [2002.05077]

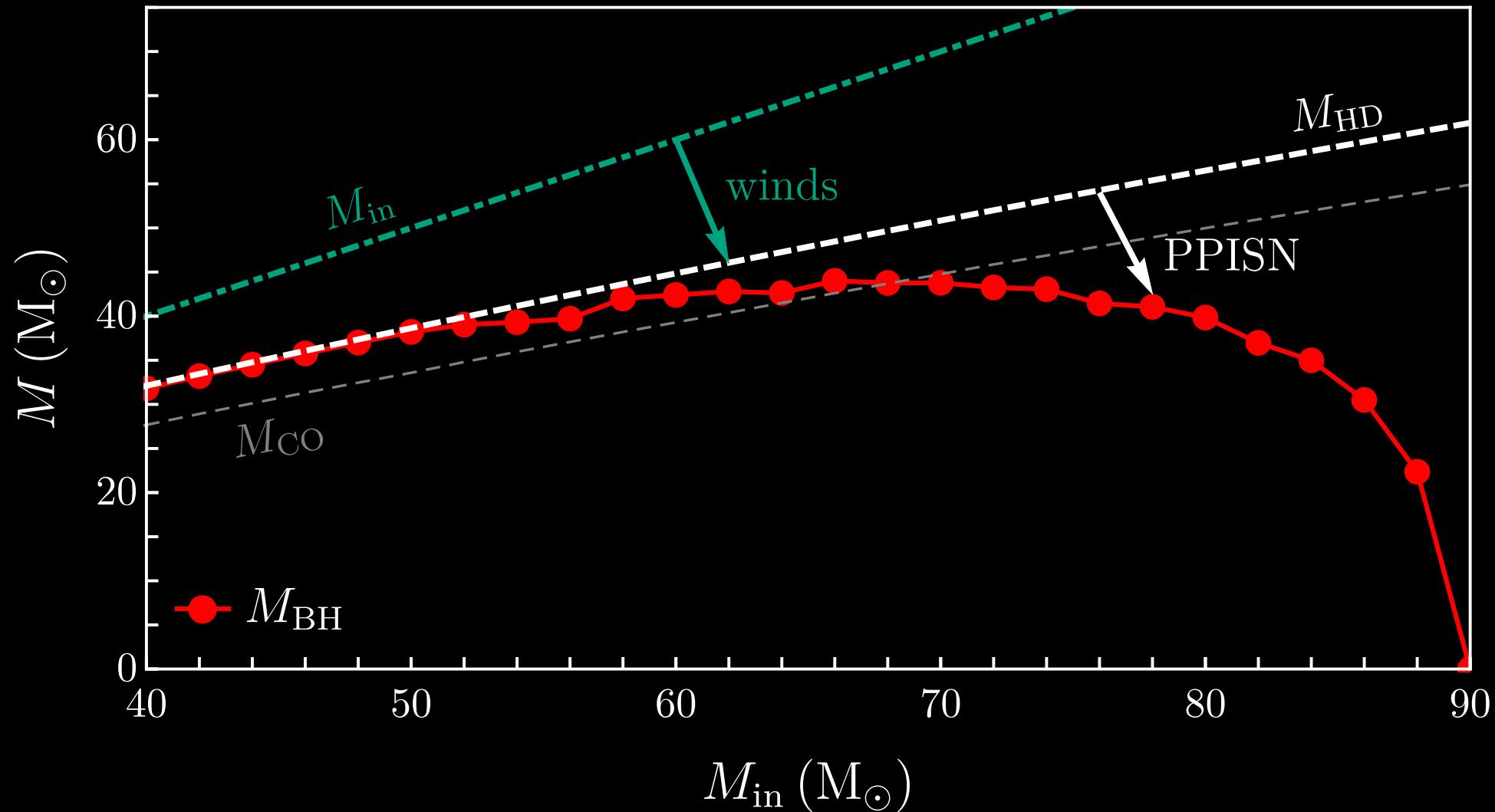
# Pair instability

*in a nutshell*

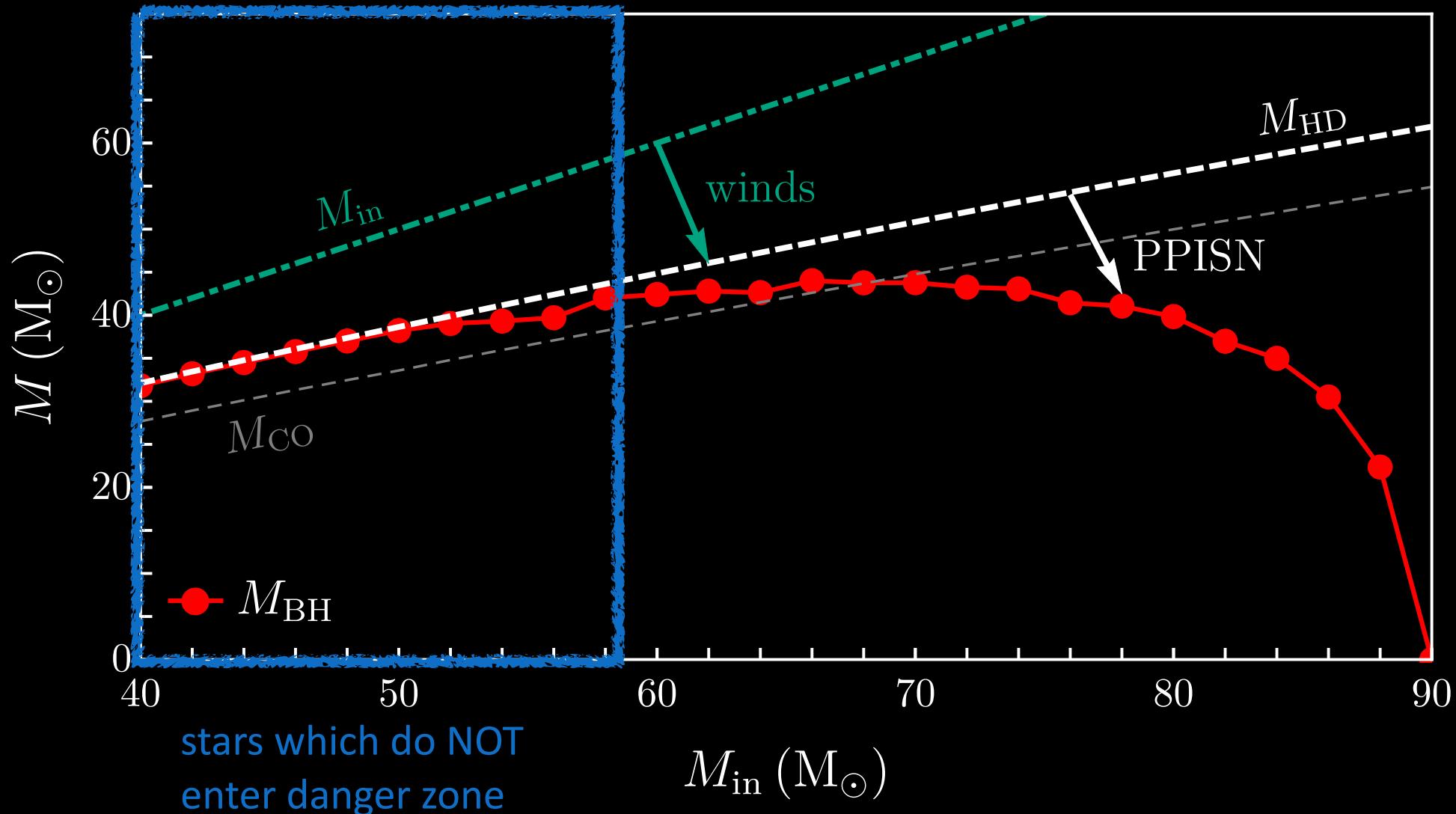


Adapted from Renzo et al [2002.05077]

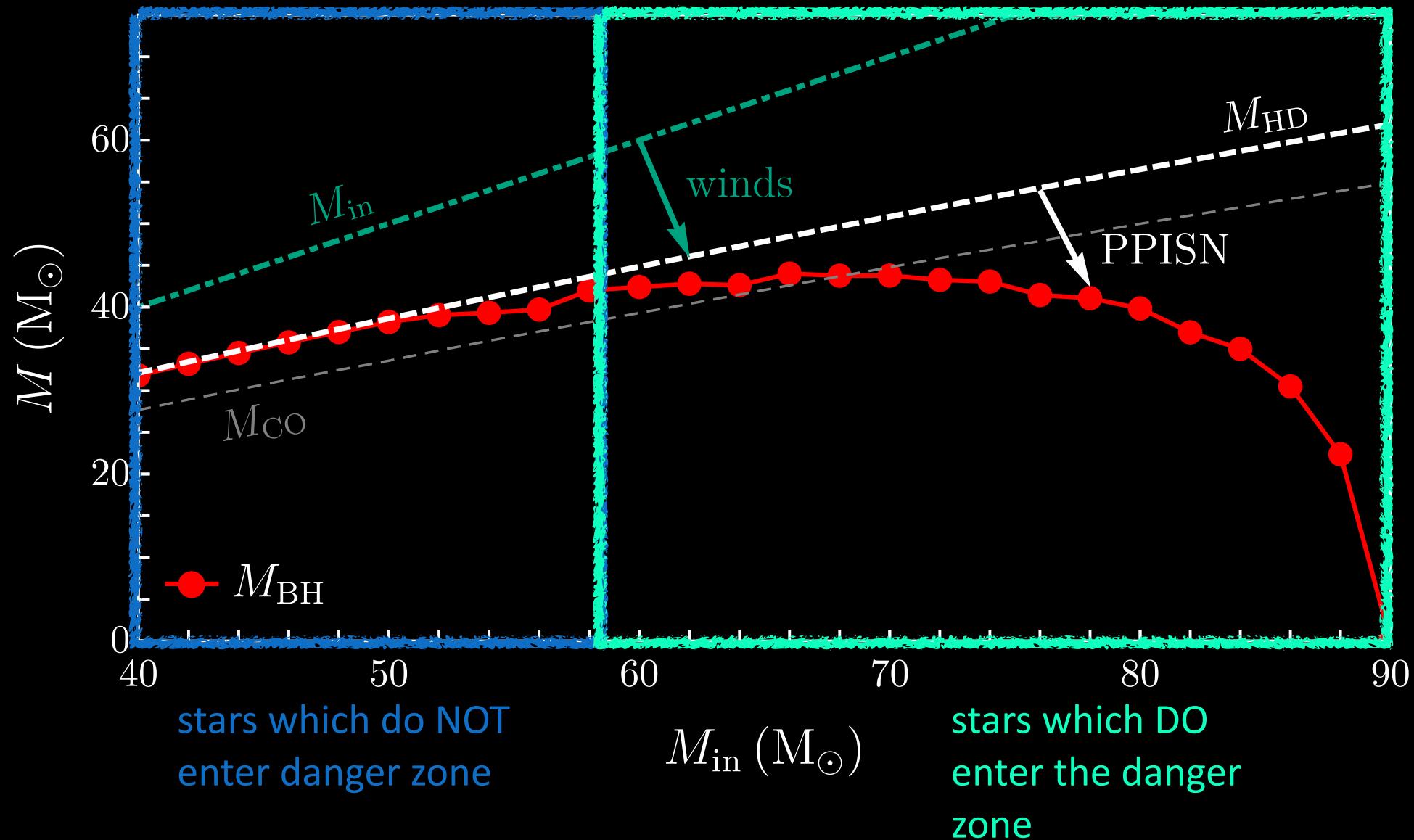
# Resulting black hole masses



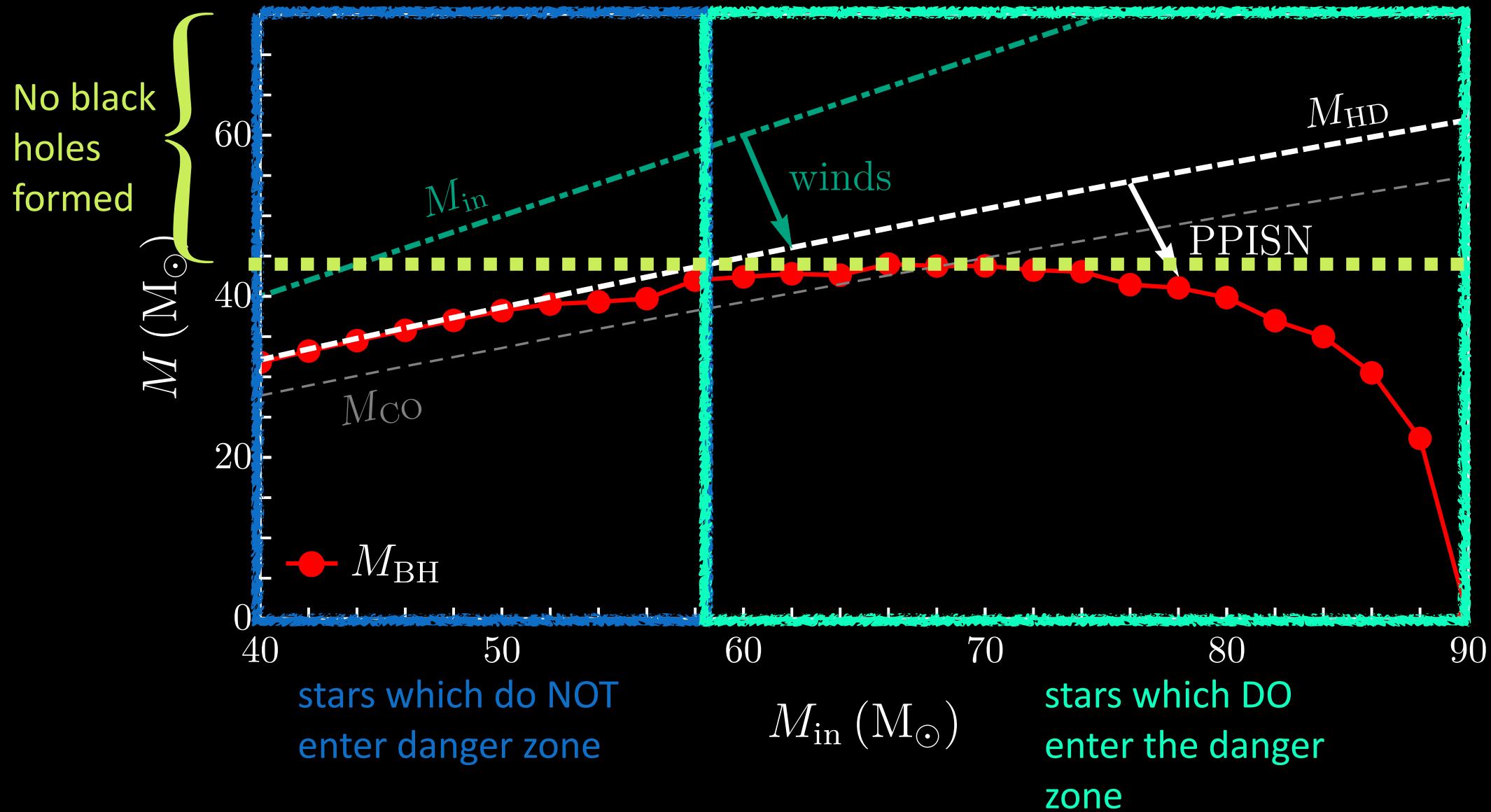
# Resulting black hole masses



# Resulting black hole masses



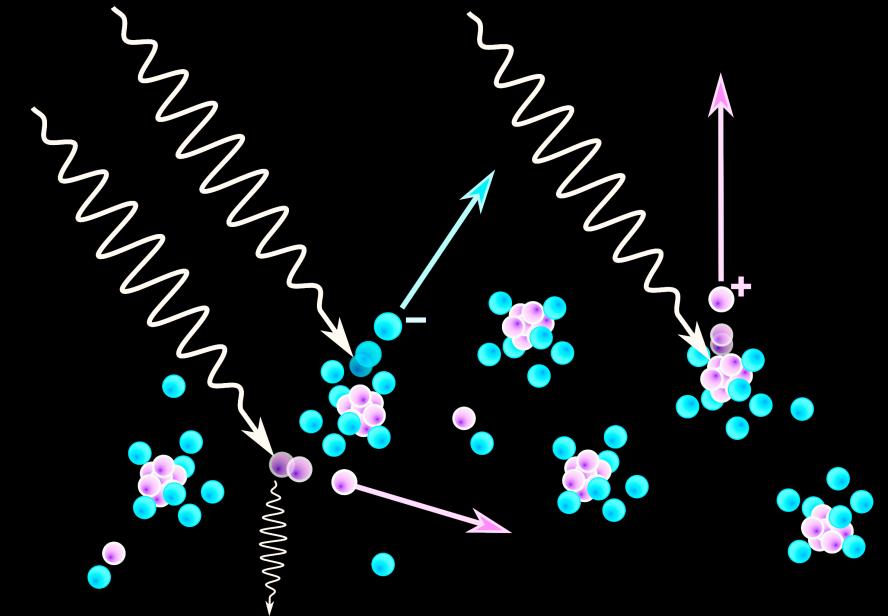
# Resulting black hole masses



# Upper end of the mass gap

Photodisintegration: rapid absorption of high energy photons

Photodisintegration leads to decrease in  $\Gamma_1$  and therefore a contraction

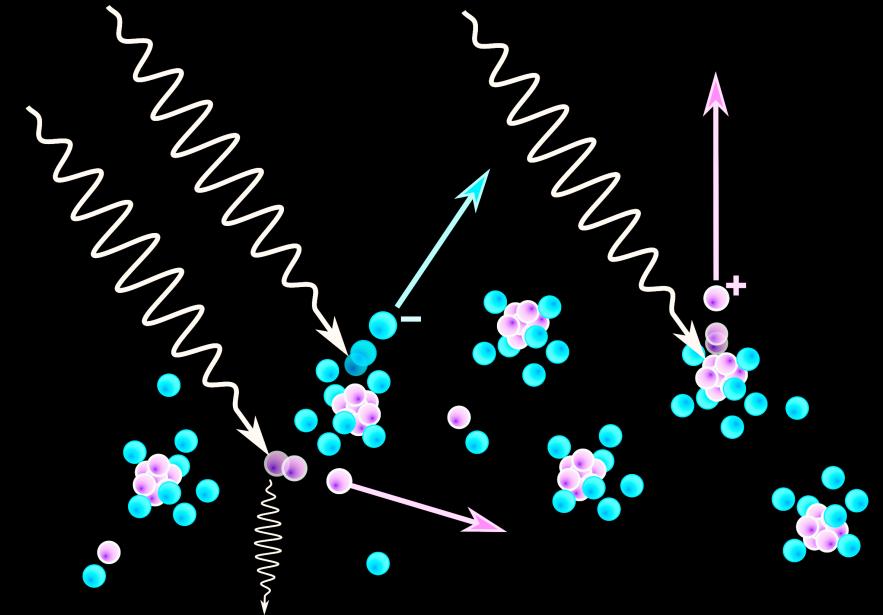


# Upper end of the mass gap

Photodisintegration: rapid absorption of high energy photons

Photodisintegration leads to decrease in  $\Gamma_1$  and therefore a contraction

In very high mass stars: oxygen burning can no longer keep up with contraction due to photodisintegration

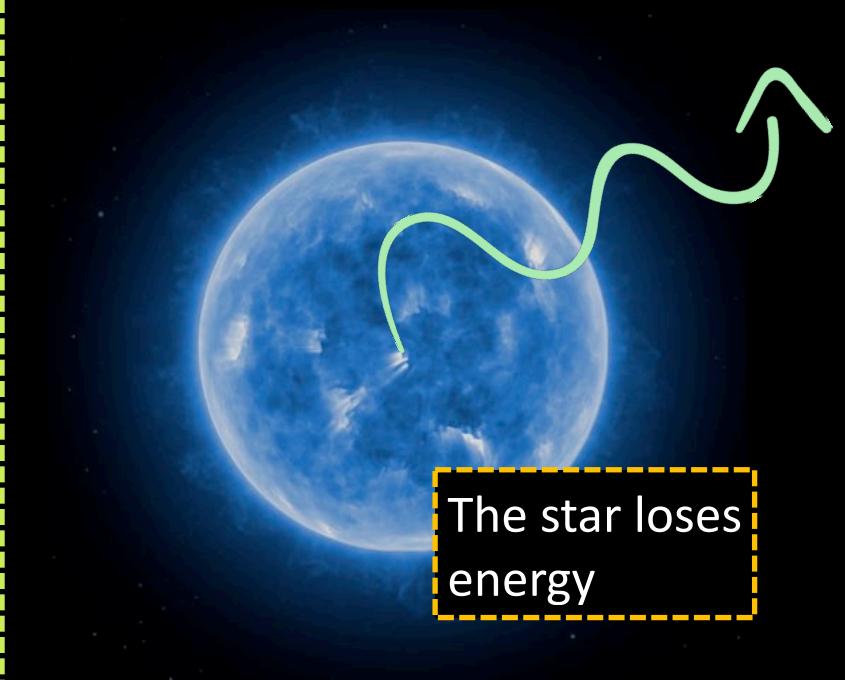


No pulsations, immediate collapse into black holes

# What about new particles?

New particles...

- May be produced in the star and *free stream out*



The star loses  
energy

# What about new particles?

New particles...

- May be produced in the star and *free stream out*
- May be produced in the star and *get trapped*

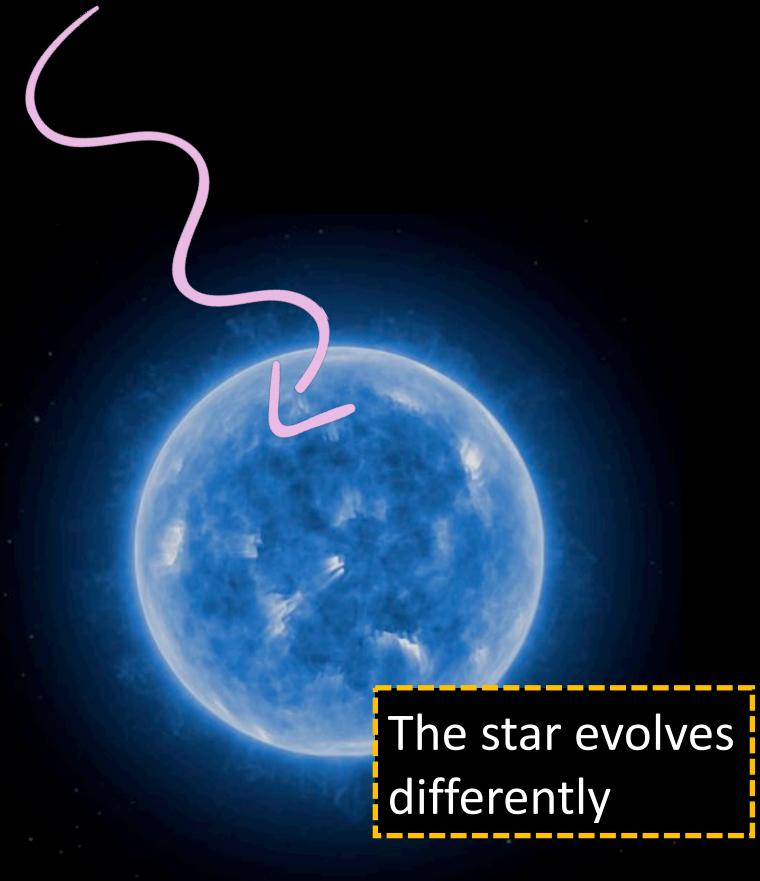
The star evolves  
differently



# What about new particles?

New particles...

- May be produced in the star and *free stream out*
- May be produced in the star and *get trapped*
- May collect in the star and annihilate in the core

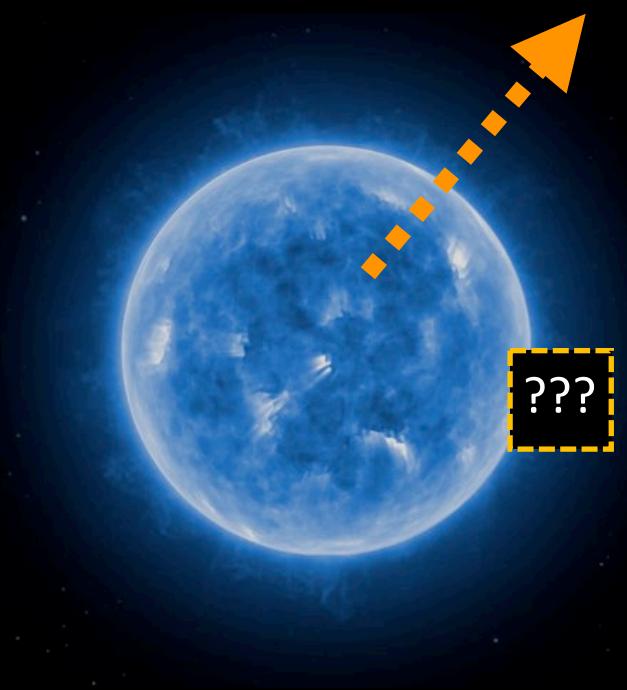


The star evolves  
differently

# What about new particles?

New particles...

- May be produced in the star and *free stream out*
- May be produced in the star and *get trapped*
- May collect in the star and annihilate in the core
- May modify other rates in the star



# What about new particles?

New particles...

- May be produced in the star and *free stream out*
- May be produced in the star and *get trapped*
- May collect in the star and annihilate in the core
- May modify other rates in the star

Nuclear astrophysics: pair-instability is a sensitive probe of

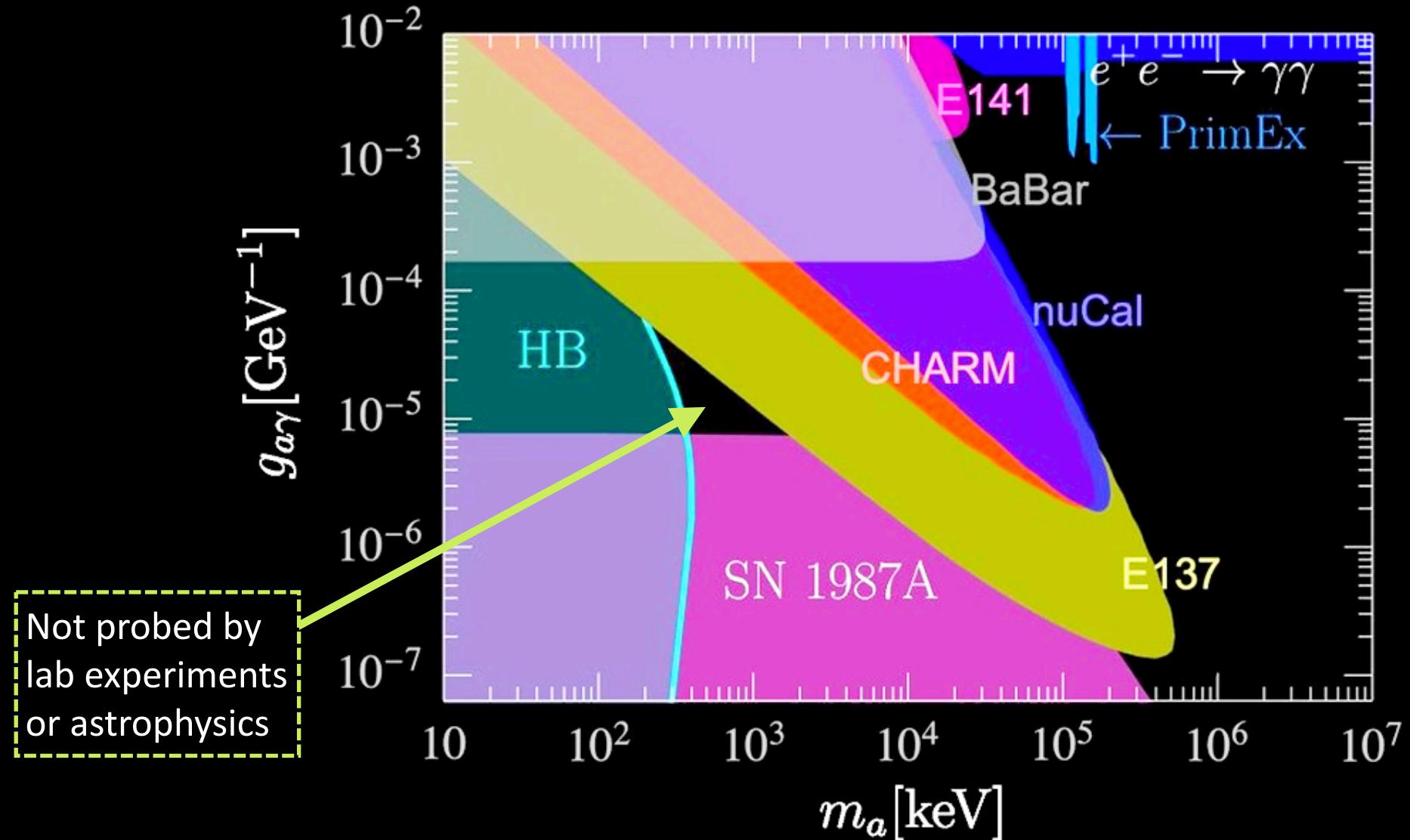


*Farmer, Renzo, de Mink, Fishbach, Justham*  
*arXiv:2006.06678*

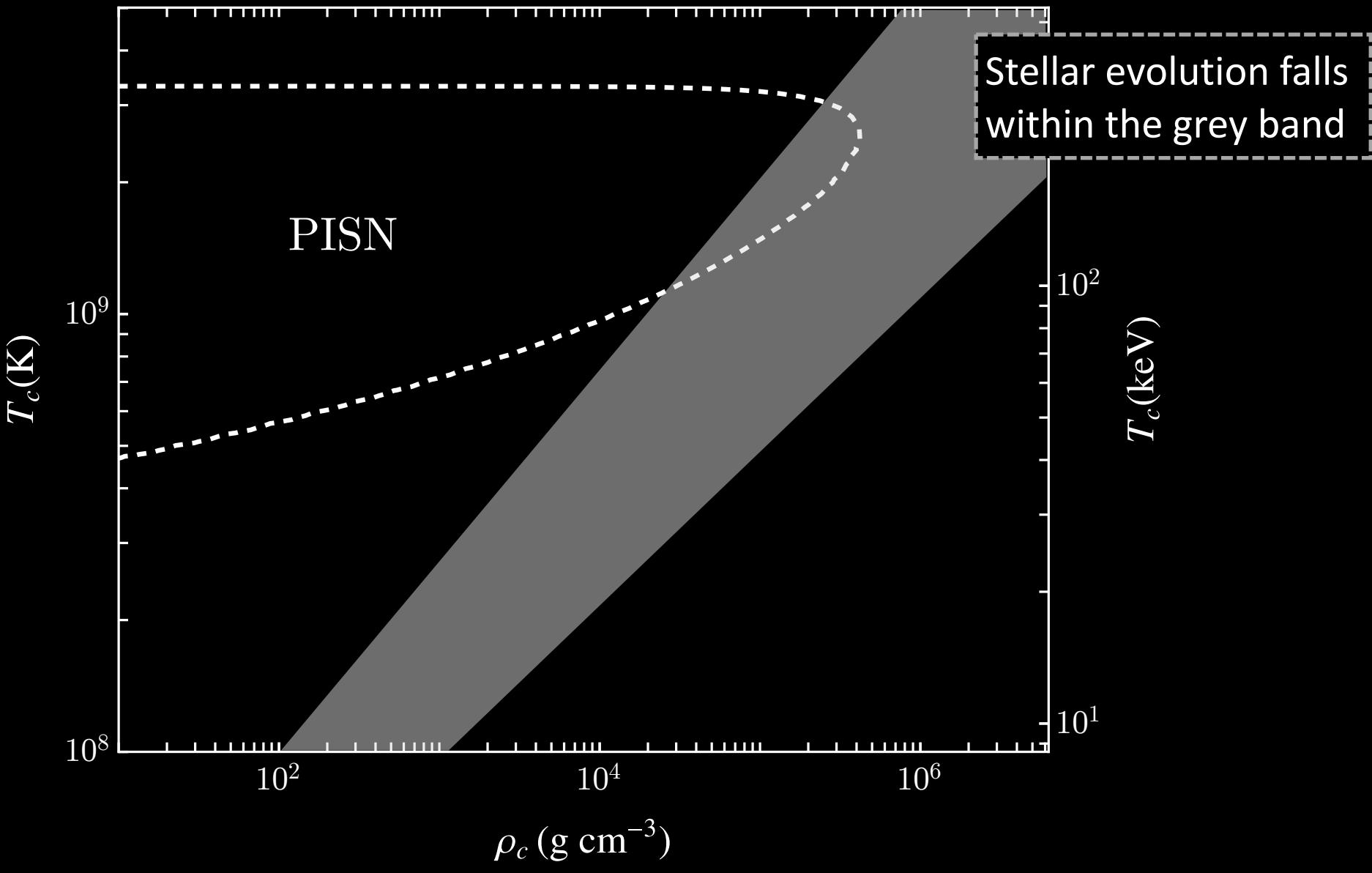
Gravity: the BHMG is a test of  
 $G_N$  in stellar

*Straight, Sakstein, Baxter,*  
*arXiv: 2009.10716*

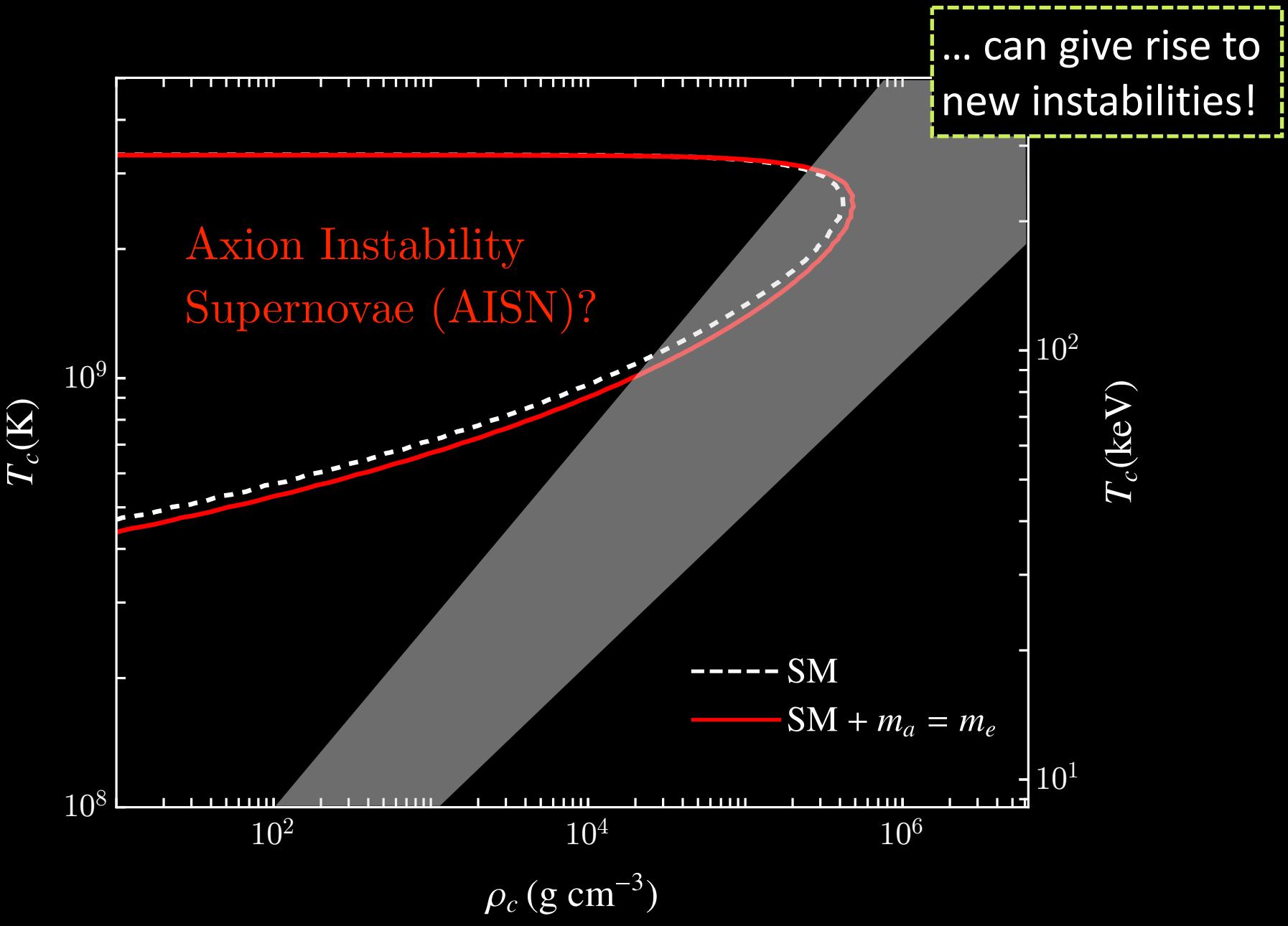
# Axions in the cosmological triangle



# Axions in stars



# Axions in stars



# Axions and the stellar EOS

- Assume an equilibrium distribution of axions, need to update the stellar EOS with axion contributions to:

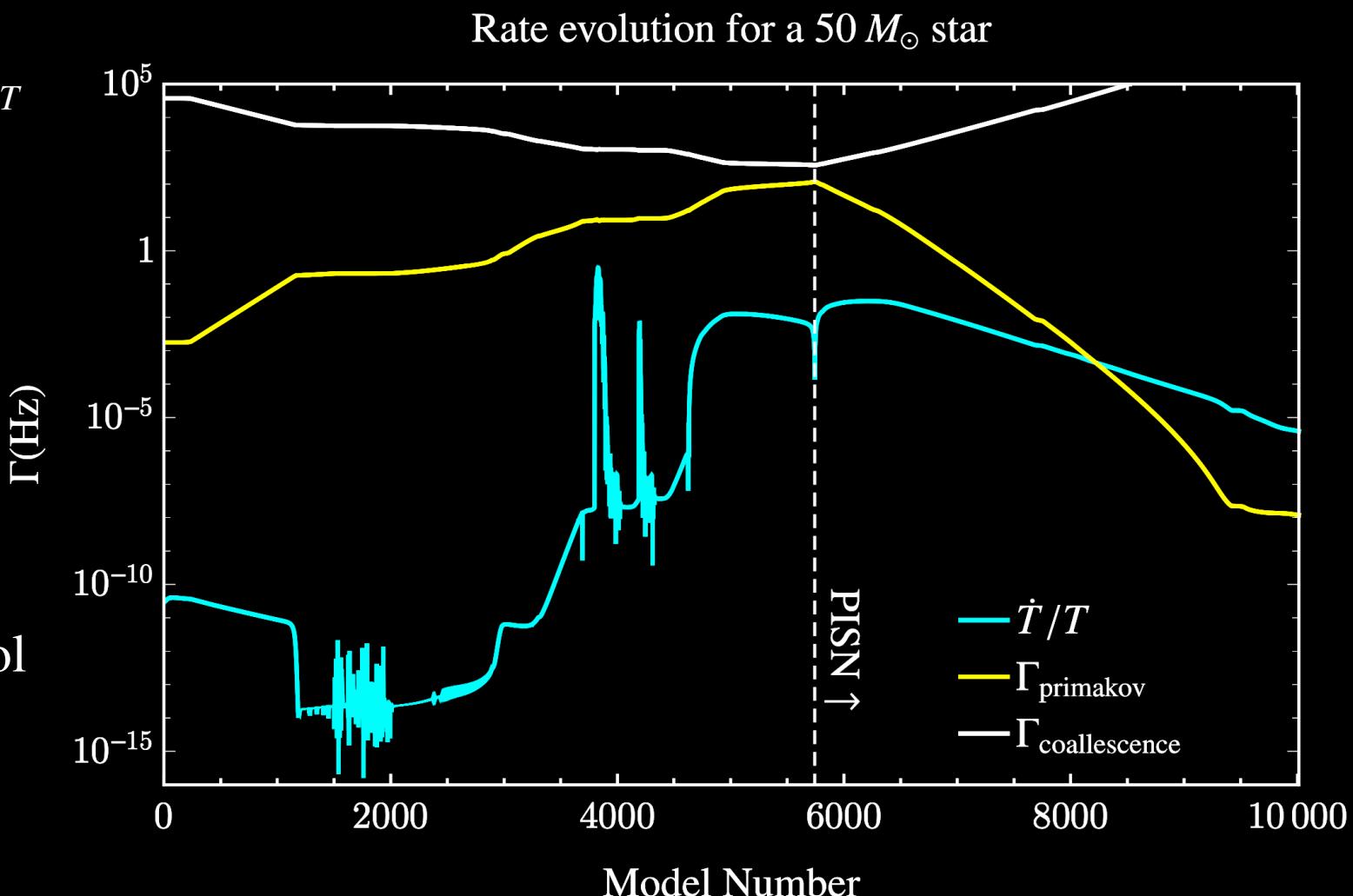
• $P_g$	• $\left(\frac{\partial s}{\partial \rho}\right)_T$	• $\left(\frac{\partial E}{\partial \rho}\right)_T$
• $E$		
• $s$	• $c_V$	• $\Gamma_1$
• $\left(\frac{\partial s}{\partial T}\right)_\rho$	• $c_P$	• $\Gamma_3$
	• $\chi_p$	• $\nabla_{\text{ad}}$
	• $\chi_T$	

# Axions and the stellar EOS

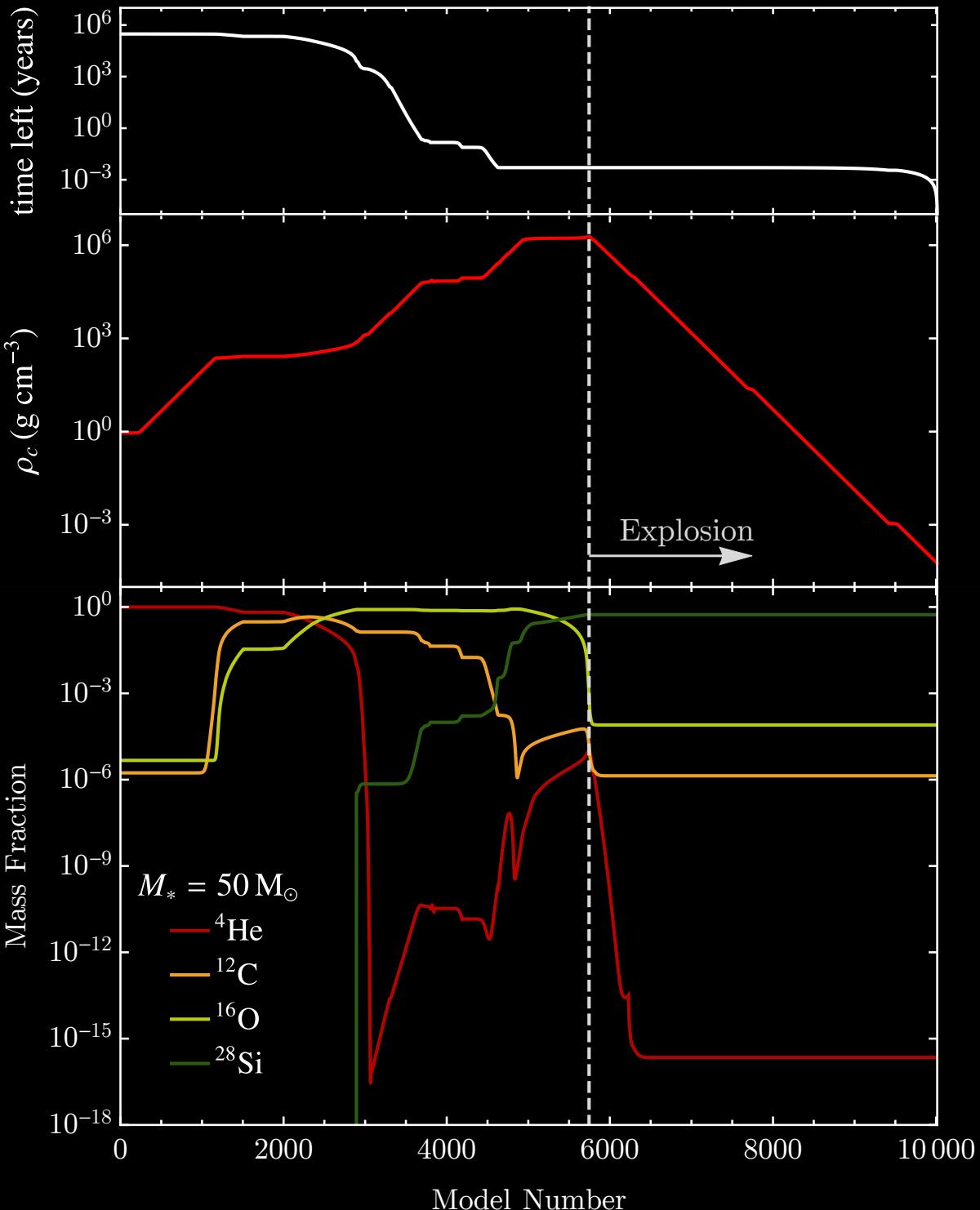
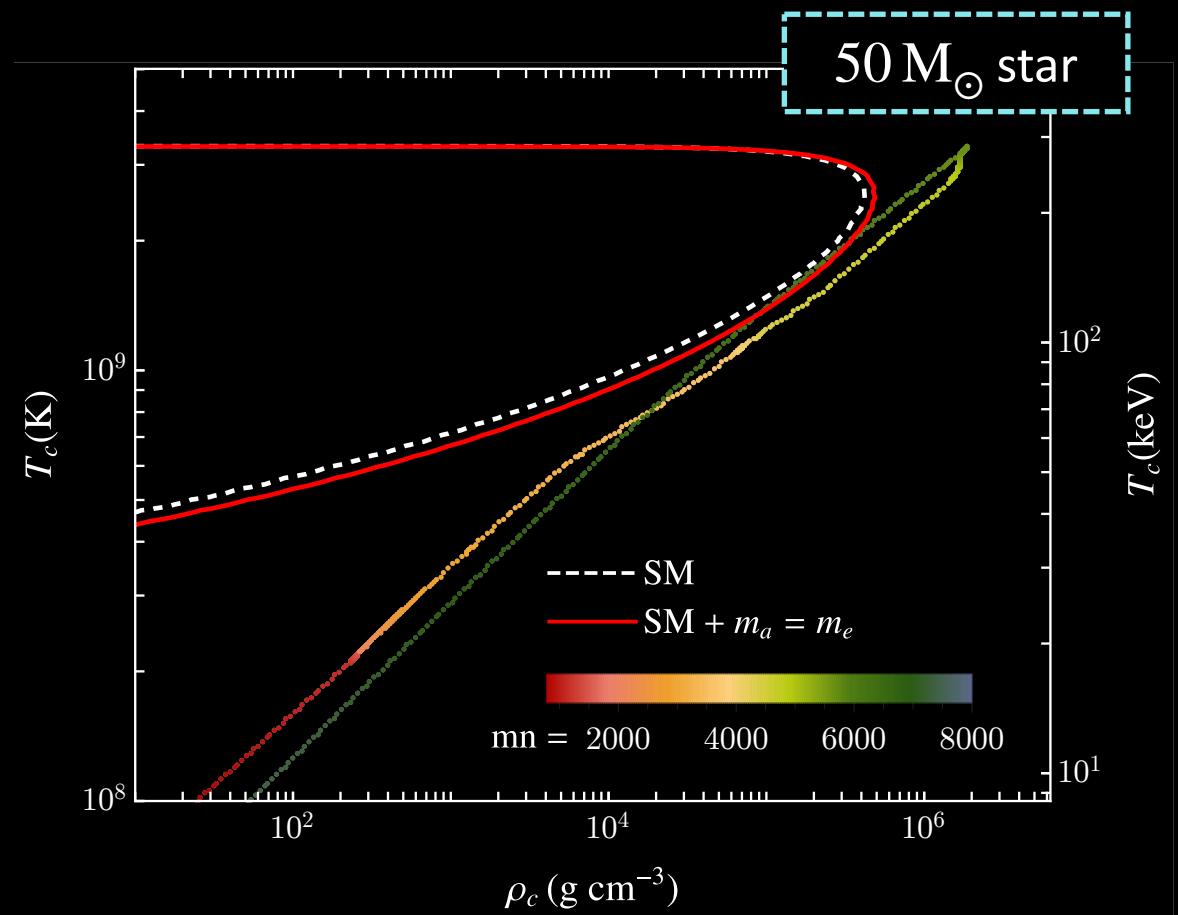
- Assume an equilibrium distribution of axions, need to update the stellar EOS with axion contributions to:

• $P_g$	• $\left(\frac{\partial s}{\partial \rho}\right)_T$	• $\left(\frac{\partial E}{\partial \rho}\right)_T$
• $E$	• $c_V$	• $\Gamma_1$
• $s$	• $c_P$	• $\Gamma_3$
• $\left(\frac{\partial s}{\partial T}\right)_\rho$	• $\chi_p$	• $\nabla_{\text{ad}}$
	• $\chi_T$	

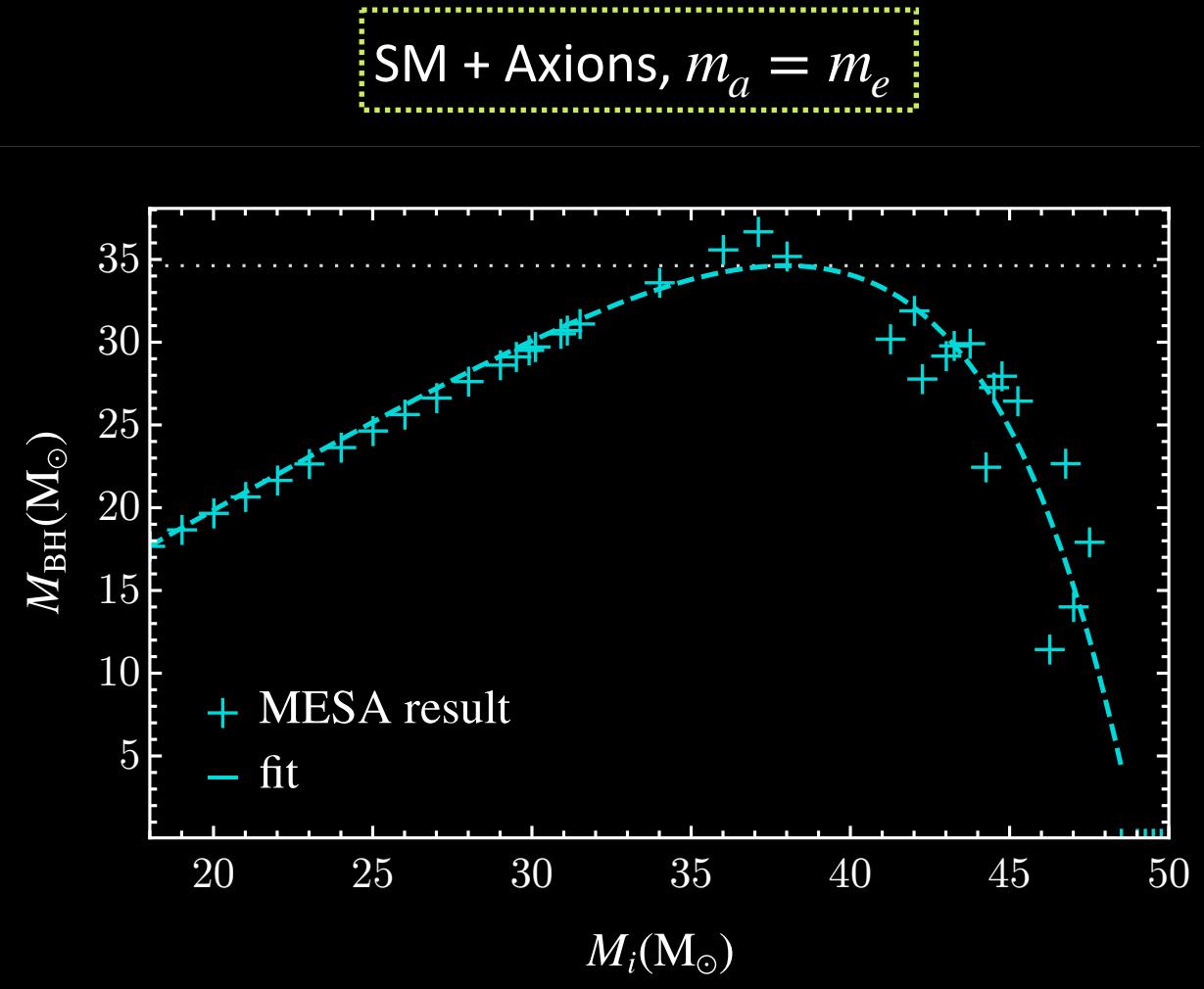
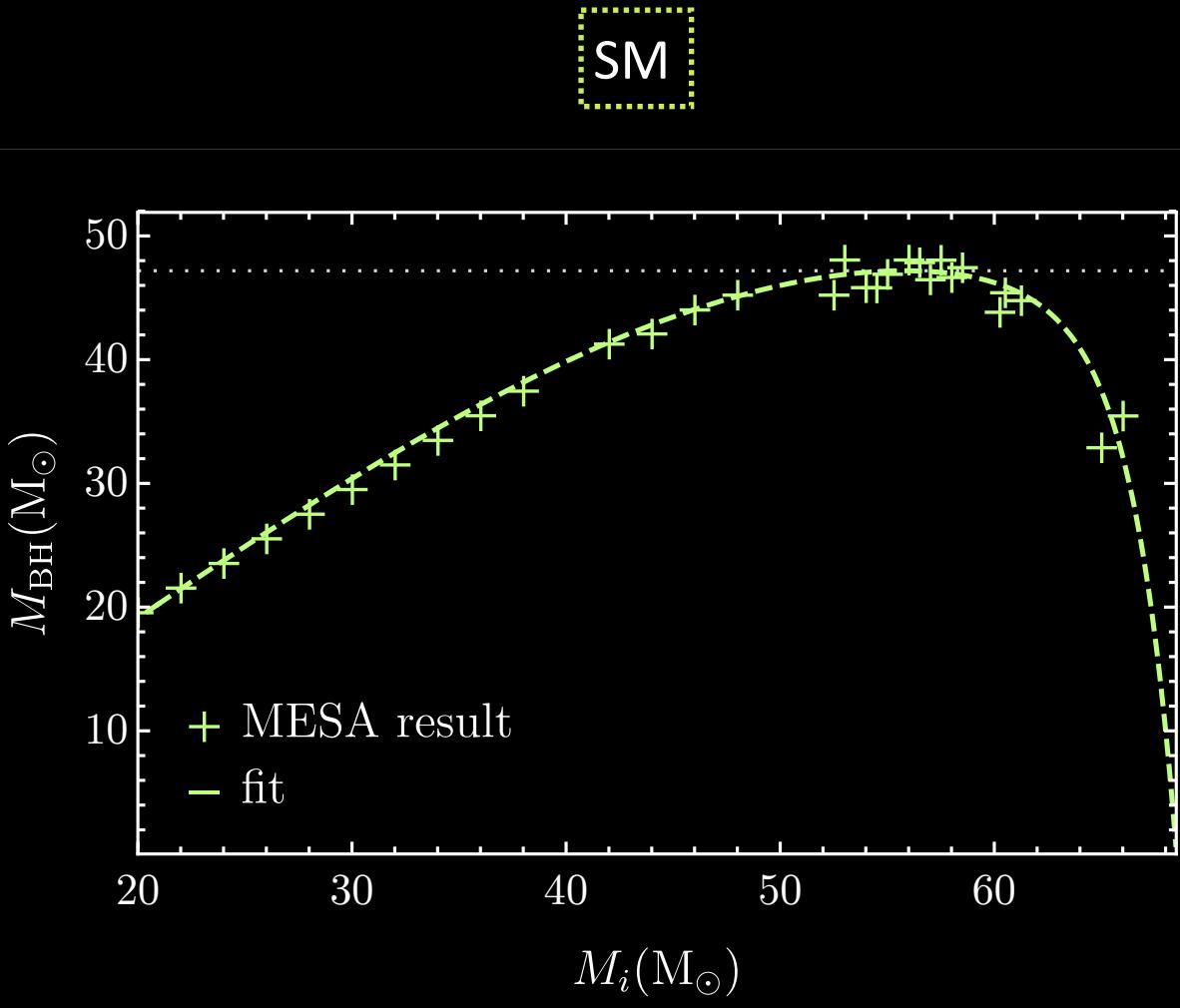
- Only valid if  $\Gamma_{\gamma \rightarrow a} > \Gamma_{\text{evol}}$



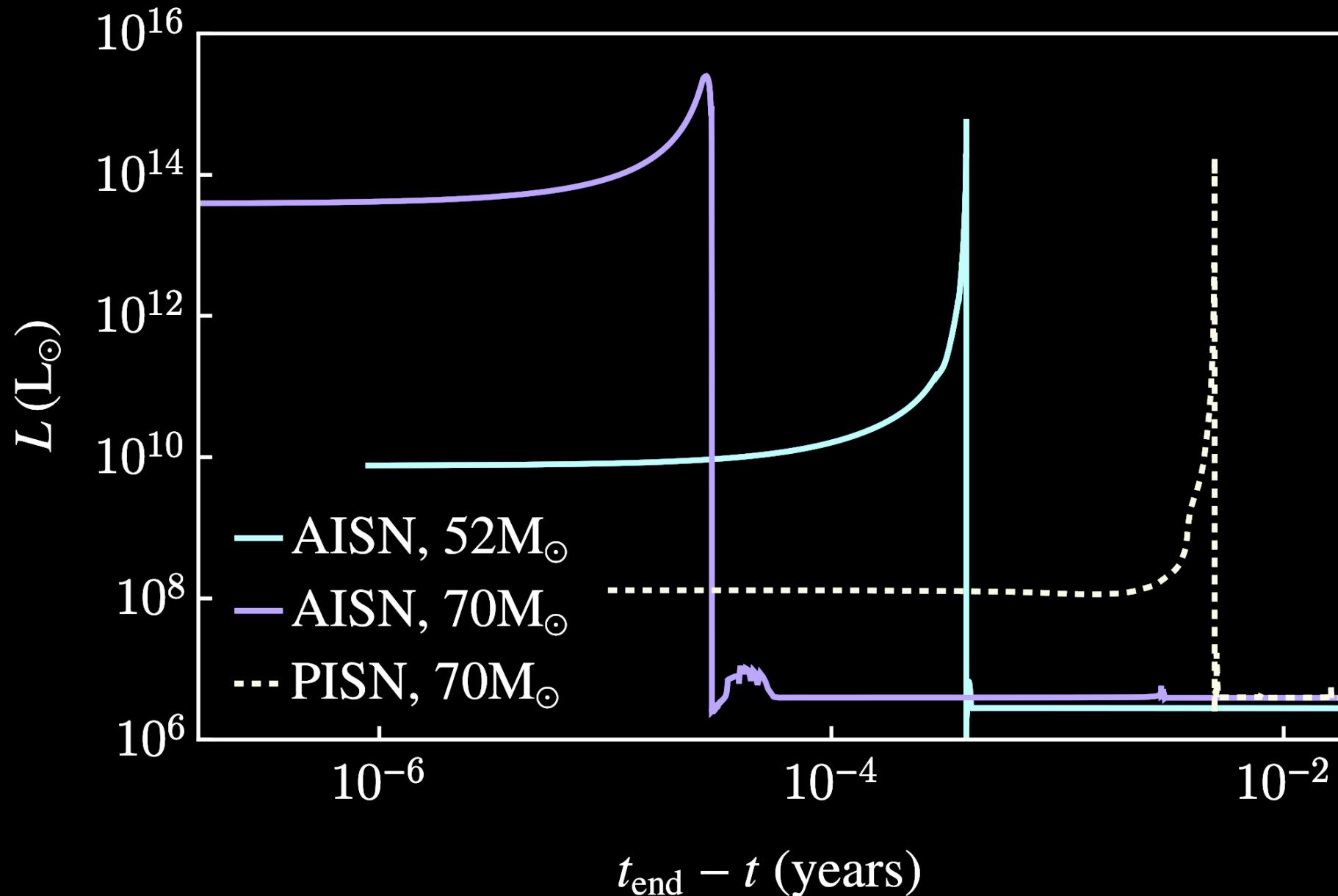
# AISN: evolution



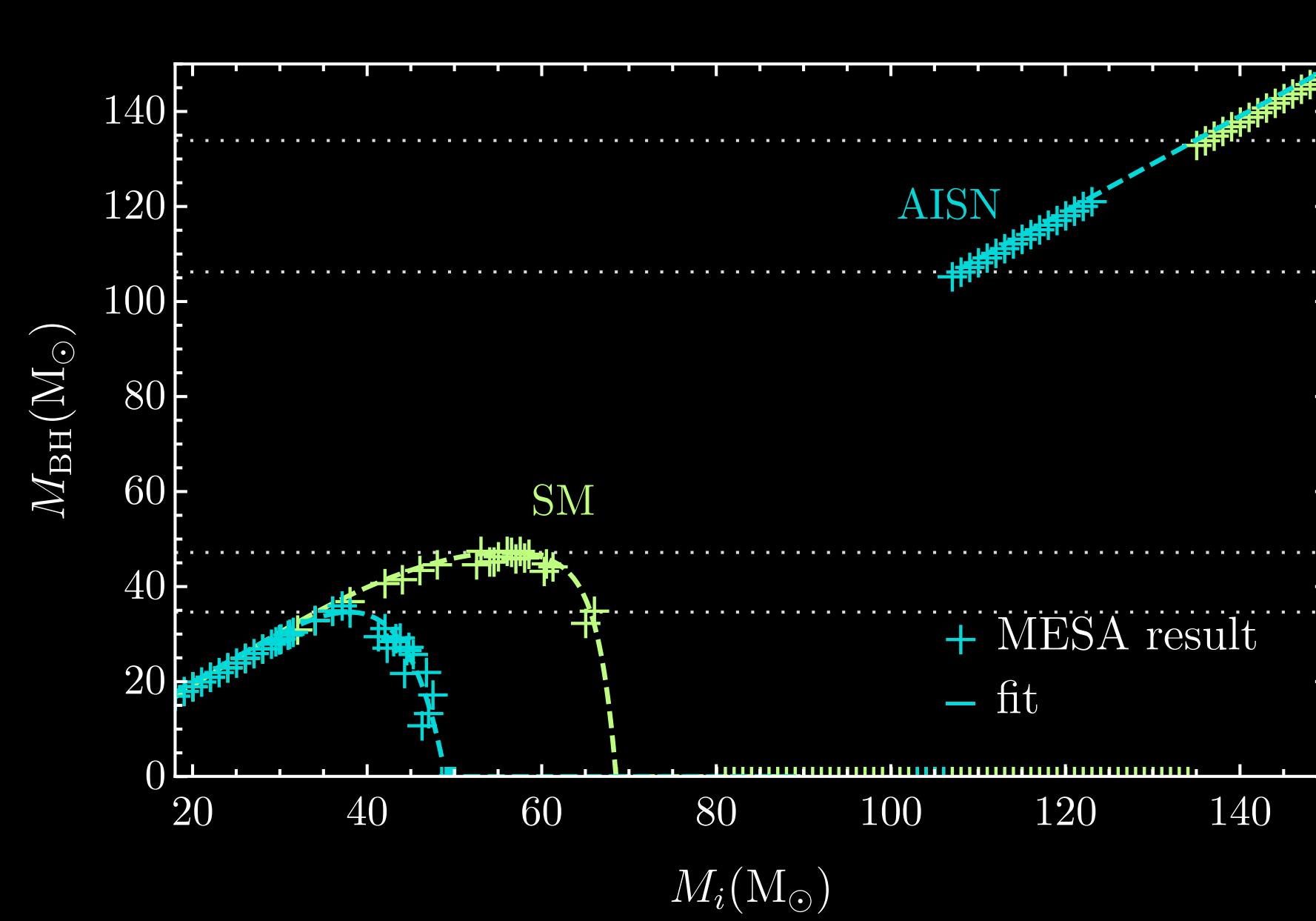
# AISN: resulting black holes



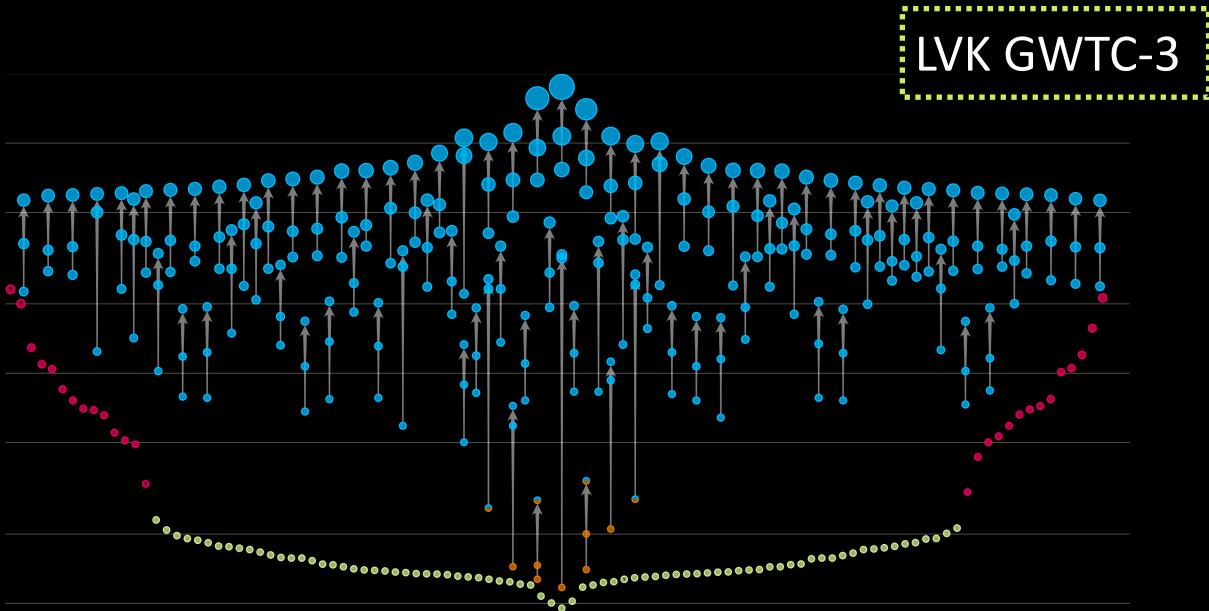
# AISN: luminosity



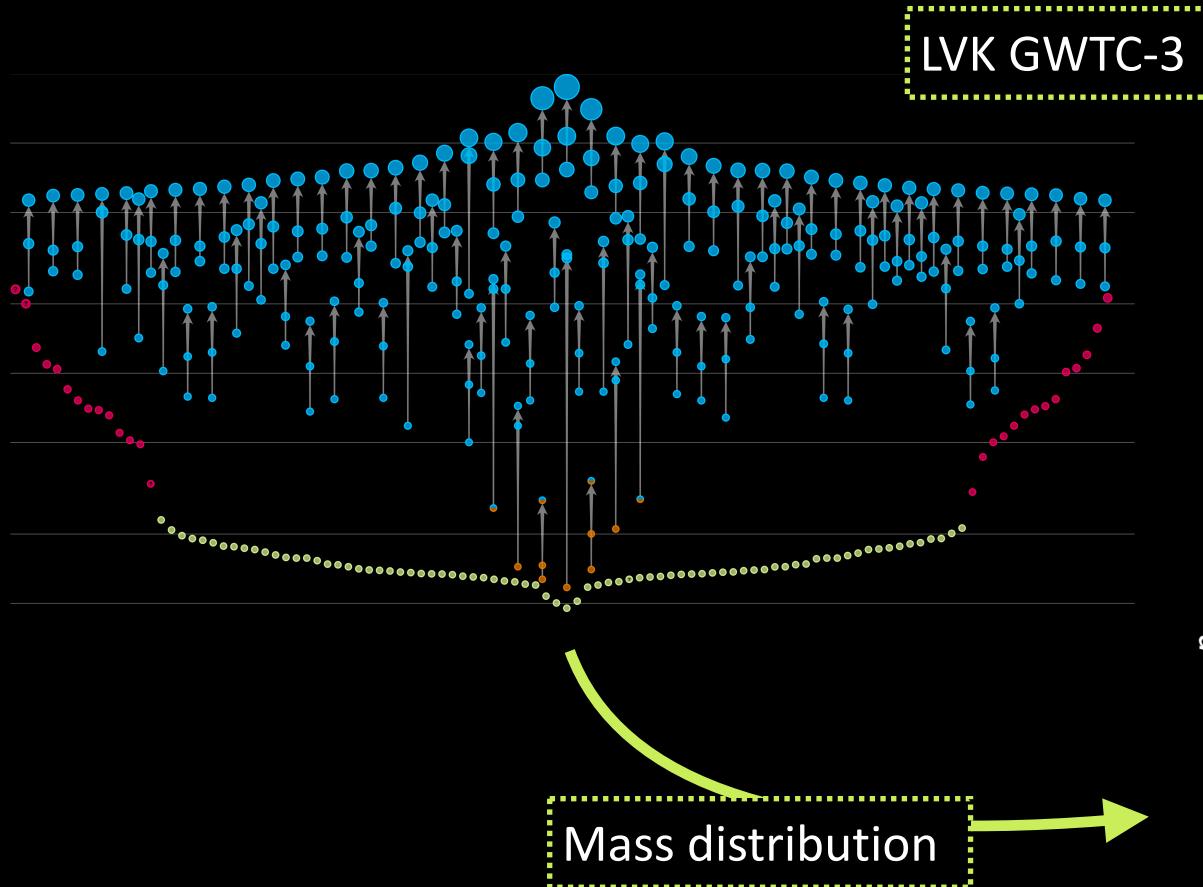
# Axions and photo disintegration instability



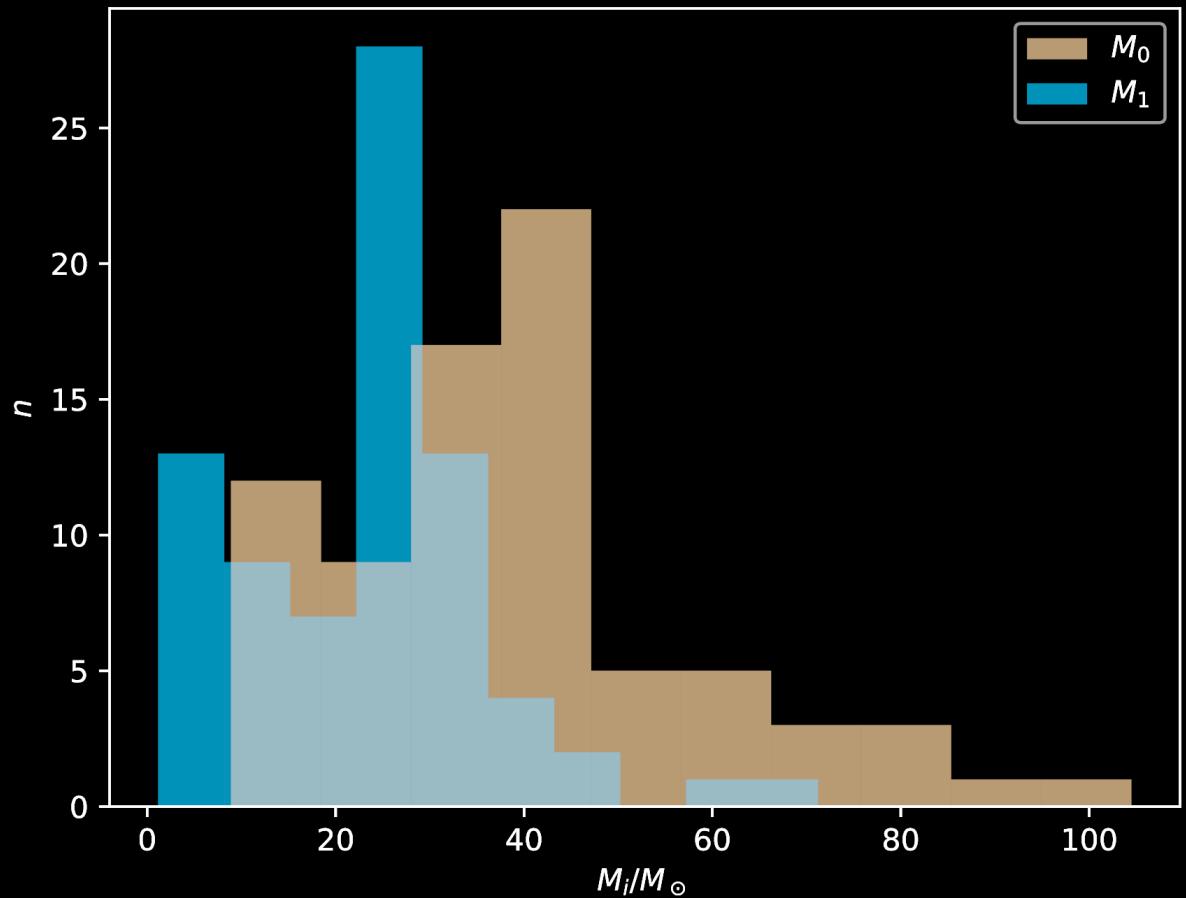
# Black hole archeology: what about the data?



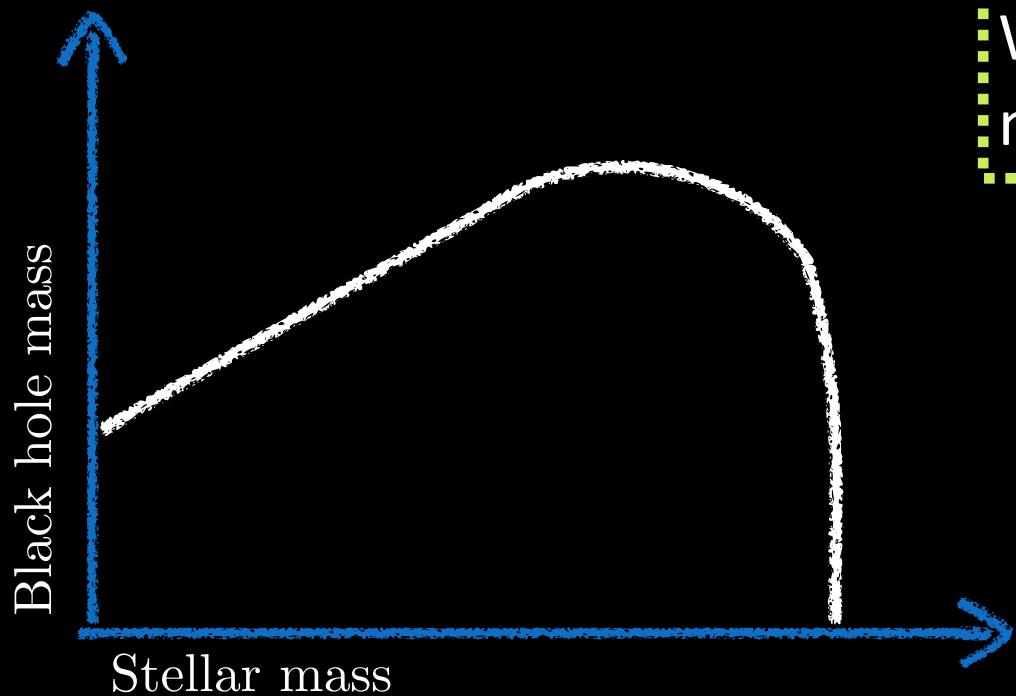
# Black hole archeology: what about the data?



Other parameters, such as spin alignment, eccentricity, redshift, and mass ratio can serve as further evidence for the binary's environment/merger history

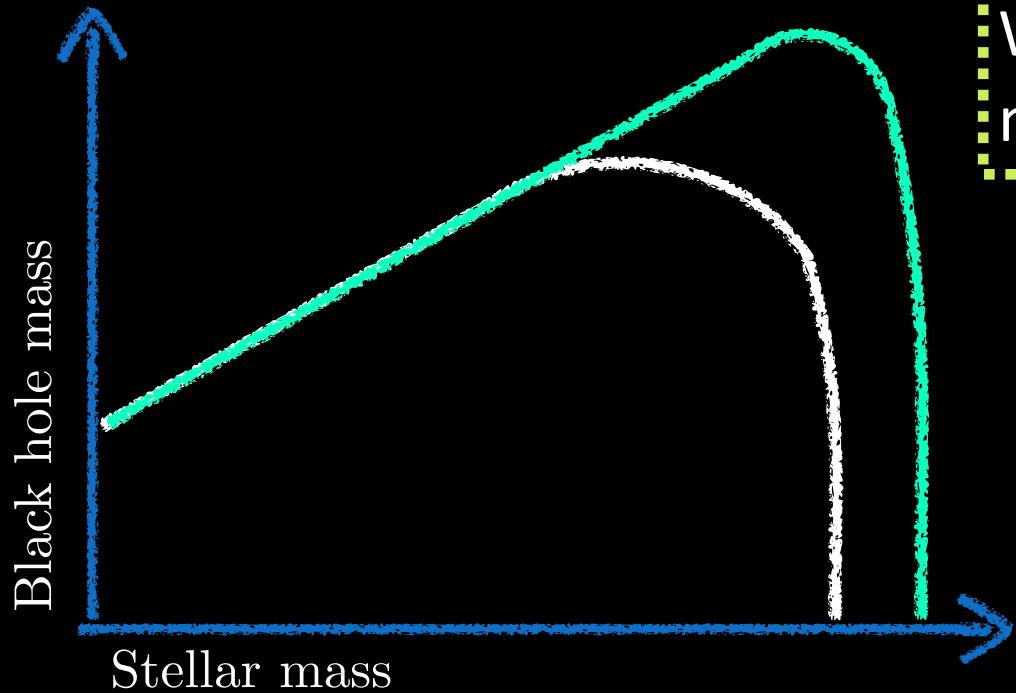


# Pair-instability and black hole populations



We can predict black hole masses from stellar masses through stellar evolution simulations

# Pair-instability and black hole populations



We can predict black hole masses from stellar masses through stellar evolution simulations

New particles or different nuclear physics may **change** this prediction

*DC, McDermott, Sakstein arXiv:2007.00650 [hep-ph]*

*DC, McDermott, Sakstein, PRD (editor's suggestion), arXiv:2007.07889 [gr-qc]*

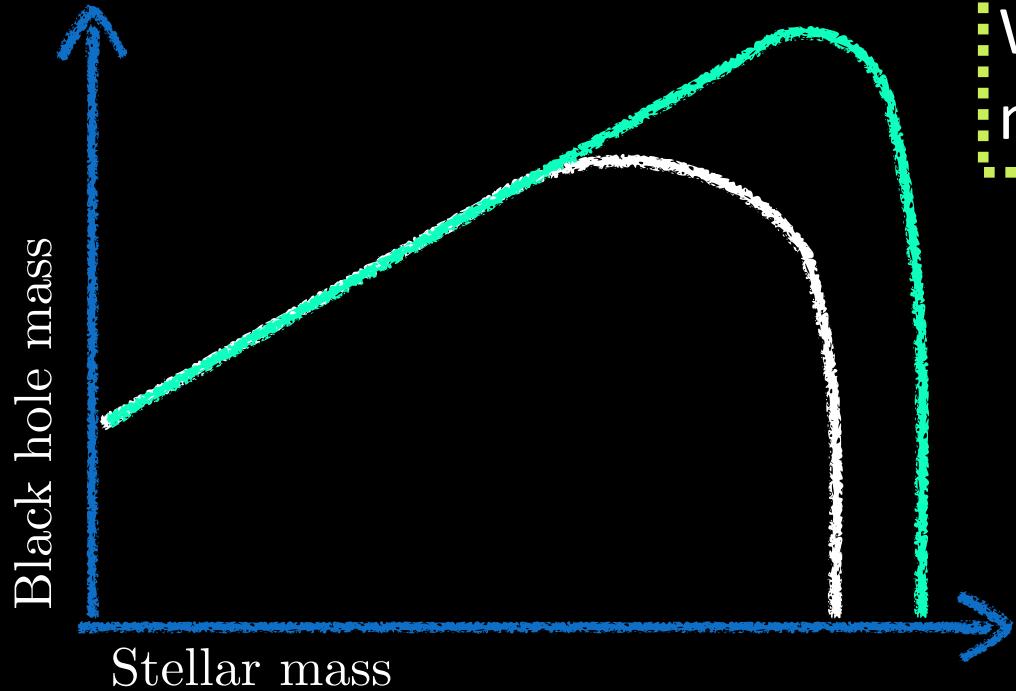
*Straight, Sakstein, Baxter, PRD, arXiv:2009.10716 [gr-qc]*

*Sakstein, DC, McDermott, Straight, Baxter, PRL, arXiv:2009.01213 [gr-qc]*

*Ziegler, Freese arXiv:2010.00254 [astro-ph]*

*...More work in progress*

# Pair-instability and black hole populations



We can predict black hole masses from stellar masses through stellar evolution simulations

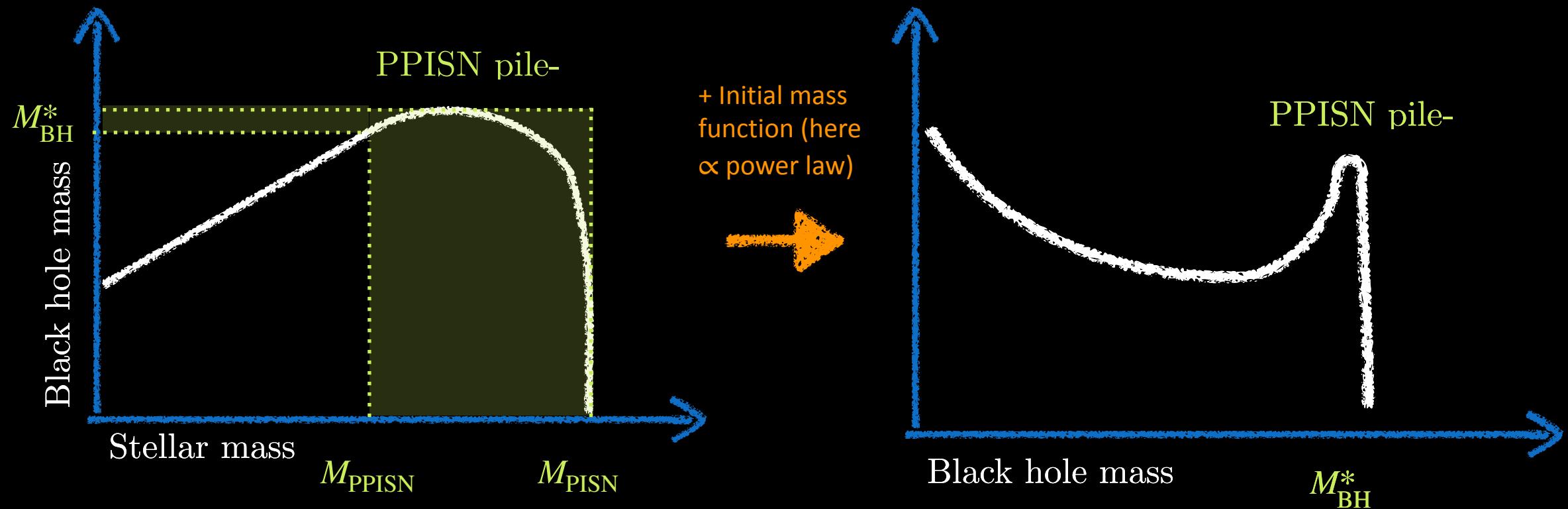
New particles or different nuclear physics may **change** this prediction

How can we use  
*data* to test that?



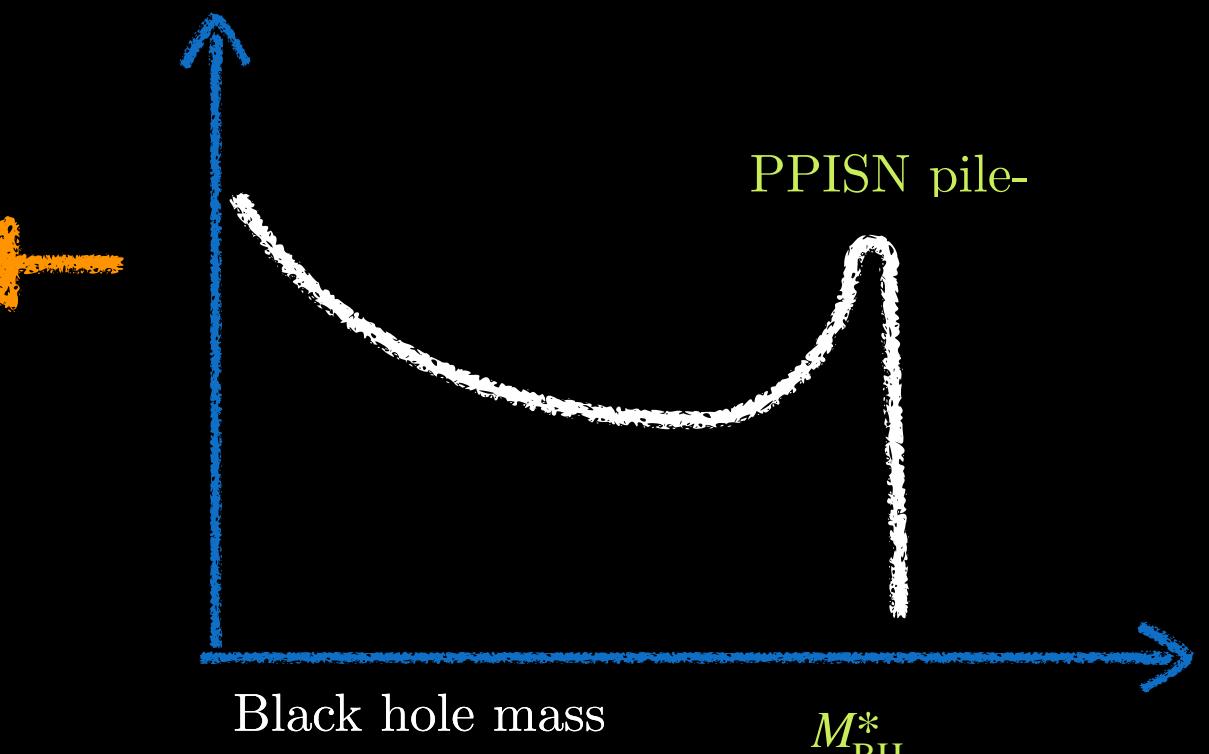
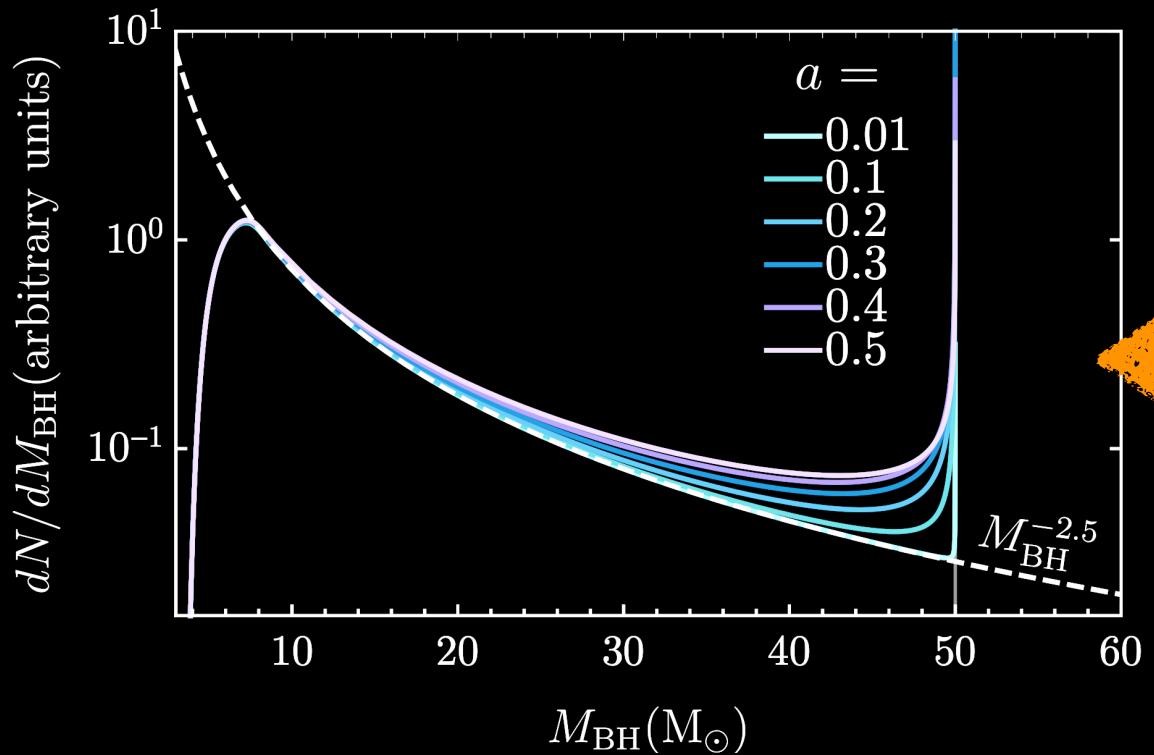
Baxter, DC, McDermott, Sakstein, arXiv:2104.02685

# Pair-instability and black hole populations



See also Talbot & Trane, arXiv:1801.02699

# Pair-instability and black hole populations



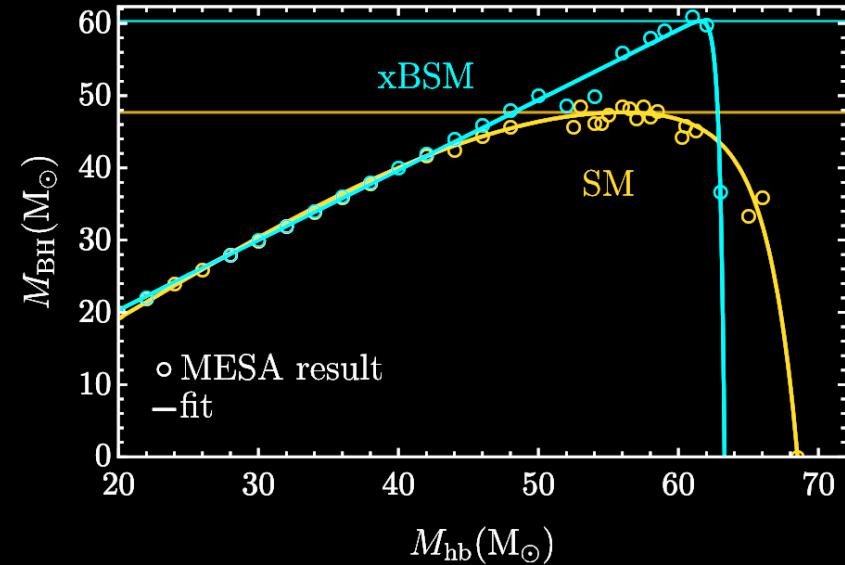
$$\boxed{\frac{dN_{\text{BH}}^{(1g)}}{dM_{\text{BH}}} \propto M_{\text{BH}}^b \left[ 1 + \frac{2a^2 M_{\text{BH}}^{1/2} (M_{\text{BHMG}} - M_{\text{BH}})^{a-1}}{M_{\text{BHMG}}^{a-1/2}} \right]}$$

Baxter, DC, McDermott, Sakstein, arXiv:2104.02685

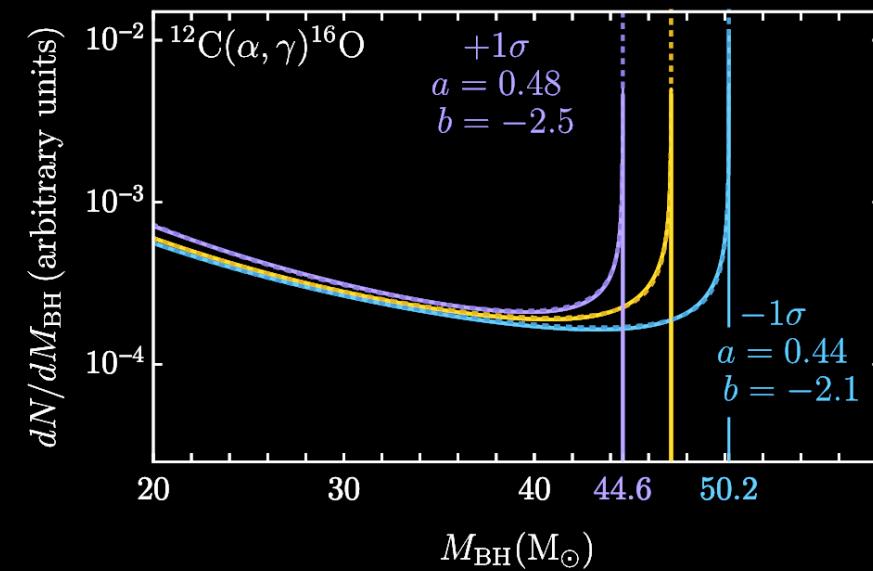
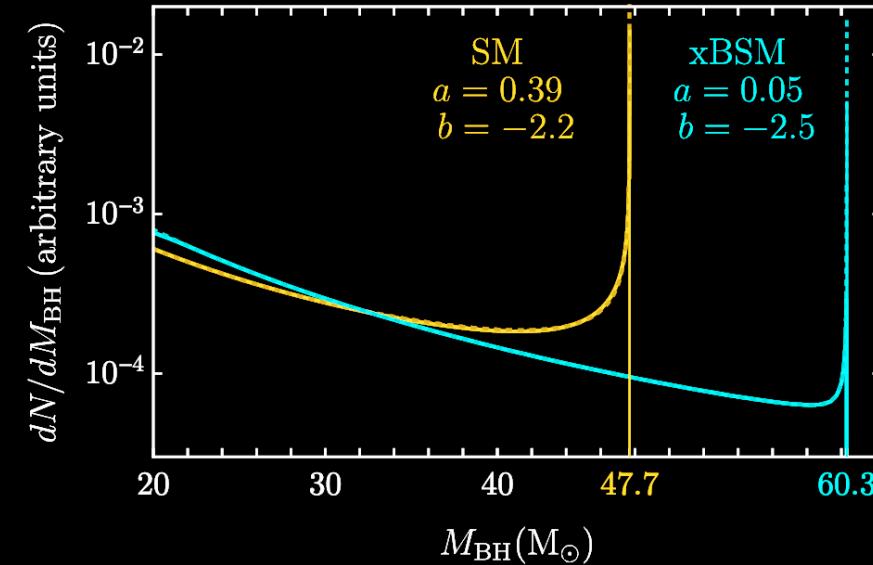
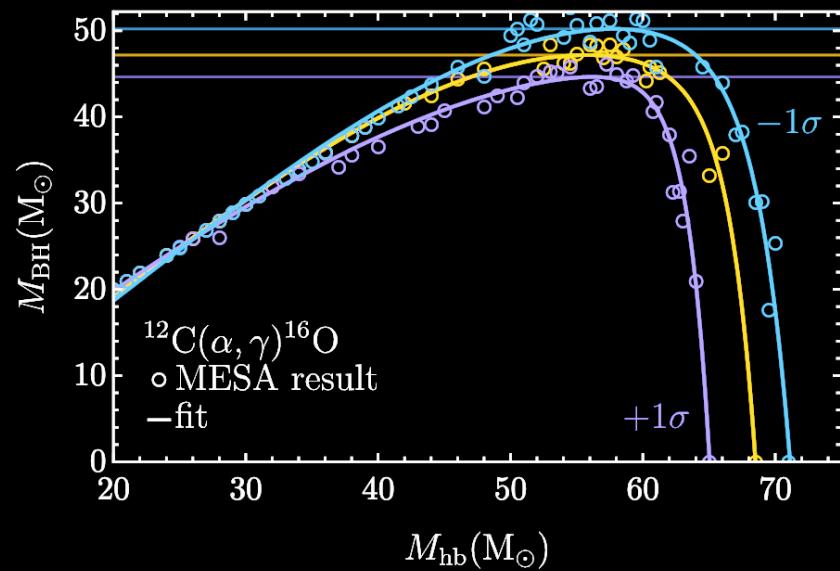
# Pair-instability and black hole populations



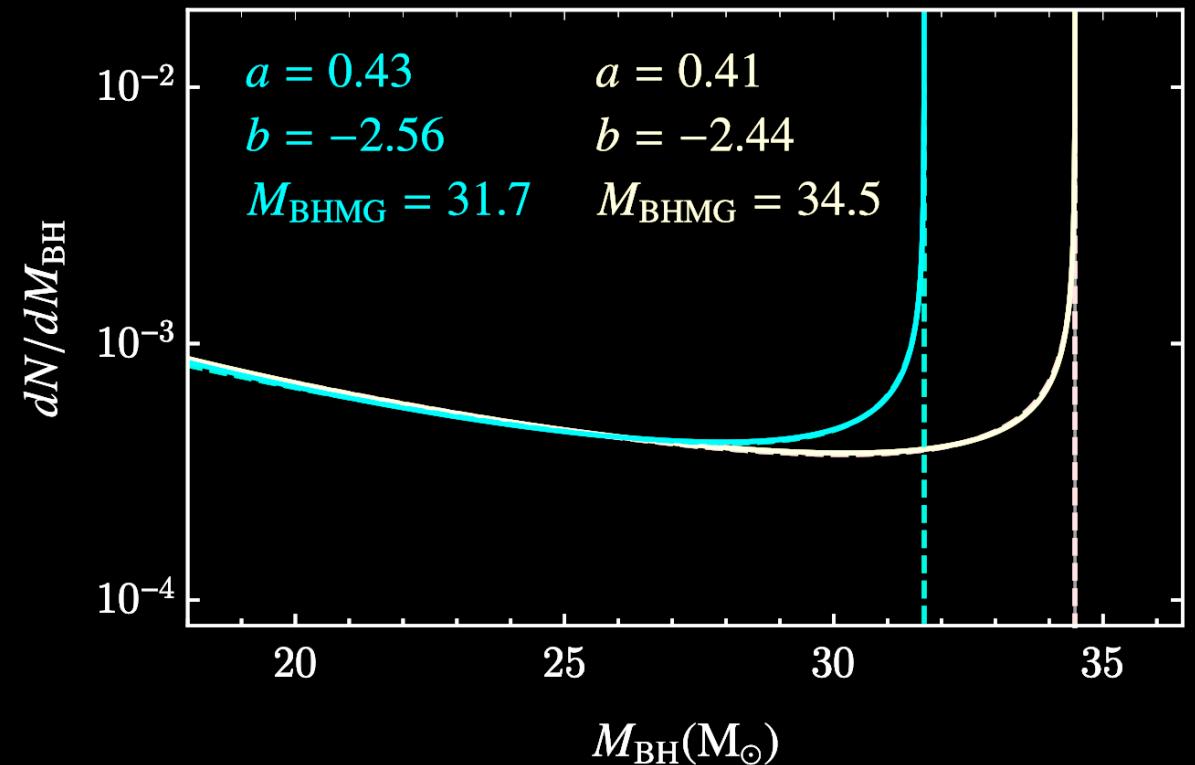
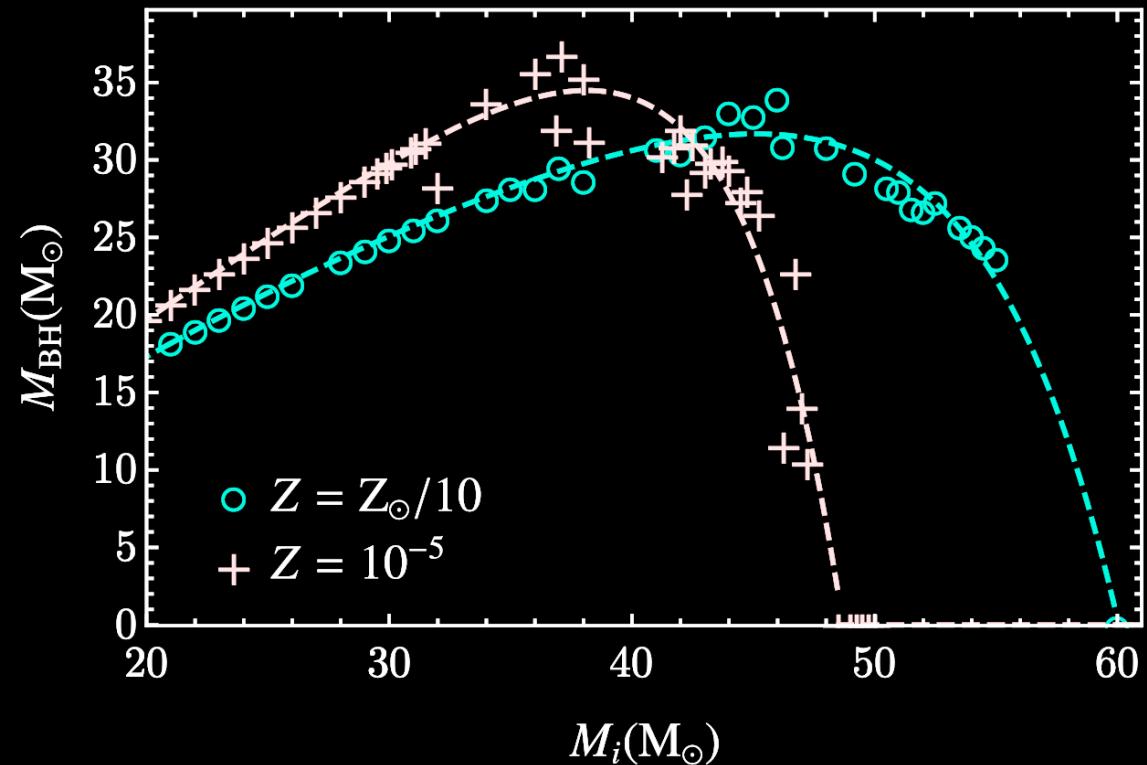
Testing BSM  
particle physics



Testing nuclear  
(astro) physics



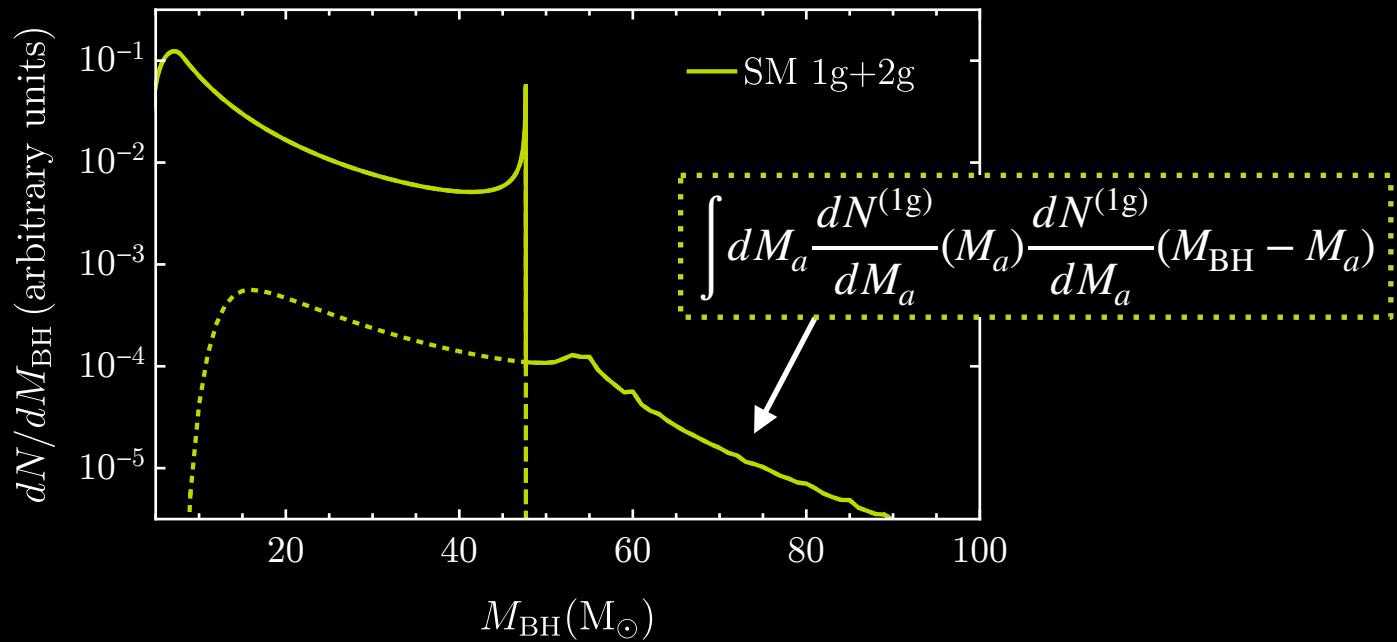
# AISN and black hole populations



# Dynamical mergers and black hole genealogy

Black holes formed in prior mergers may in principle populate the mass gap.

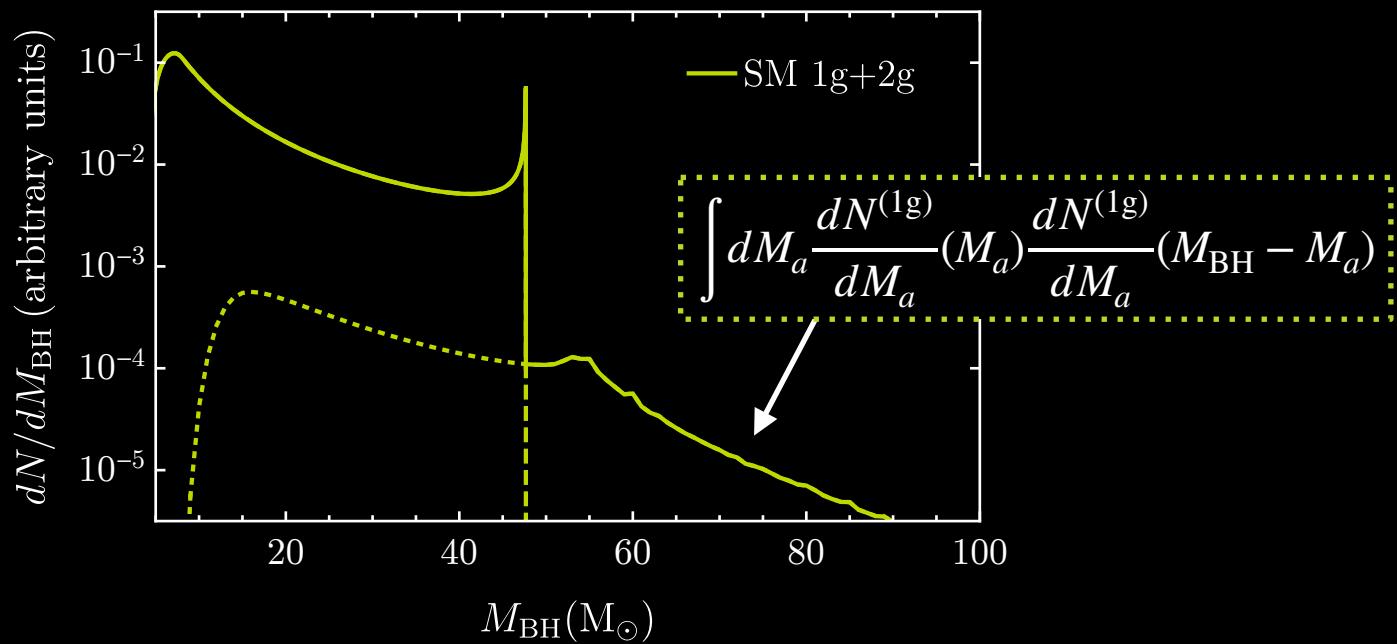
Their mass distribution inherits from the 1g mass distribution.



# Dynamical mergers and black hole genealogy

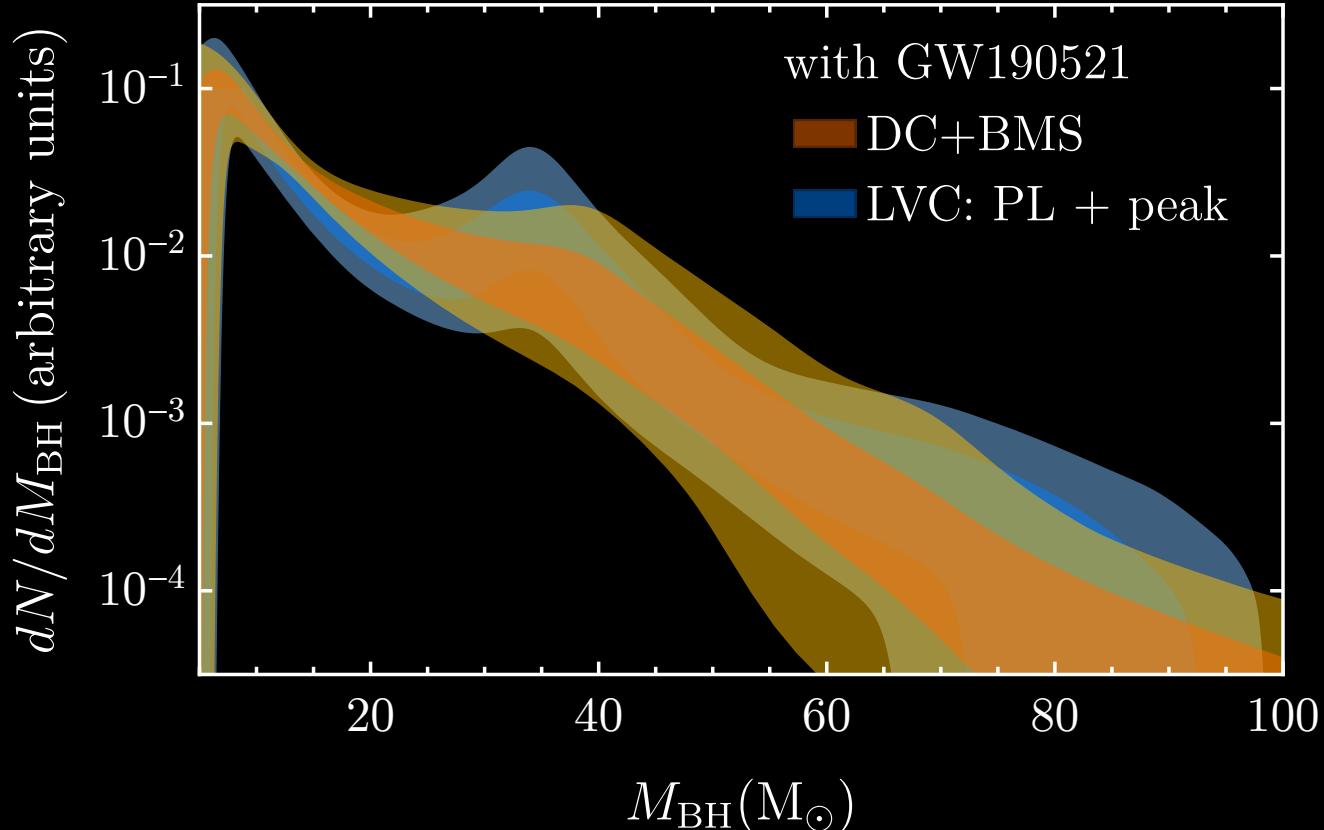
Black holes formed in prior mergers may in principle populate the mass gap.

Their mass distribution inherits from the 1g mass distribution.



$$\frac{dN}{dM_{\text{BH}}} = \frac{dN_{\text{BH}}^{(1g)}}{dM_{\text{BH}}} + \frac{dN_{\text{BH}}^{(2+g)}}{dM_{\text{BH}}} \left\{ \begin{array}{l} \frac{dN_{\text{BH}}^{(1g)}}{dM_{\text{BH}}} \propto M_{\text{BH}}^b \left[ 1 + \frac{2a^2 M_{\text{BH}}^{1/2} (M_{\text{BHMG}} - M_{\text{BH}})^{a-1}}{M_{\text{BHMG}}^{a-1/2}} \right] : \text{first generation black holes } (a, b, M_{\text{BHMG}}) \\ \frac{dN^{(2+g)}}{dM_{\text{BH}}} \propto \lambda \min \left[ 1, \left( \frac{M_{\text{BH}}}{M_{\text{BHMG}} + M_{\text{min}} + \delta_m/2} \right)^d \right] : \text{"Pollutant" population (2g+) } (d, \lambda) \end{array} \right.$$

# Binary mergers in LIGO/Virgo O3a

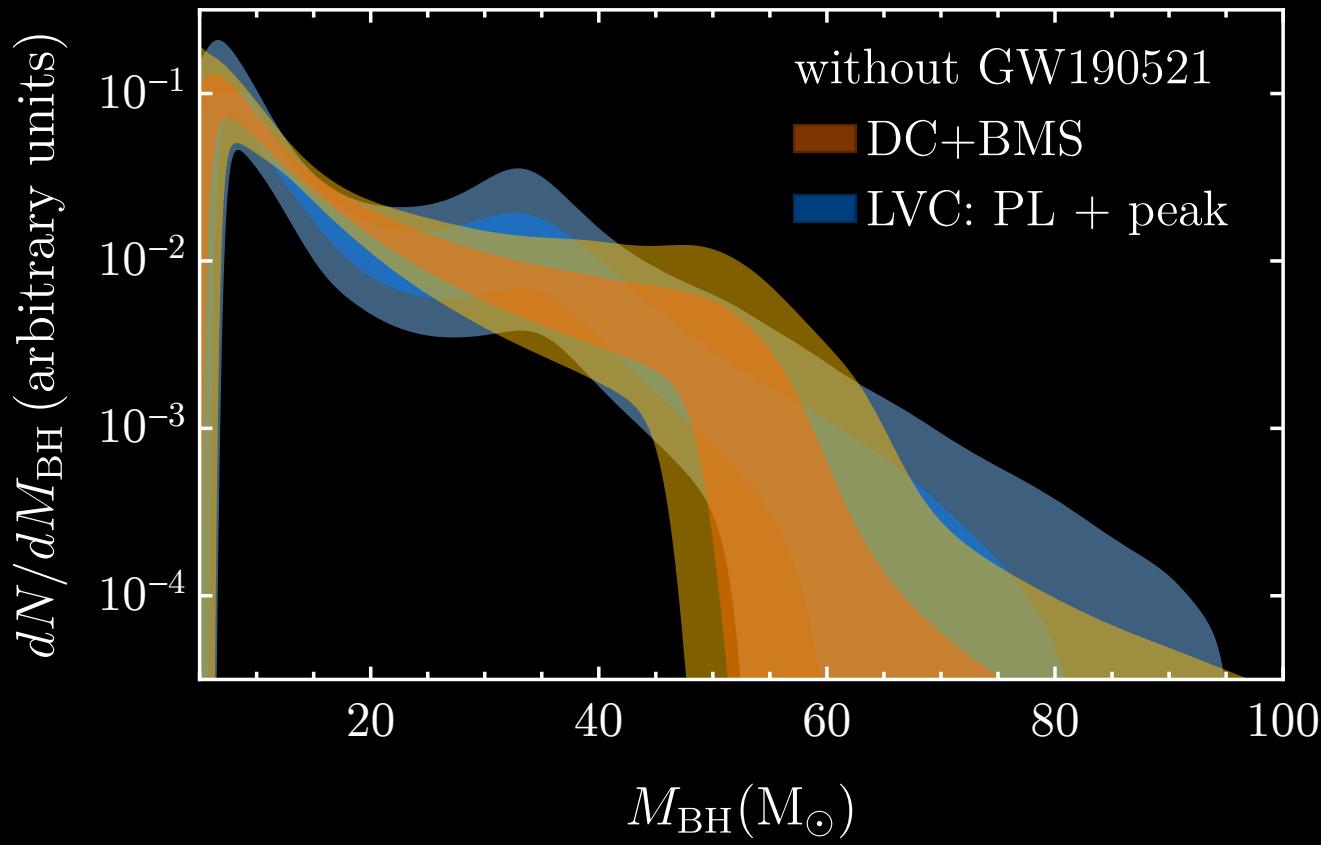


	This work, Eq. (7)	with GW190521
$\log_{10} \lambda$	$-0.88^{+0.41}_{-1.46}$	$46.23^{+16.83}_{-6.15}$
$M_{\text{BHMG}}$ [ $M_{\odot}$ ]	$a$	$0.23^{+0.17}_{-0.16}$
	$b$	$-1.95^{+0.51}_{-0.54}$
	$d$	$-5.95^{+1.75}_{-2.07}$
$M_{\min}$ [ $M_{\odot}$ ]		$3.38^{+1.50}_{-1.56}$
$\delta_m$ [ $M_{\odot}$ ]		$5.12^{+2.97}_{-3.19}$

LVC: PL+peak	with GW190521
$\alpha$	$2.72^{+0.38}_{-0.48}$
$M_{\max}$ [ $M_{\odot}$ ]	$85^{+10}_{-8}$
$\lambda_{\text{peak}}$	$0.113^{+0.032}_{-0.094}$
$\mu_m$ [ $M_{\odot}$ ]	$34.0^{+2.2}_{-1.7}$
$\sigma_m$ [ $M_{\odot}$ ]	$4.7^{+1.8}_{-3.5}$
$M_{\min}$ [ $M_{\odot}$ ]	$4.40^{+1.3}_{-0.89}$
$\delta_m$ [ $M_{\odot}$ ]	$< 4.75$

# Binary mergers in LIGO/Virgo O3a



This work, Eq. (7)		no GW190521
$\log_{10} \lambda$		$-3.92^{+2.40}_{-2.02}$
$M_{\text{BHMG}}$ [ $M_{\odot}$ ]		$54.11^{+5.85}_{-4.96}$
$a$		$0.23^{+0.18}_{-0.16}$
$b$		$-1.98^{+0.45}_{-0.42}$
$d$		$-5.79^{+3.54}_{-2.81}$
$M_{\min}$ [ $M_{\odot}$ ]		$3.33^{+1.47}_{-1.66}$
$\delta_m$ [ $M_{\odot}$ ]		$5.15^{+2.97}_{-3.15}$
LVC: PL+peak		no GW190521
$\alpha$		$3.08^{+0.51}_{-1.2}$
$M_{\max}$ [ $M_{\odot}$ ]		$72^{+9}_{-10}$
$\lambda_{\text{peak}}$		$0.107^{+0.029}_{-0.092}$
$\mu_m$ [ $M_{\odot}$ ]		$33.4^{+2.5}_{-2.1}$
$\sigma_m$ [ $M_{\odot}$ ]		$> 4.49$
$M_{\min}$ [ $M_{\odot}$ ]		$4.56^{+1.3}_{-0.77}$
$\delta_m$ [ $M_{\odot}$ ]		$< 4.04$

To conclude,

- Gravitational waves offer an **exciting new opportunity** to study open questions in stellar astrophysics and particle physics
- **Pair-instability supernovae** lead to unpopulated space in the stellar graveyard → the **black hole mass gap** is an entirely new probe of particle & nuclear physics
- Black hole population studies will allow us to study stellar evolution → **black hole archeology**

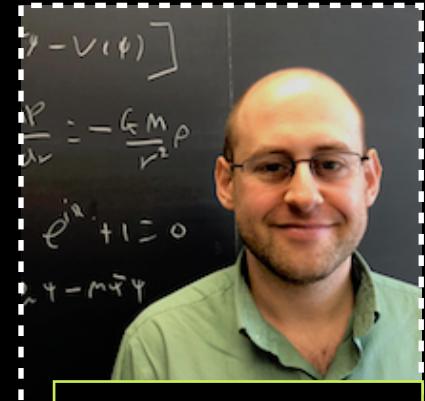
# Thank you!

...ask me anything you like!

djuna.l.croon@durham.ac.uk | djunacroon.com



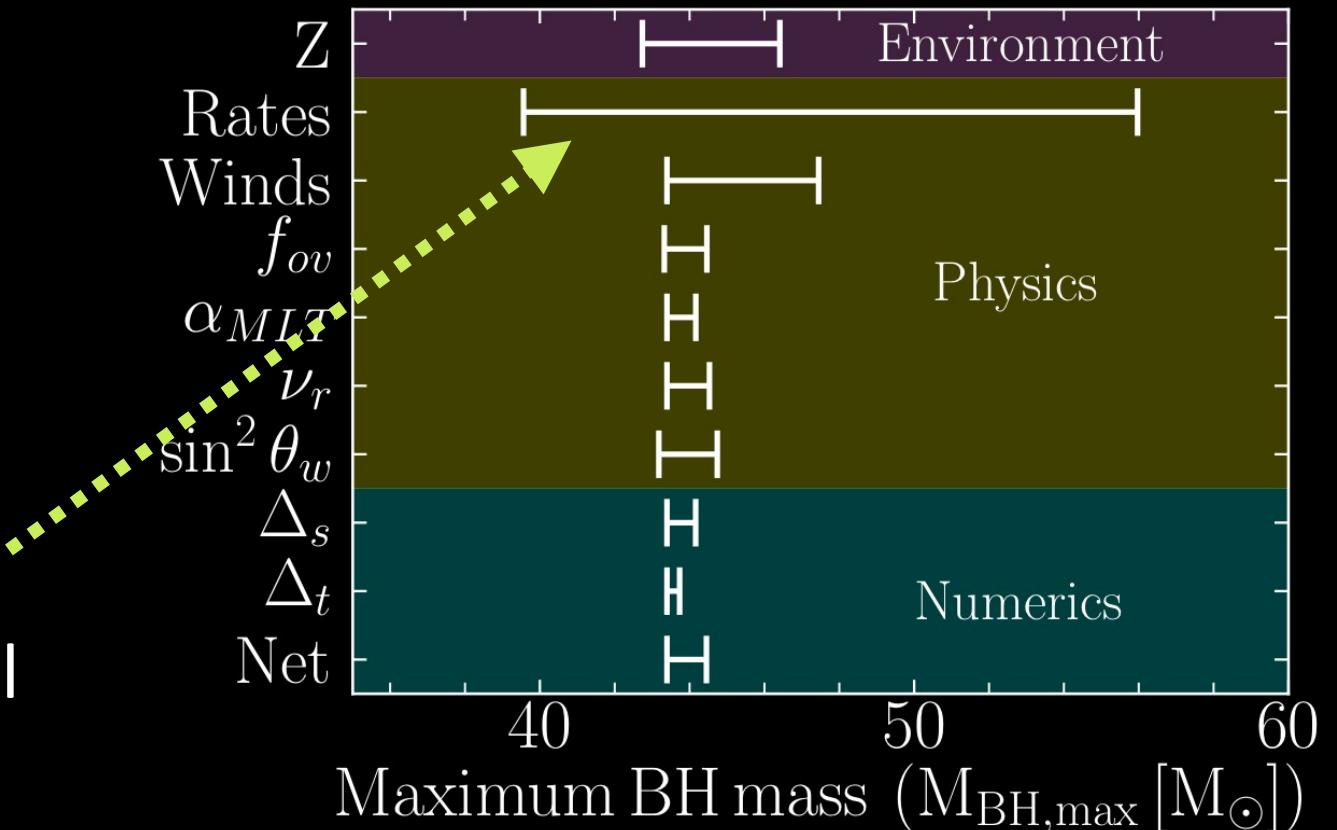
Sam McDermott



Jeremy Sakstein

# Physics dependence of the BHMG

- Astrophysical + nuclear + numerical dependence
- Most important dependence:  $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$  rate
- Using updated deBoer et al rate, BHMG found at  $48^{+2}_{-3} M_{\odot}$



deBoer et al arXiv:1709.03144 [hep-ex]

Farmer, Renzo, de Mink, Fishbach,  
Justham arXiv:2006.06678 [astro-ph.SR]

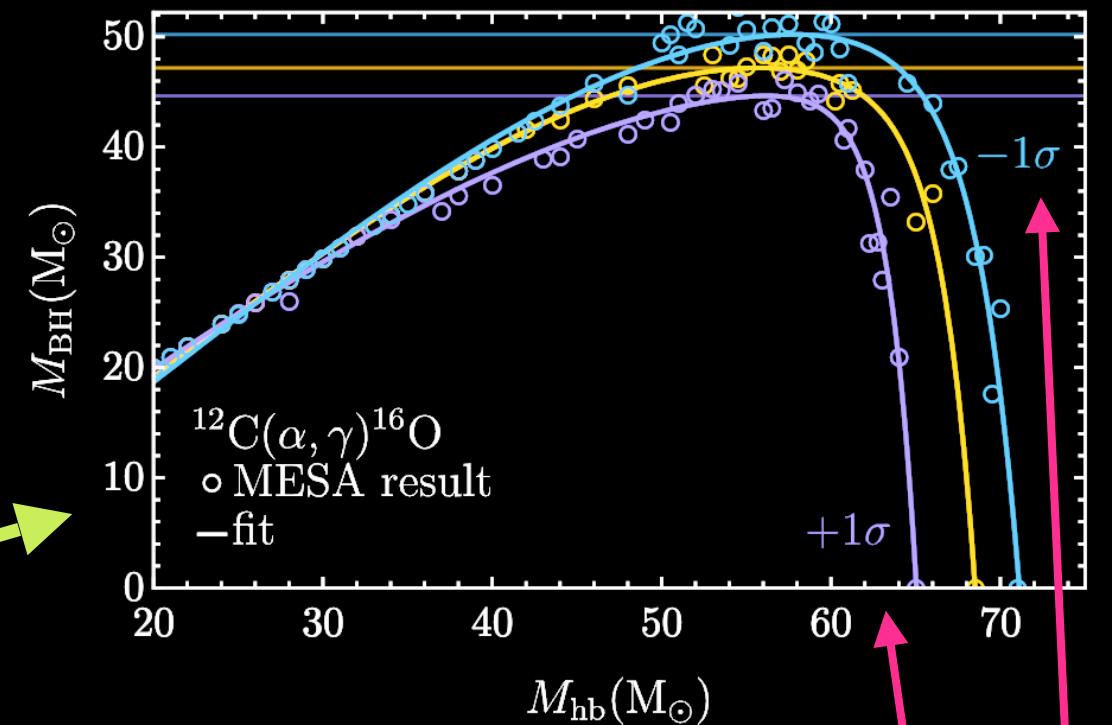
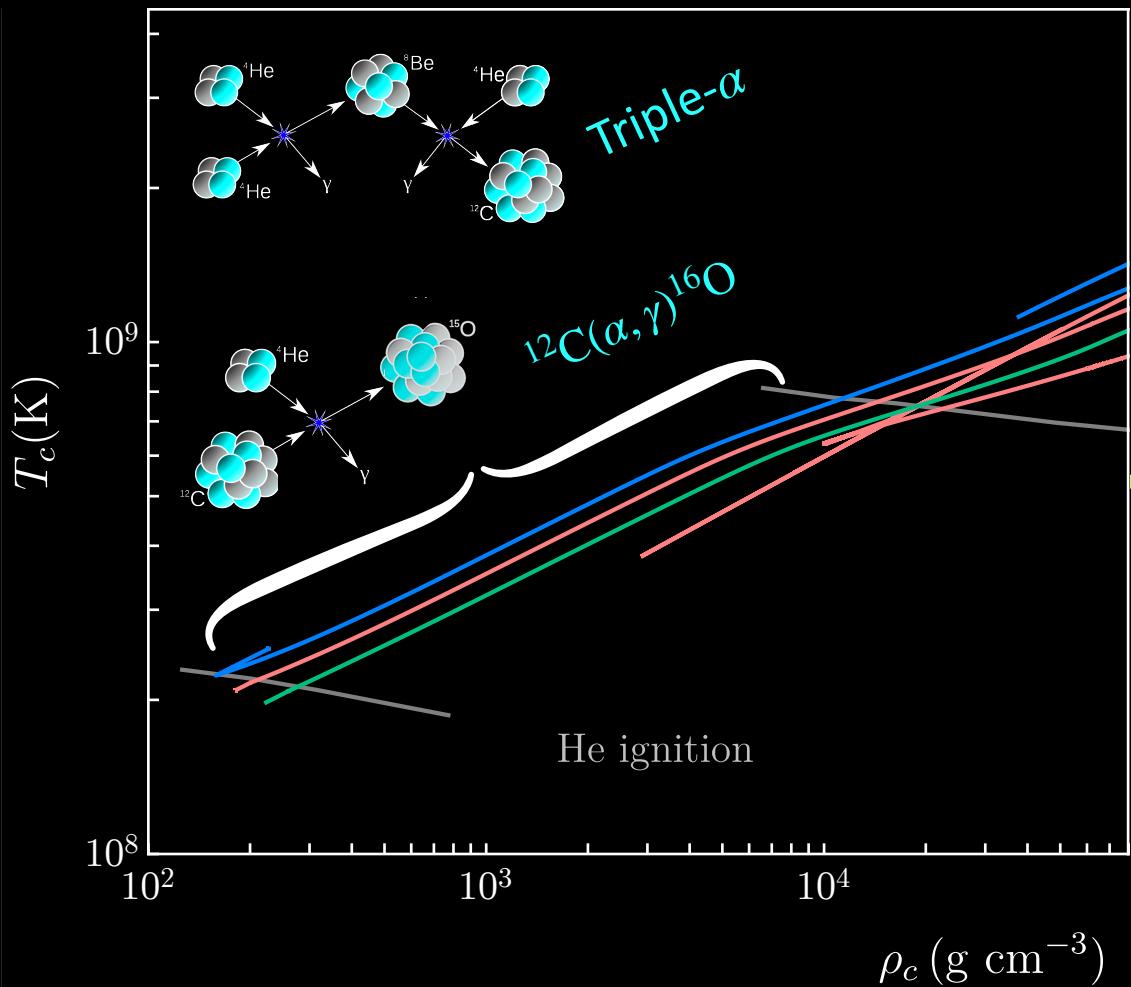
Farmer, Renzo, de Mink, Marchant, Justham

arXiv:1910.12874 [astro-ph.SR]

# Nuclear physics

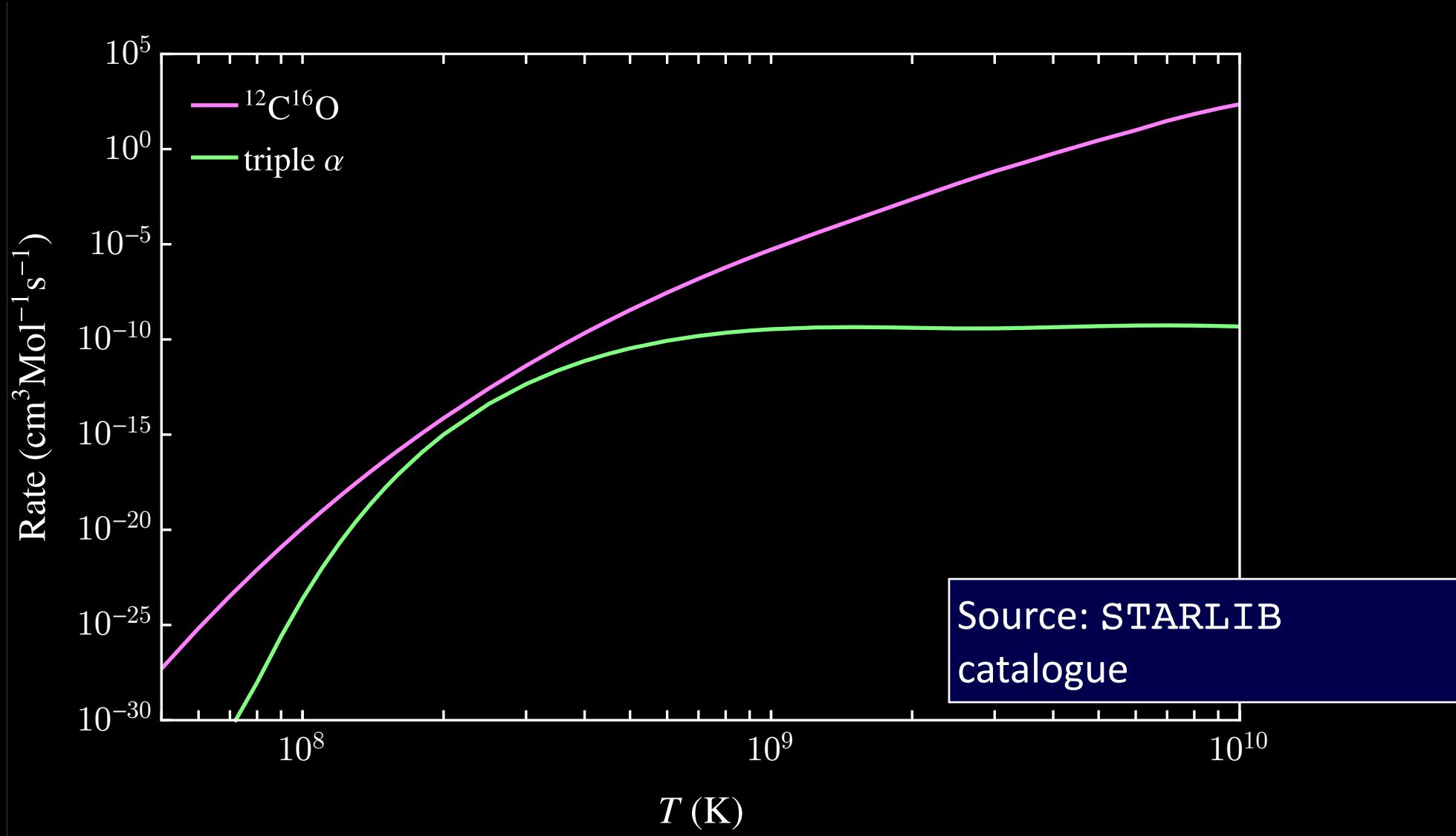
Farmer, Renzo, de Mink, Fishbach, Justham, ApJL arXiv:2006.06678 [astro-ph.HE]  
Baxter, DC, McDermott, Sakstein, arXiv:2104.02685 [astro-ph.CO]

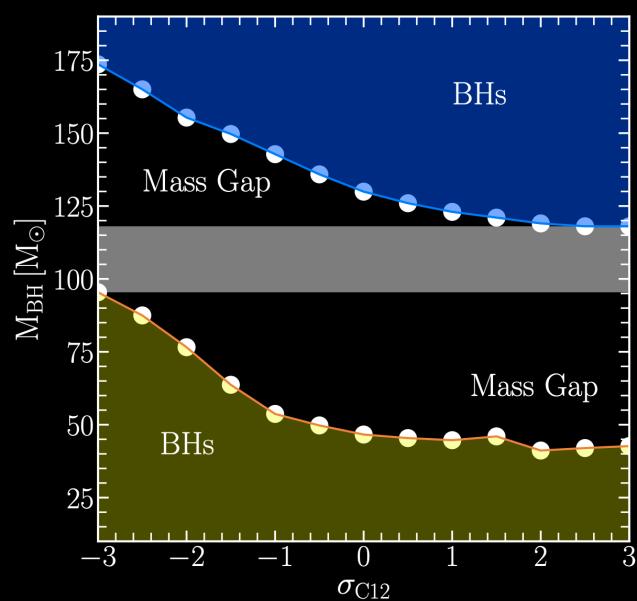
Particularly sensitive to  $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$



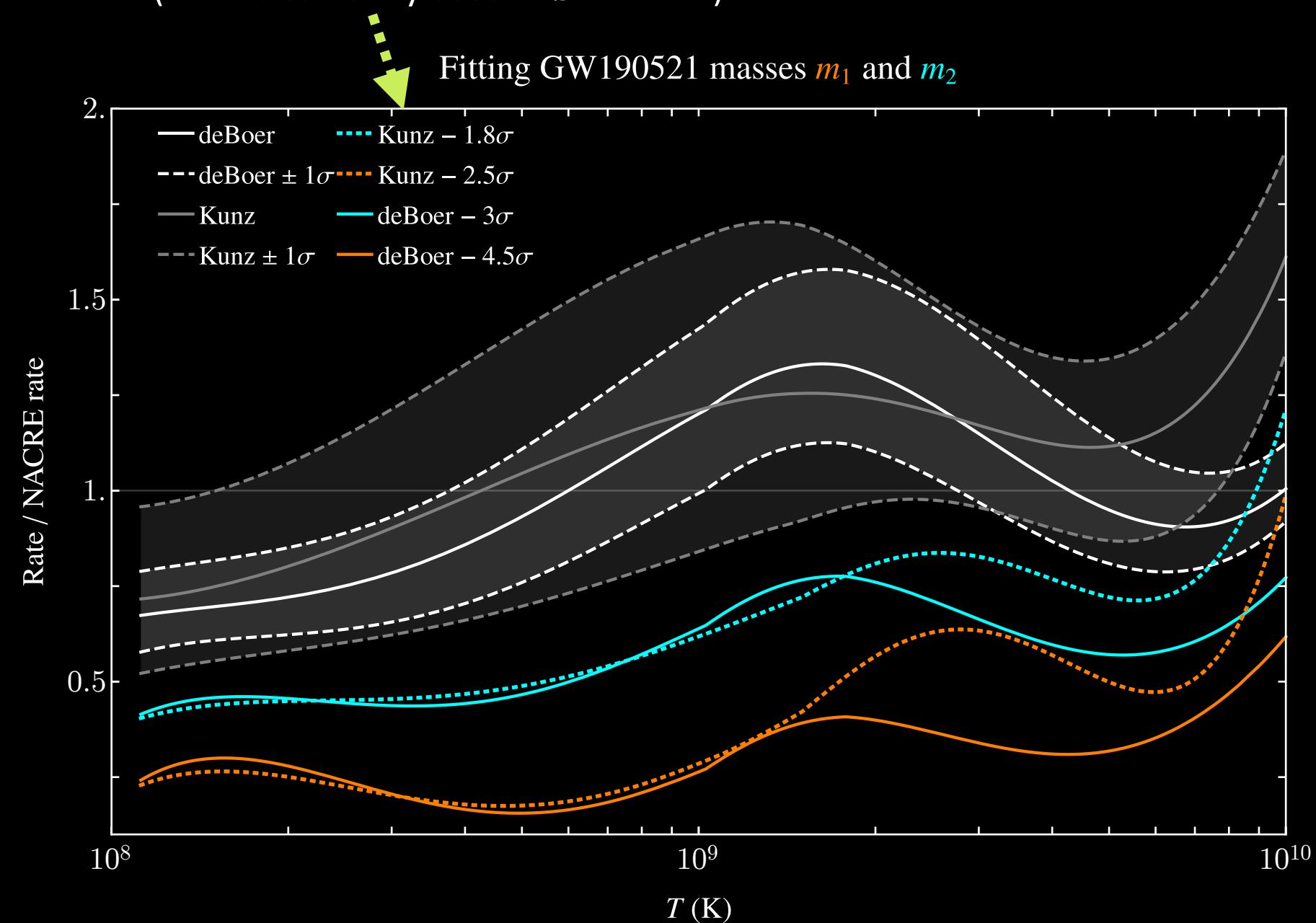
deBoer et al, RMP, arXiv:1709.03144 [hep-ex]

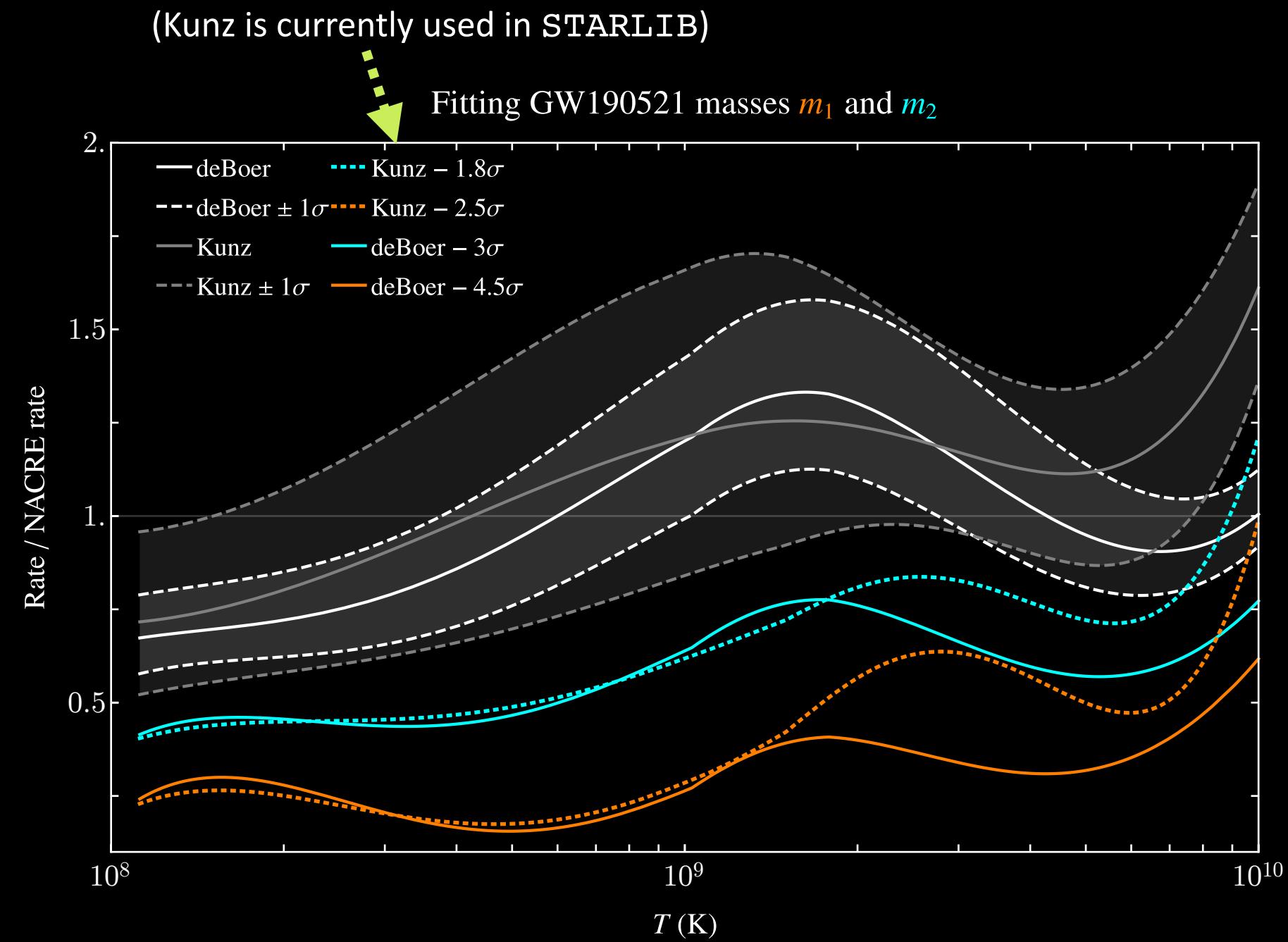
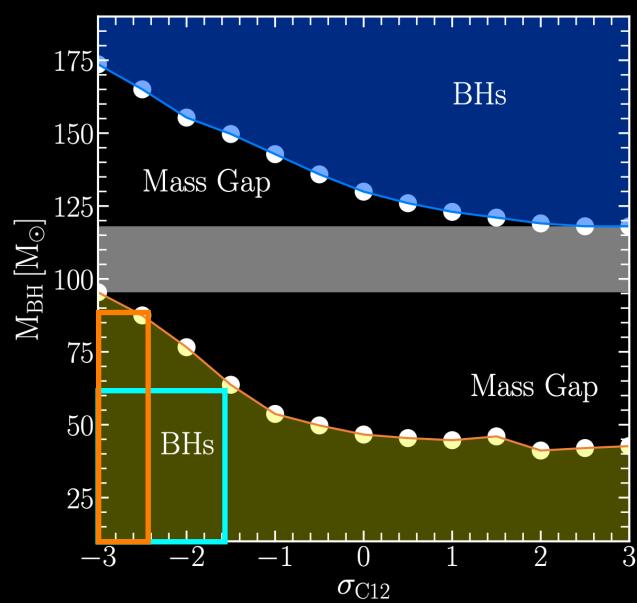
# Helium burning rates as a function of $T$





(Kunz is currently used in STARLIB)





# The BHMG and BSM cooling

DC, McDermott, Sakstein arXiv:2007.00650 [hep-ph]

DC, McDermott, Sakstein arXiv:2007.07889 [gr-qc]

- Scenario: new, light particles coupled to material in the star introduce new loss channels

Extra scenarios: large extra dimensions ( $d = 4 + 2$ ) and neutrino magnetic moment work through *essentially the*

- Case studies:  $\mathcal{L}_{\text{SM}} + \dots$ 
  - the electrophilic axion  $\mathcal{L}_{ae} = -ig_{ae}\bar{\psi}_e\gamma_5\psi_e a$  (will also work with  $a_{26} \equiv 10^{26}g_{ae}^2/4\pi$  for convenience)\*
  - the photophilic axion  $\mathcal{L}_{a\gamma} = -\frac{1}{4}g_{a\gamma}aF_{\mu\nu}\widetilde{F}^{\mu\nu}$  (will also define  $g_{10} \equiv 10^{10}g_{a\gamma}$  GeV)
  - the hidden photon  $\mathcal{L}_{A'\gamma} = -\frac{\epsilon}{2}F'_{\mu\nu}F^{\mu\nu} + \frac{m_{A'}^2}{2}A'_\mu A'^\mu$  (and define nothing)

\*Interesting in light of the XENON1T excess, arXiv:2006.09721 [hep-ex]

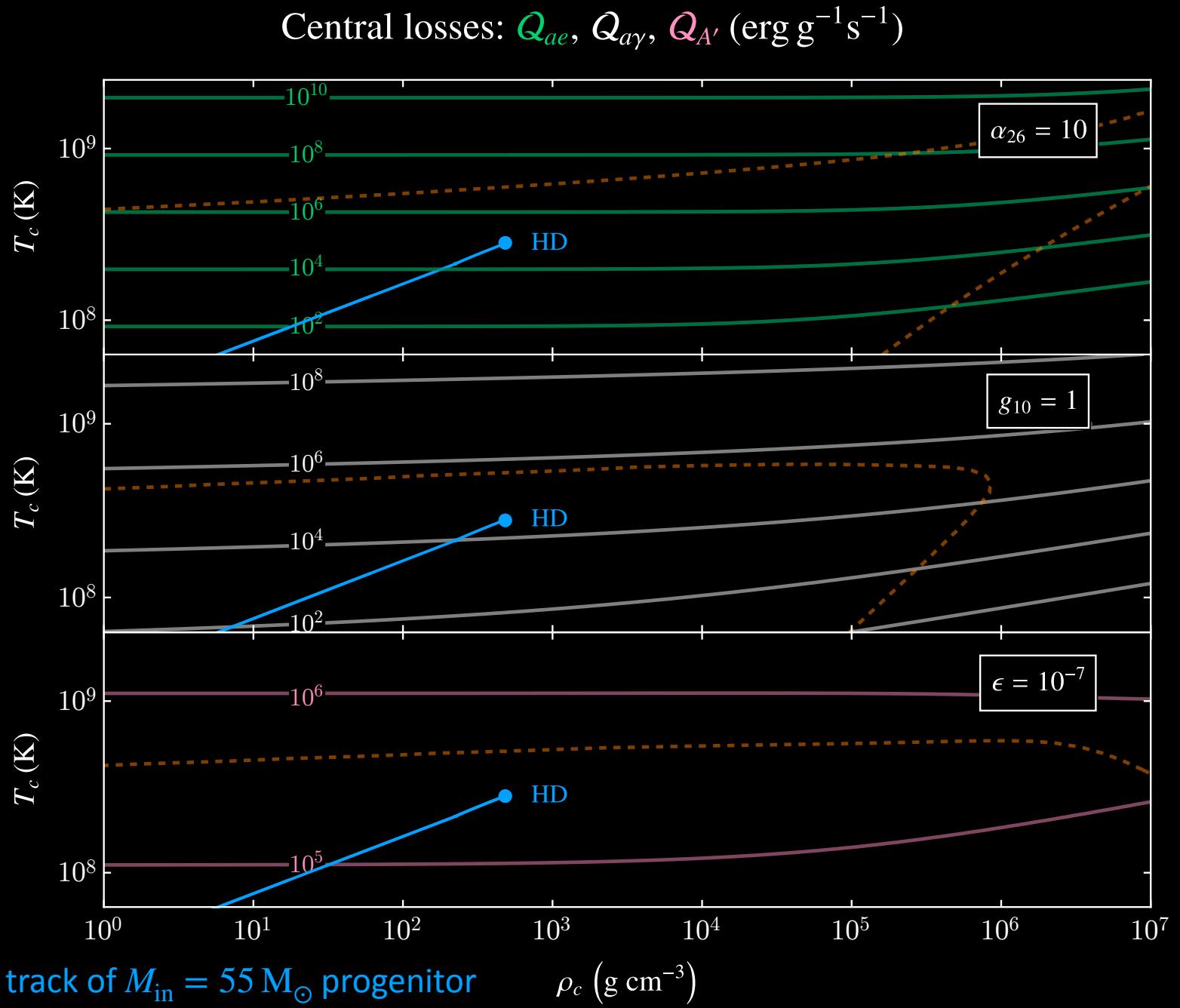
# LOSS rates

Electrophilic axion:  $\mathcal{Q}_{ae} \propto T^6$   
 $(e + \gamma \rightarrow e + a)$

Photophilic axion:  
 $\mathcal{Q}_{a\gamma} \propto T^4$   
 $((Z, A) + \gamma \rightarrow (Z, A) + a)$

Hidden photon:  $\mathcal{Q}_{A'} \propto T$   
(resonant emission)

Example track of  $M_{\text{in}} = 55 M_{\odot}$  progenitor



# Energy loss due to electrophilic axions

- Semi-Compton scattering,  $e + \gamma \rightarrow e + a$ :

$$\mathcal{Q}_{\text{sC}} = \frac{40 \zeta_6 \alpha_{\text{EM}} g_{ae}^2}{\pi^2} \frac{Y_e T^6}{m_N m_e^4} F_{\text{deg}} \simeq 33 \alpha_{26} Y_e T_8^6 F_{\text{deg}} \frac{\text{erg}}{\text{g} \cdot \text{s}} \quad \left( T_8 \equiv \frac{T}{10^8 \text{K}} \right)$$
$$F_{\text{deg}} = \frac{2}{n_e} \int \frac{d^3 \mathbf{p}}{(2\pi)^3} f_{e^-}(1 - f_{e^-}), \text{ where } f_{e^-} \text{ is the Fermi-Dirac distribution}$$

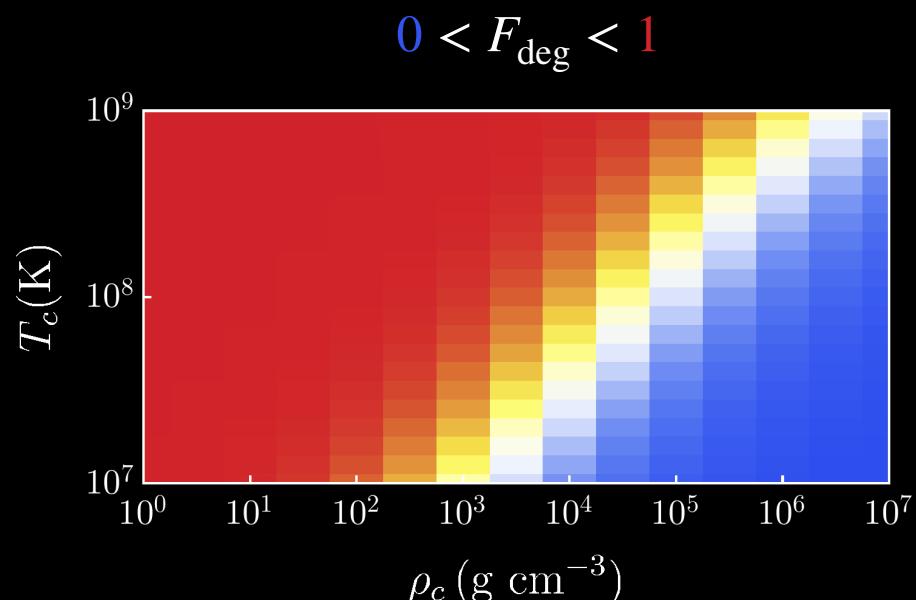
- Bremsstrahlung,  $e + (Z, A) \rightarrow e + (Z, A) + a$ :

$$\mathcal{Q}_{b,\text{ND}} = \frac{32}{45} \frac{\alpha_{\text{EM}}^2 g_{ae}^2 \rho T^{5/2}}{\sqrt{\frac{\pi^3}{2}} m_N^2 m_e^{7/2}} F_{b,\text{ND}} \simeq 582 \alpha_{26} \rho_6 T_8^{5/2} F_{b,\text{ND}} \frac{\text{erg}}{\text{g} \cdot \text{s}} \quad \left( \rho_6 \equiv \frac{\rho}{10^6 \text{g cm}^{-3}} \right)$$
$$\mathcal{Q}_{b,\text{D}} = \frac{\pi}{60} \frac{Z^2}{A} \frac{\alpha_{\text{EM}}^2 g_{ae}^2 T^4}{m_N m_e^2} F_{b,\text{D}} \simeq 10.8 \alpha_{26} T_8^4 F_{b,\text{D}} \frac{\text{erg}}{\text{g} \cdot \text{s}}$$

# Energy loss due to electrophilic axions

- Semi-Compton scattering,  $e + \gamma \rightarrow e + a$ :

$$\mathcal{Q}_{\text{sC}} = \frac{40 \zeta_6 \alpha_{\text{EM}} g_{ae}^2}{\pi^2} \frac{Y_e T^6}{m_N m_e^4} F_{\text{deg}} \simeq 33 \alpha_{26} Y_e T_8^6 F_{\text{deg}} \frac{\text{erg}}{\text{g} \cdot \text{s}} \quad \left( T_8 \equiv \frac{T}{10^8 \text{K}} \right)$$
$$F_{\text{deg}} = \frac{2}{n_e} \int \frac{d^3 \mathbf{p}}{(2\pi)^3} f_{e^-}(1 - f_{e^-}), \text{ where } f_{e^-} \text{ is the Fermi-Dirac distribution}$$



$0 < F_{\text{deg}} < 1$

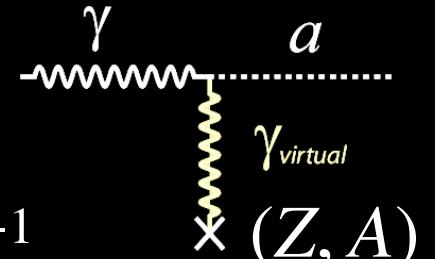
Semi-Compton emission  
dominates throughout  
the Helium burning phase

# Energy loss due to photophilic axions

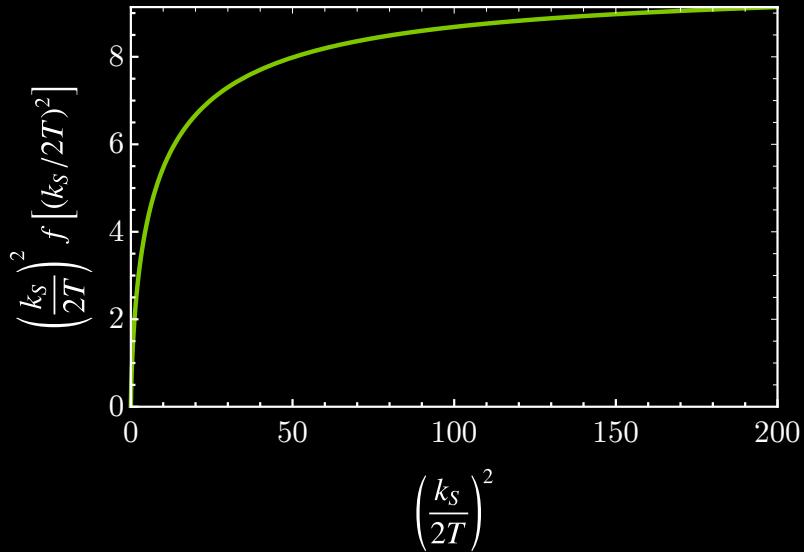
- Primakov effect  $(Z, A) + \gamma \rightarrow (Z, A) + a$

$$Q_{a\gamma} = \frac{g_{a\gamma}^2 T^7}{4\pi^2 \rho} \left( \frac{k_S}{2T} \right)^2 f[(k_S/2T)^2] \simeq 283.16 \frac{\text{erg}}{\text{g} \cdot \text{s}} g_{10}^2 T_8^7 \rho_3^{-1}$$

$$\times \underbrace{\left( \frac{k_S}{2T} \right)^2}_{\text{where } \left( \frac{k_S}{2T} \right)^2 = 0.166 \frac{\rho_3}{T_8^3} \sum_j Y_j Z_j^2} f[(k_S/2T)^2],$$



Screened at  
high  $T$  and low  
 $\rho$



# Energy loss due to hidden photons

- Plasma production, dominated by longitudinal modes (in a non-relativistic plasma)

$$Q_{A'} = \frac{\epsilon^2 m_{A'}^2}{4\pi\rho} \frac{\omega_p^3}{e^{\omega_p/T} - 1} \simeq \frac{\epsilon^2 m_{A'}^2}{4\pi} \frac{\omega_p^2 T}{\rho} \simeq 1.8 \times 10^3 \frac{\text{erg}}{\text{g} \cdot \text{s}} \frac{Z}{A} T_8 \left( \frac{\epsilon}{10^{-7} \text{ meV}} \frac{m_{A'}}{m_e} \right)^2$$

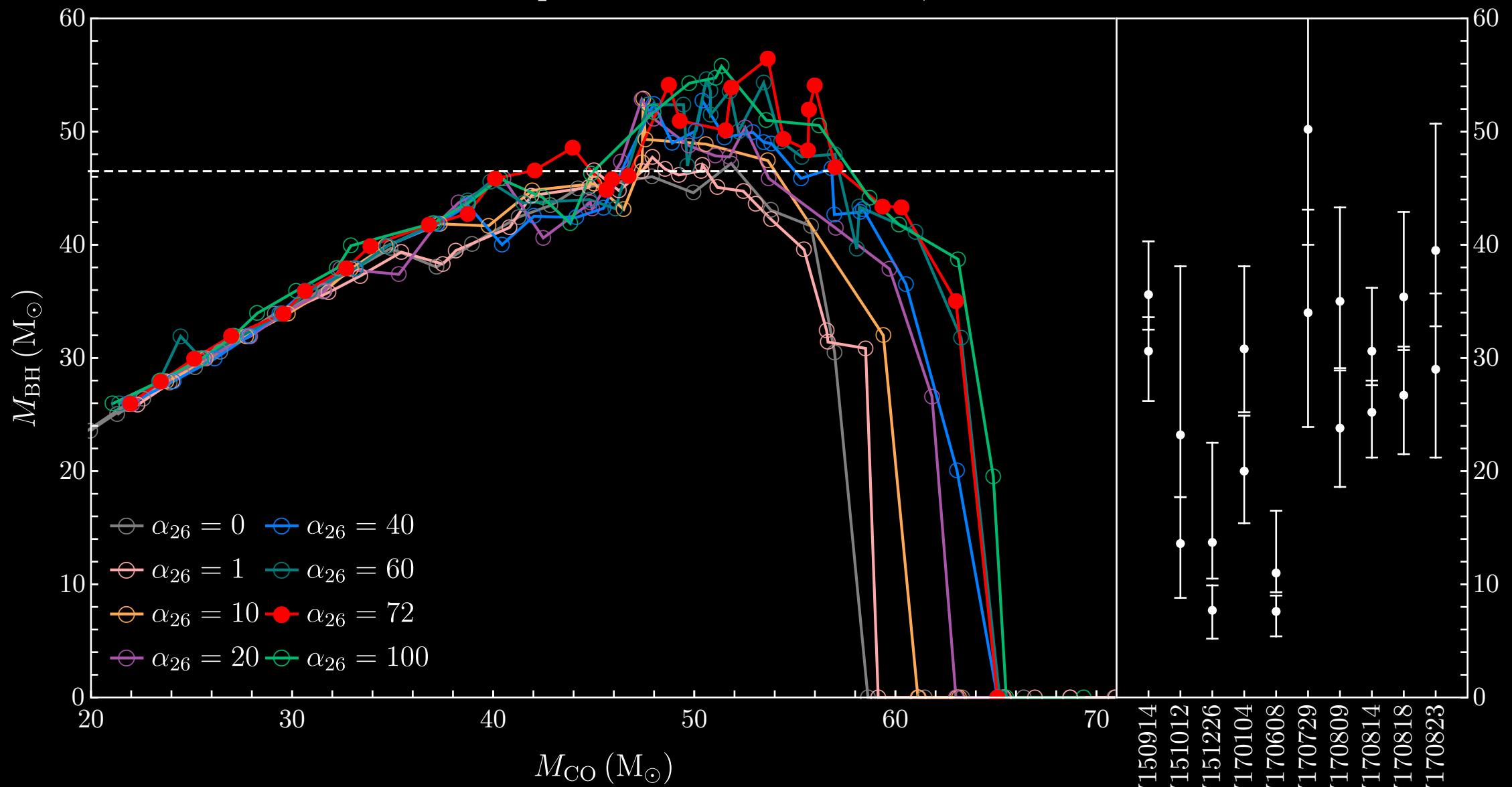


In the limit  $\omega_p \ll T$

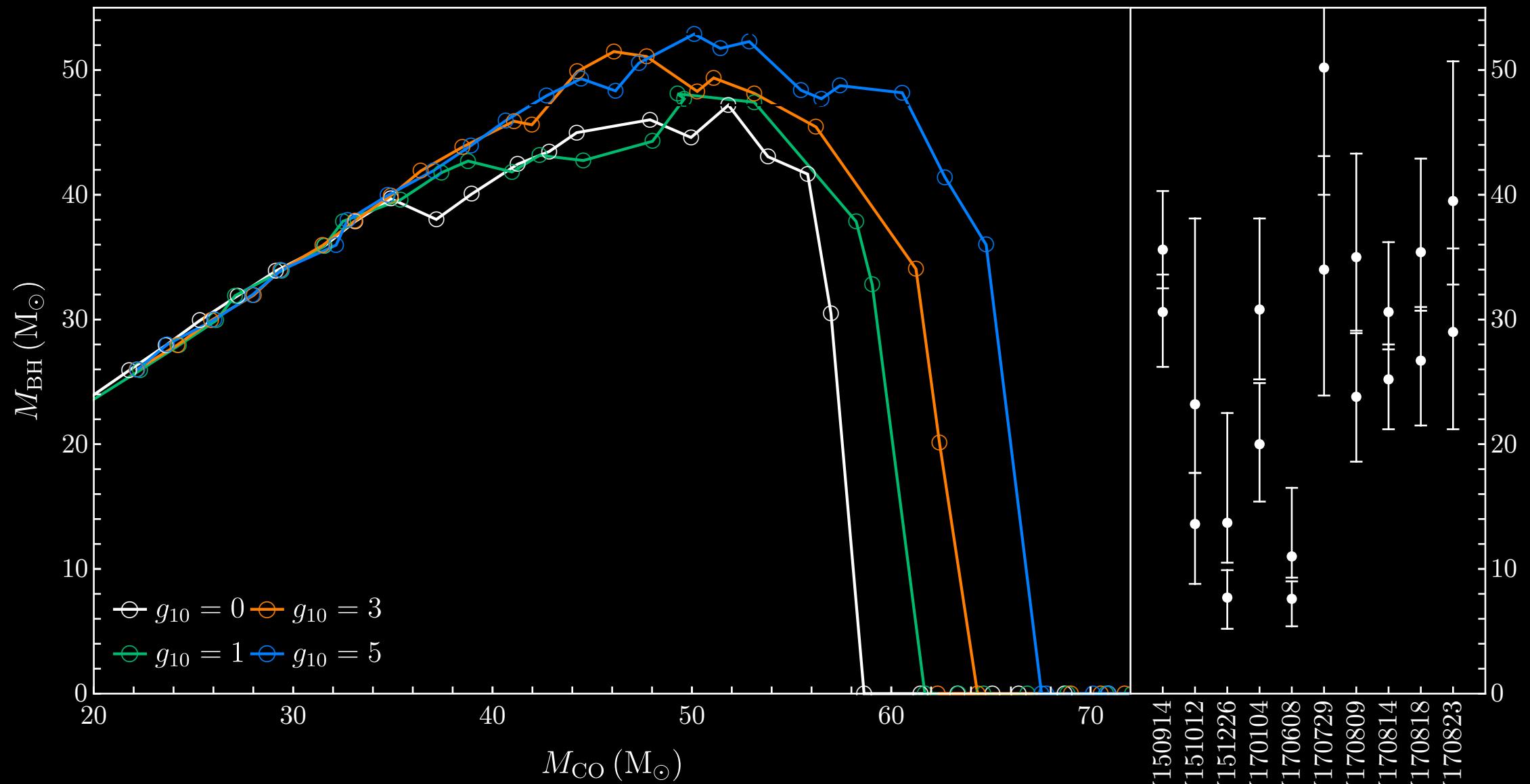
- Where photons have plasma mass

$$\omega_p \simeq \sqrt{\frac{4\pi\alpha_{\text{EM}} n_e}{m_e}} \simeq 654 \text{ eV} \sqrt{\frac{Z}{A}} \rho_3$$

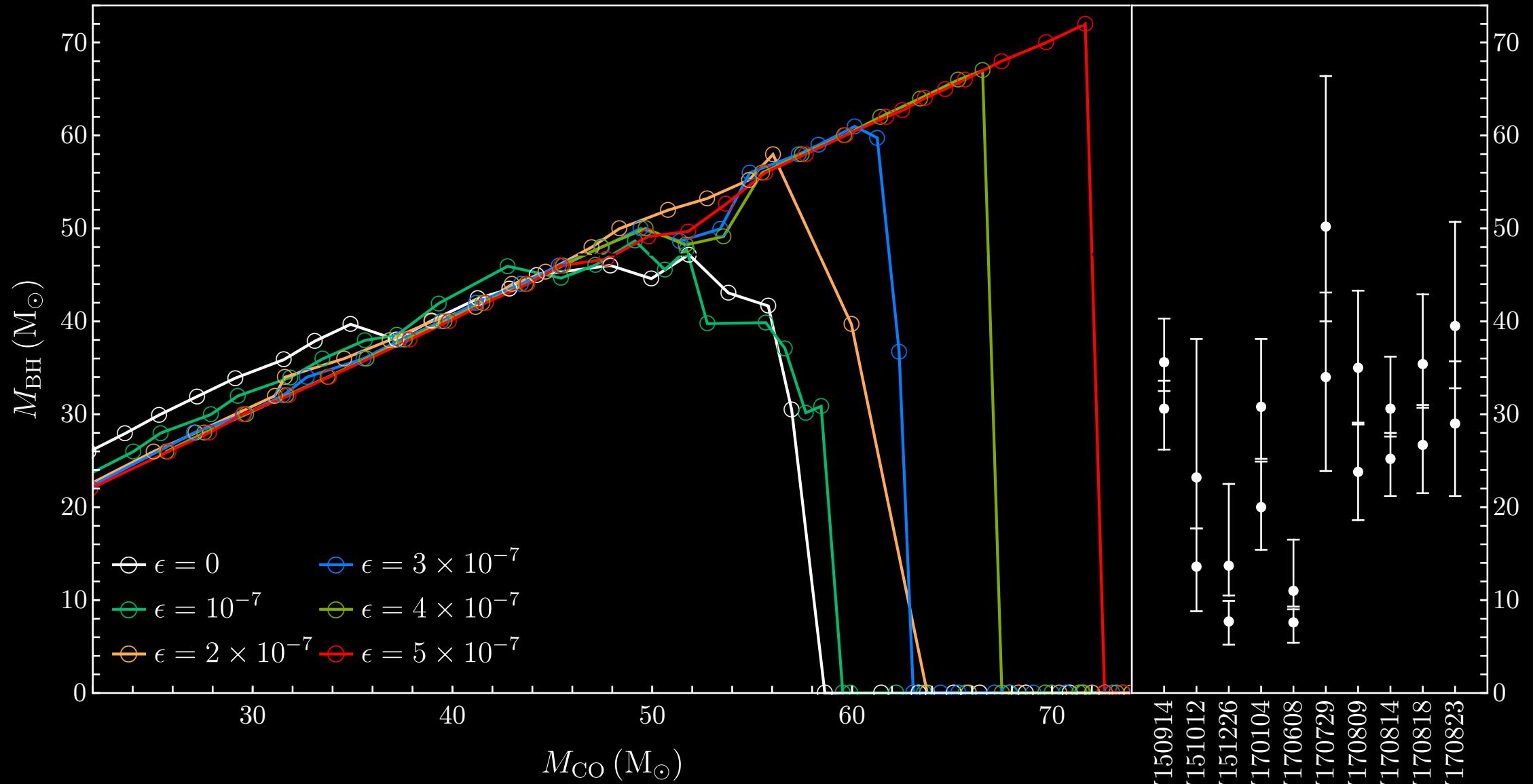
Electrophilic axion:  $m_a \ll \text{keV}$ ,  $Z = 10^{-5}$



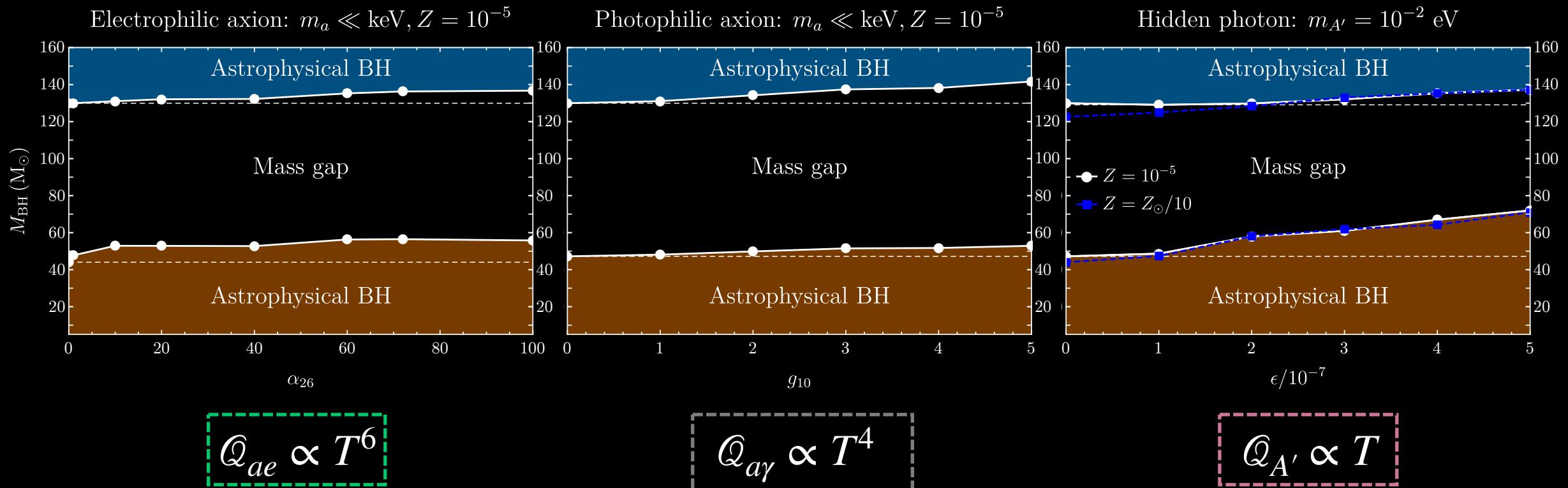
Photophilic axion:  $m_a \ll \text{keV}, Z = 10^{-5}$



Hidden photon:  $m_{A'} = 10^{-2}$ eV,  $Z = 10^{-5}$



# BSM cooling and the black hole mass gap



Important extra cooling = large shifts of the mass gap!