



Solitons and Primordial black holes from a cosmic phase transition

Ke-Pan Xie

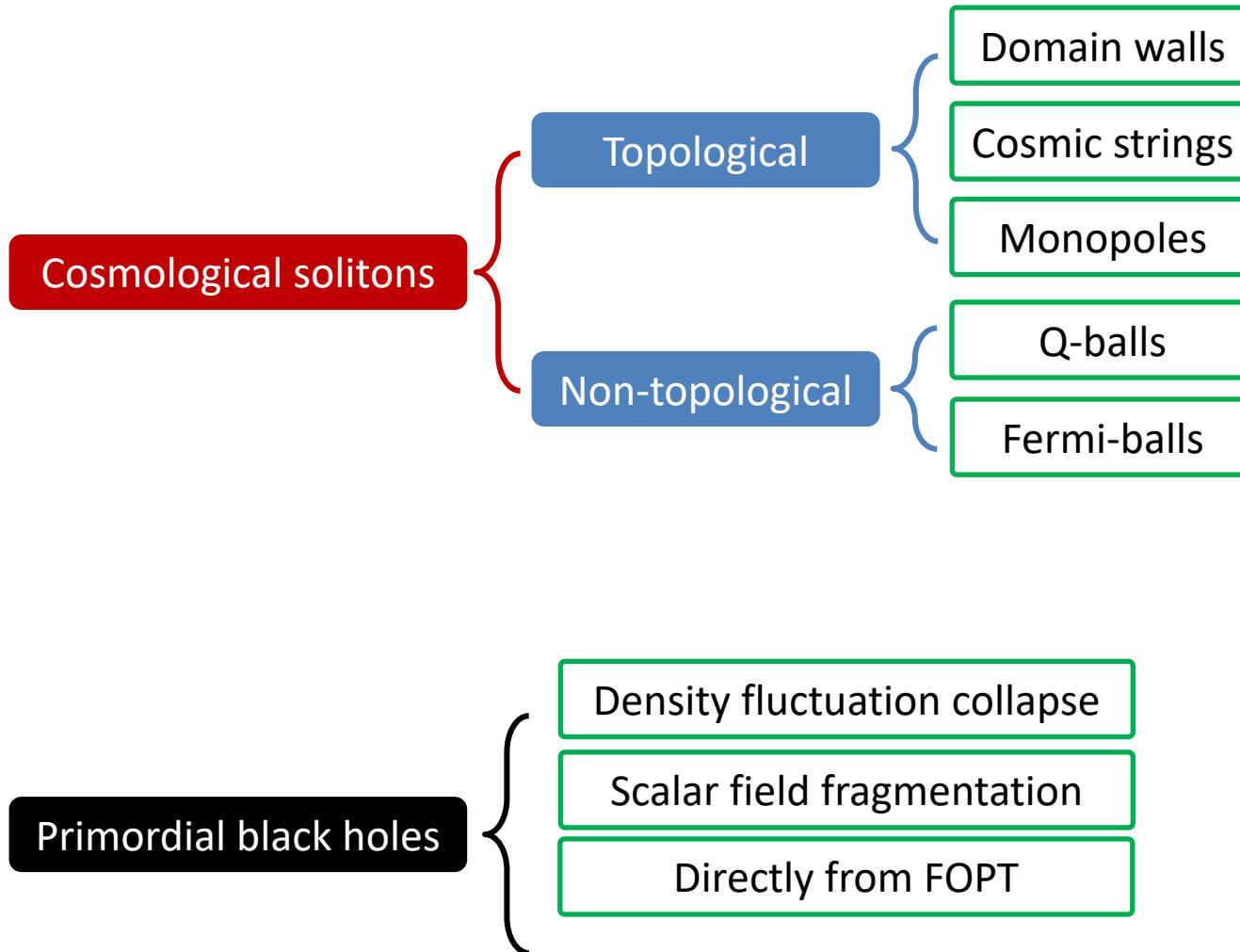
Beihang University

2022.10.19 @King's College London (online)

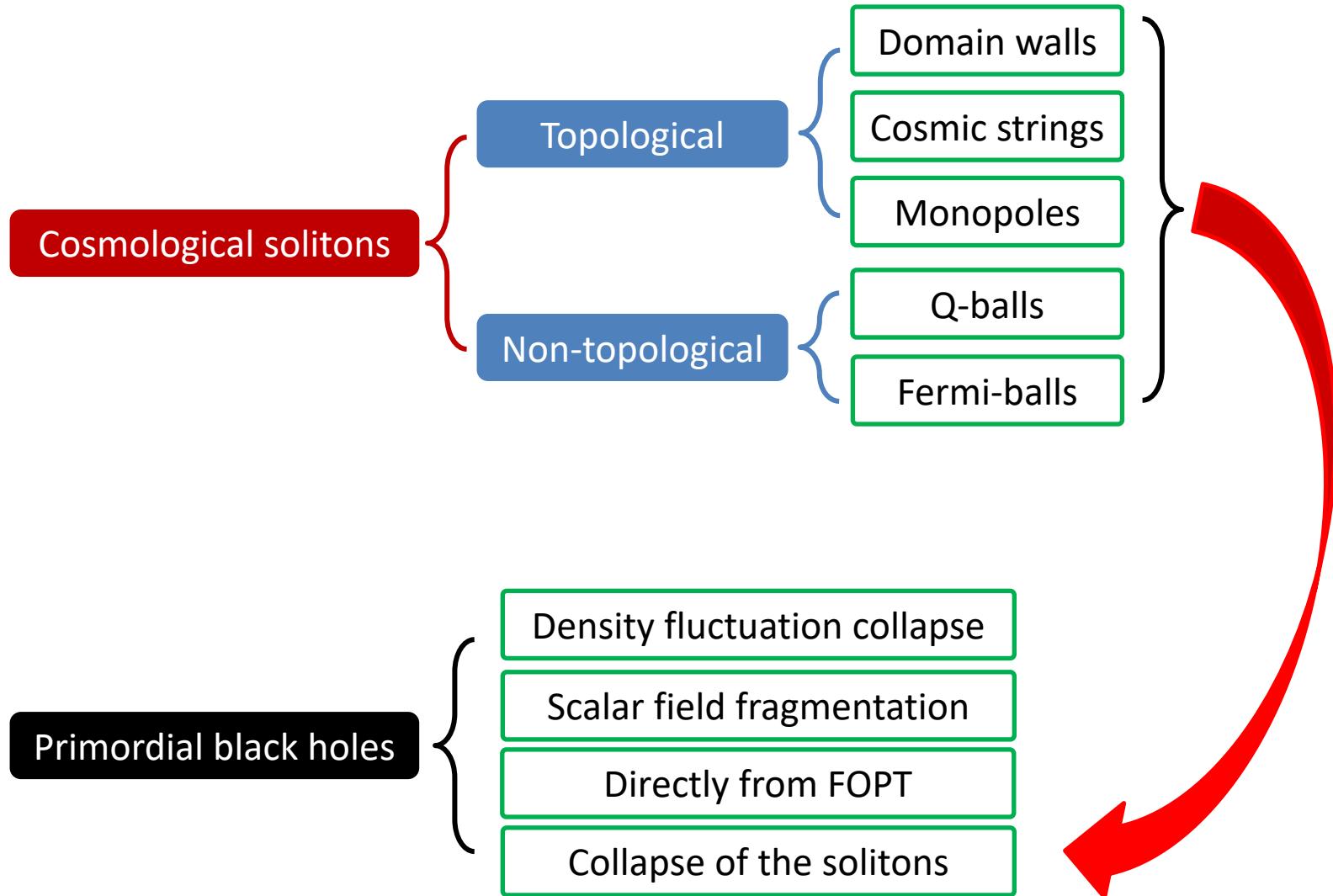
2008.04430 (PRD), 2106.00111 (PLB) and 2201.07243 (PRD);

With Sunghoon Jung, Jeong-Pyong Hong, Kiyoharu Kawana and Peisi Huang

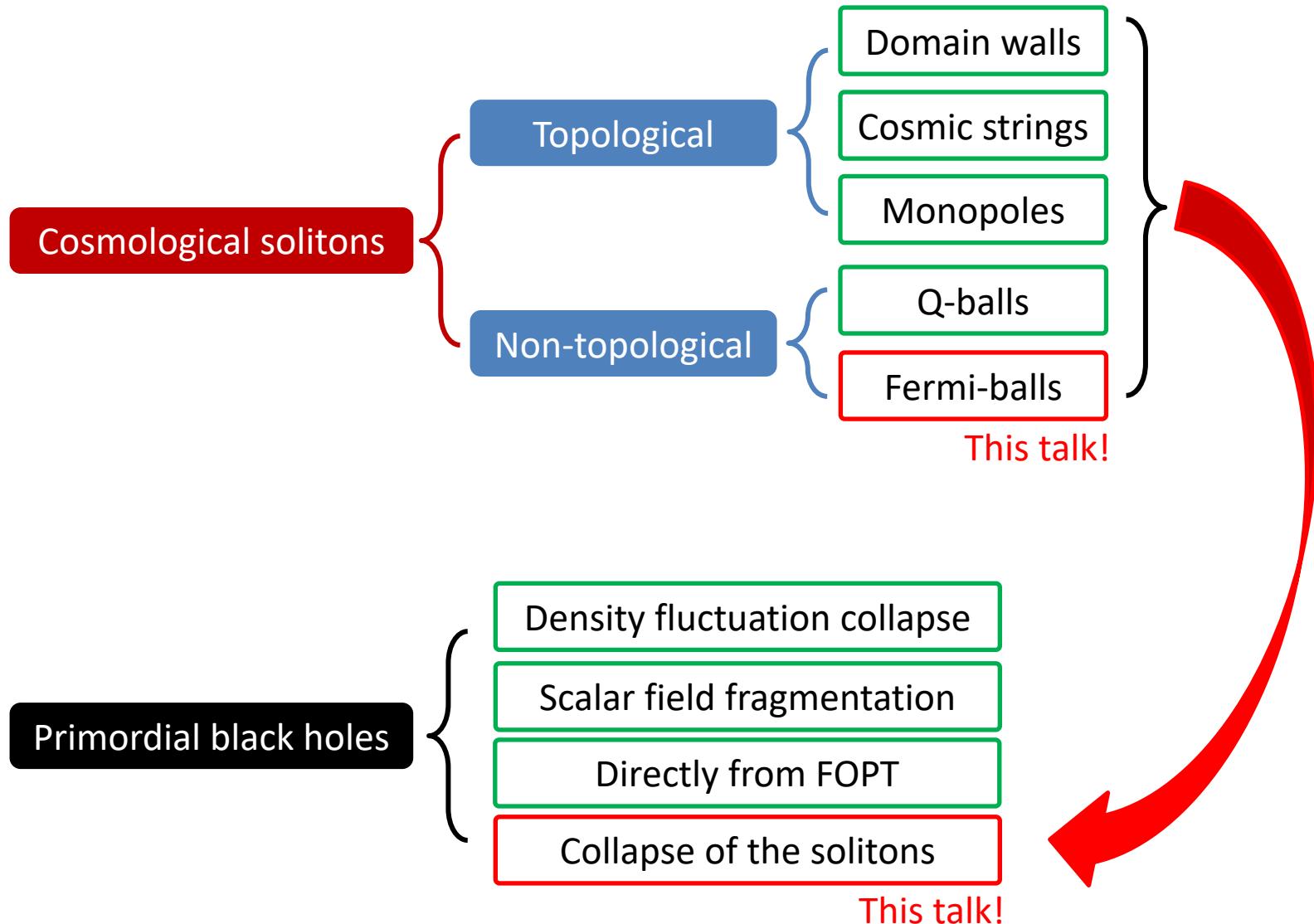
Global picture



Global picture

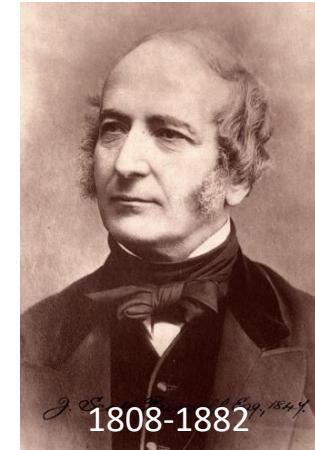


Global picture



Solitons (solitary waves)

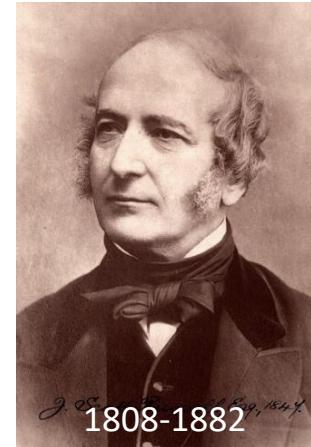
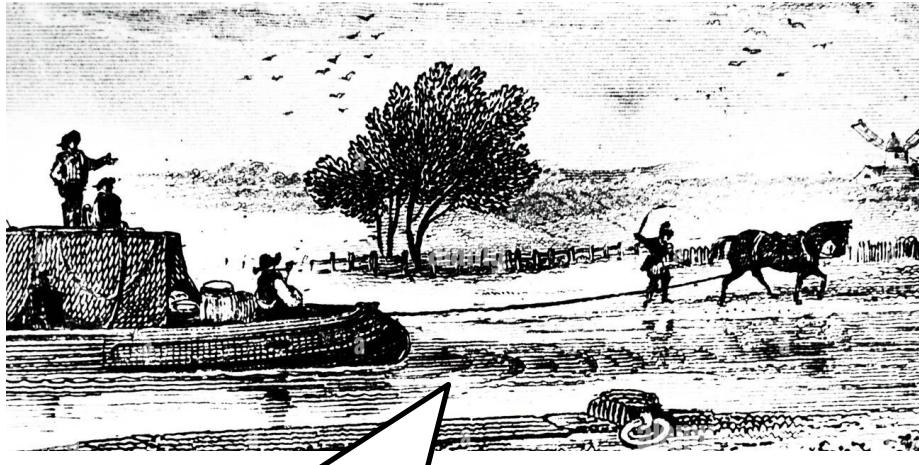
First discovered by John Scott Russell at 1834



“Normal waves”

Solitons (solitary waves)

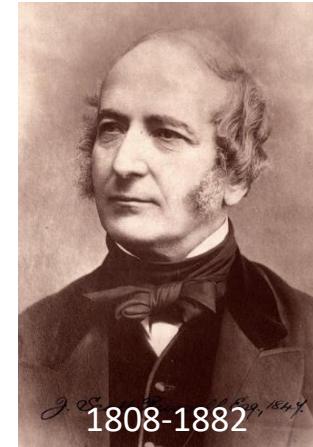
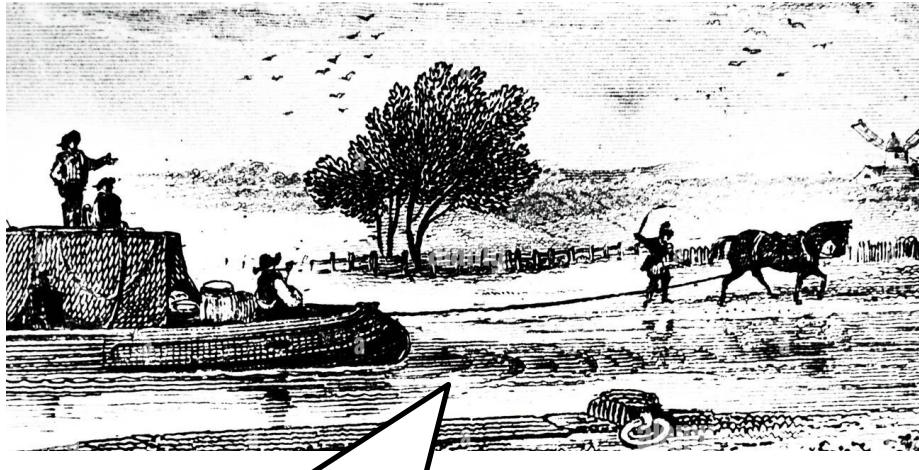
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... preserving its original figure ...
after a chase of one or two miles I lost
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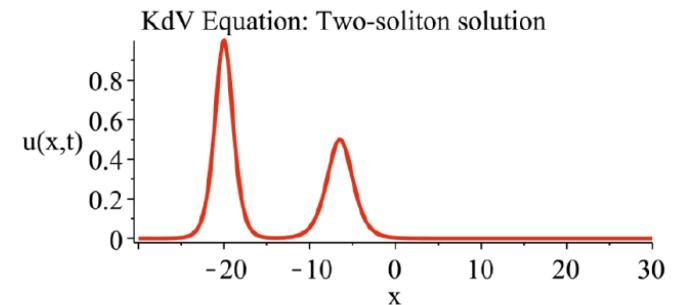


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1895

Diederik Korteweg & Gustav de Vries

KdV equation



Solitons exist everywhere!

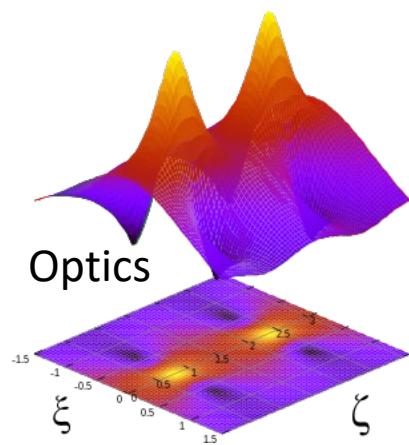
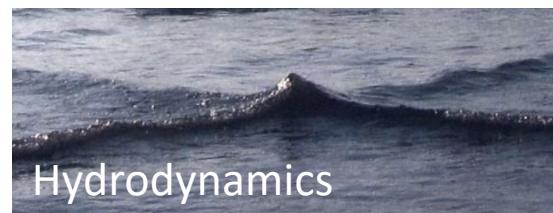
A conventional definition (Drazin & Johnson, 1989):

1. Of permanent form;
2. Localized within a region;
3. Can interact with other solitons, and emerge from the collision unchanged, except for a phase shift.

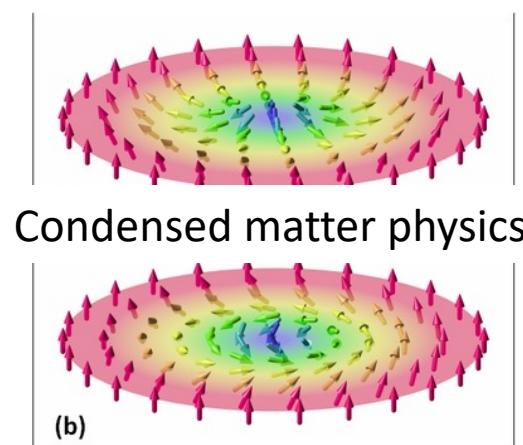
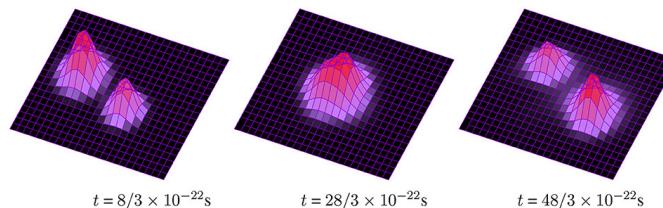
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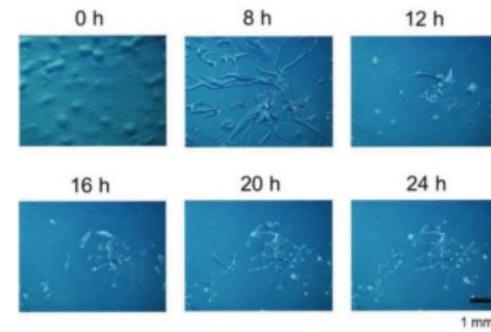
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Nuclear physics



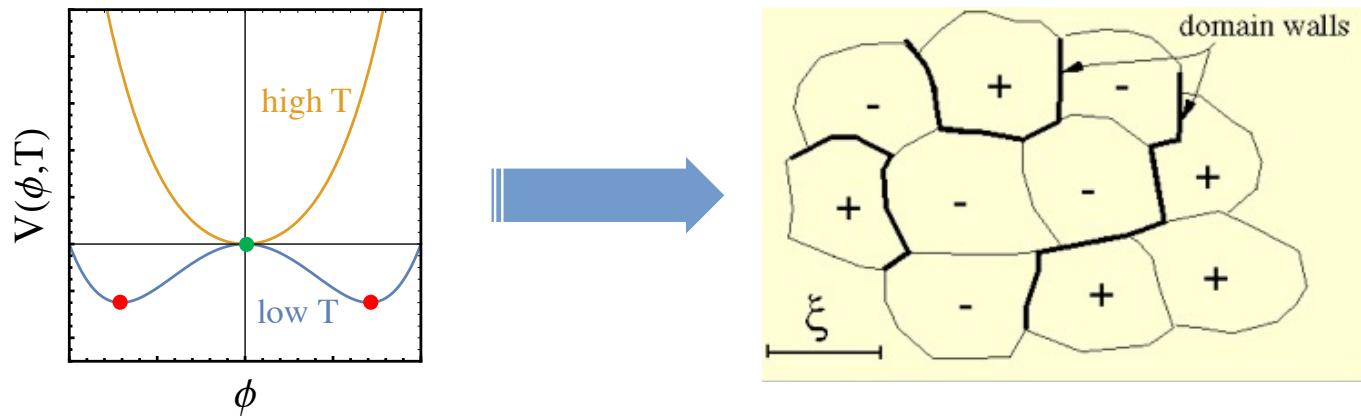
Biology



Topological solitons (defects) in cosmology

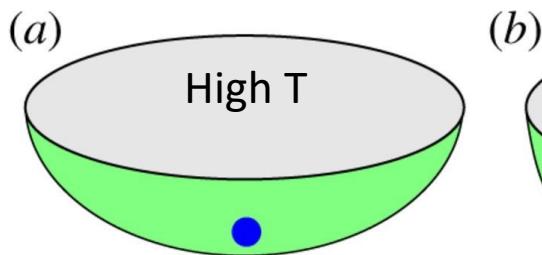
Domain walls: spontaneous breaking of discrete symmetries

A Z_2 example:

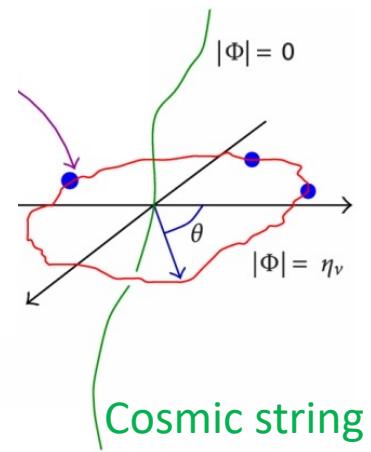


Cosmic strings: spontaneous breaking of continuous symmetries

A $U(1)$ example:



Stabilized by their topological nature.



Non-topological solitons in cosmology

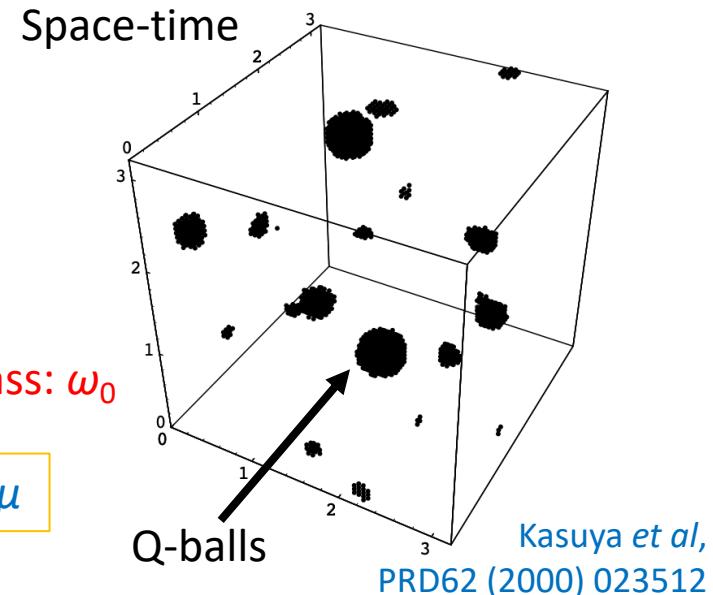
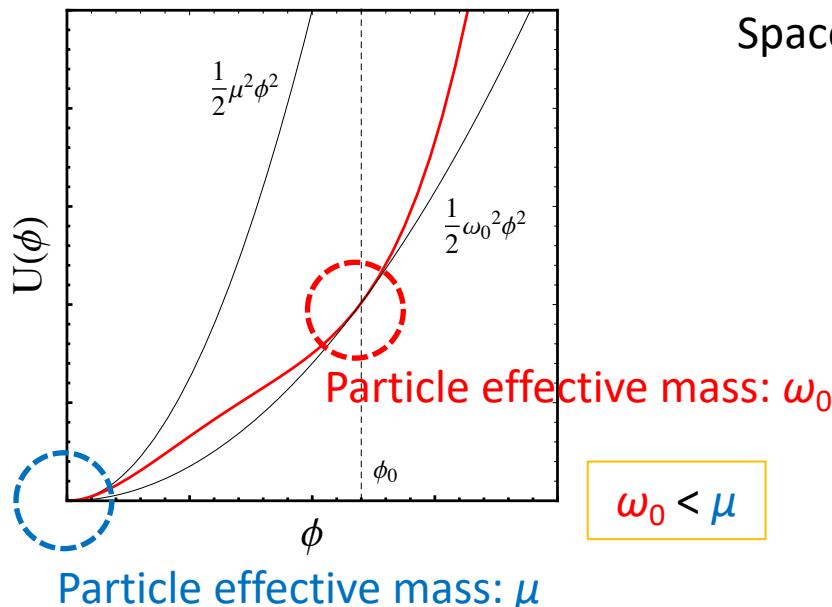
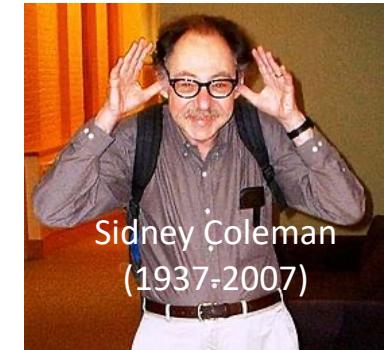
Stabilized by a conserved charge Q via Noether theorem

Most famous example: Q-balls [NPB 262 (1985) 263]

Complex scalar

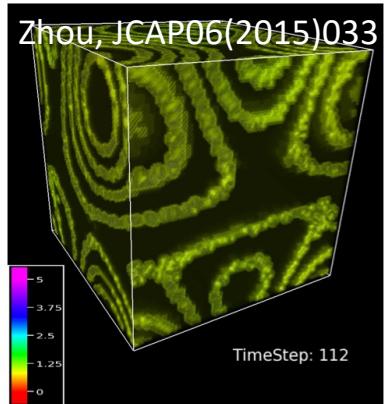
$$\mathcal{L} = \partial_\mu \Phi^\dagger \partial^\mu \Phi - U(\Phi)$$

Preserves a $U(1)$ symmetry

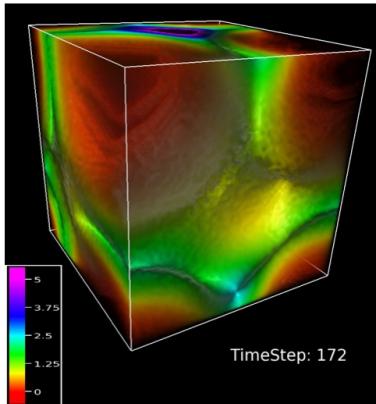


How to form Q-balls?

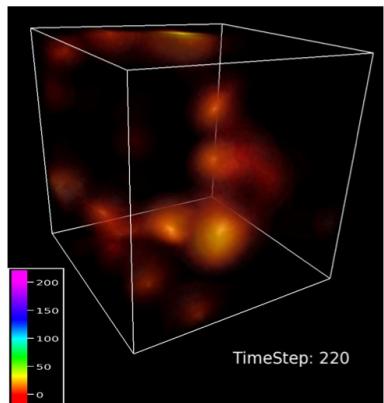
Nontrivial: formation of Q-balls [PLB 418 (1998) 46-54, PLB 425 (1998) 309-321]



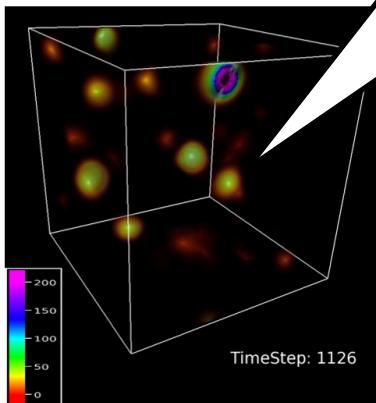
(a) Linear perturbation



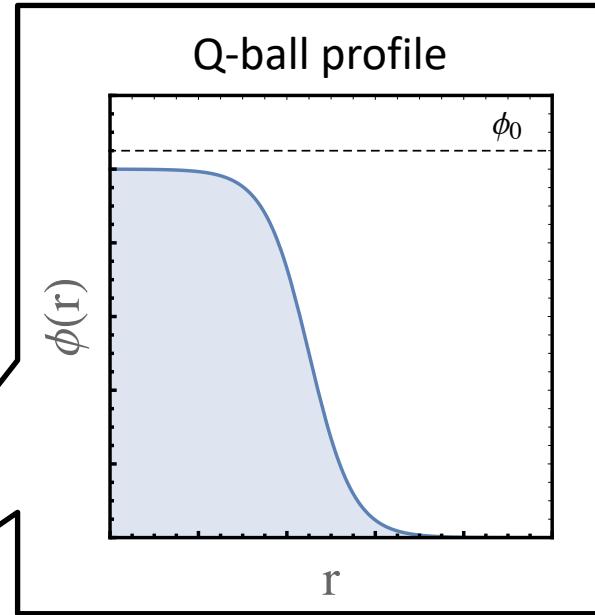
(b) Fragmentation



(c) Emerging Q-balls



(d) Properly formed Q-balls



Cosmological implications

- Macroscopic dark matter;
- Saving baryon number for baryogenesis;
- Gravitational waves;

Can we have fermion-type solitons?

First studied by T. D. Lee. [PRD.15.1694, PRD.16.1096]

Fermion-field nontopological solitons*

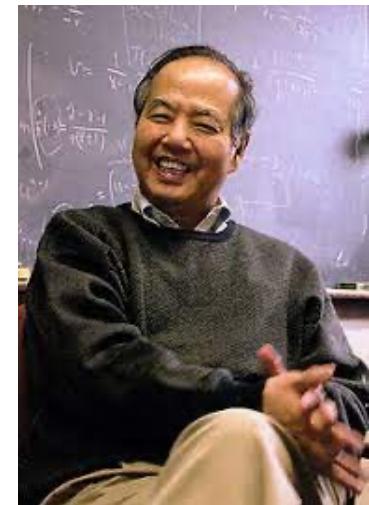
R. Friedberg

Barnard College and Columbia University, New York, New York 10027

T. D. Lee

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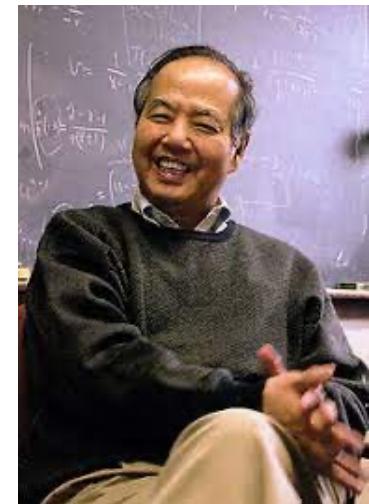
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Fermions:



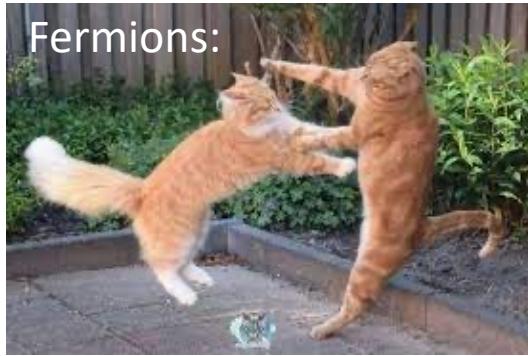
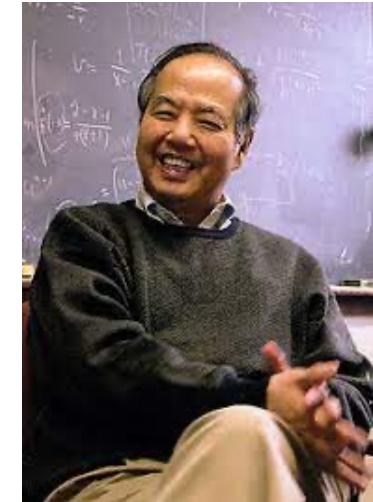
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With a scalar field, fermions can be collected to form a soliton!

Theorem 1. There exists a critical value N_s .
For $N > N_s$, the lowest-energy state is a soliton,
not the plane-wave solution. Furthermore, as
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$$E \leq \frac{4}{3}\pi\sqrt{2}N^{3/4}[U(-m/g)]^{1/4}. \quad (2.1)$$

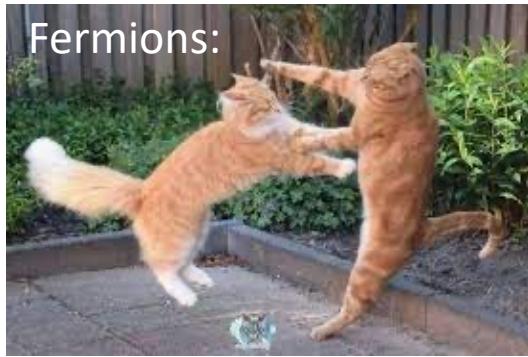
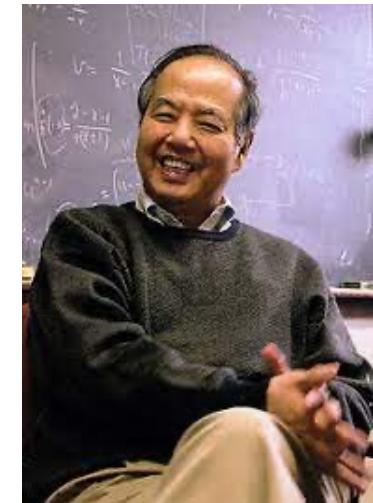
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- Macroscopic dark matter;
- Soliton stars;
- Hadron states;

How to form fermion-type solitons?

Fermion Field Nontopological Solitons. 1.

#8

R. Friedberg (Barnard Coll. and Columbia U.), T.D. Lee (Columbia U.) (Dec, 1976)

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[DOI](#)[cite](#)[claim](#)[reference search](#)[460 citations](#)

Fermion Soliton Stars and Black Holes

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T.D. Lee (Columbia U.), Y. Pang (Columbia U.) (Nov 14, 1986)

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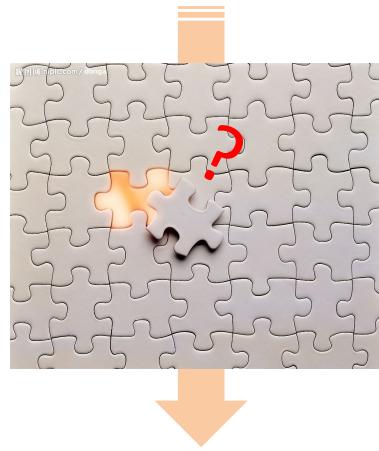
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Can exist ≠ must exist!!!
Need a mechanism to form
such solitons

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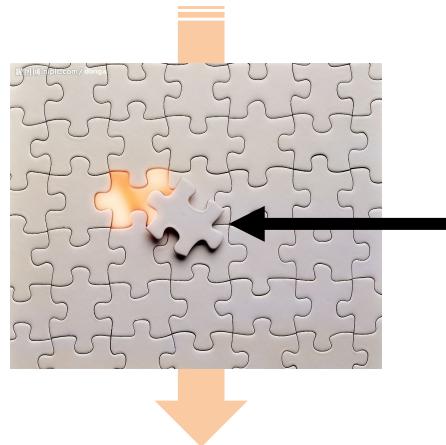
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Hong, Jung and KPX,
Phys.Rev.D 102 (2020) 7, 075028
arXiv: 2008.04430

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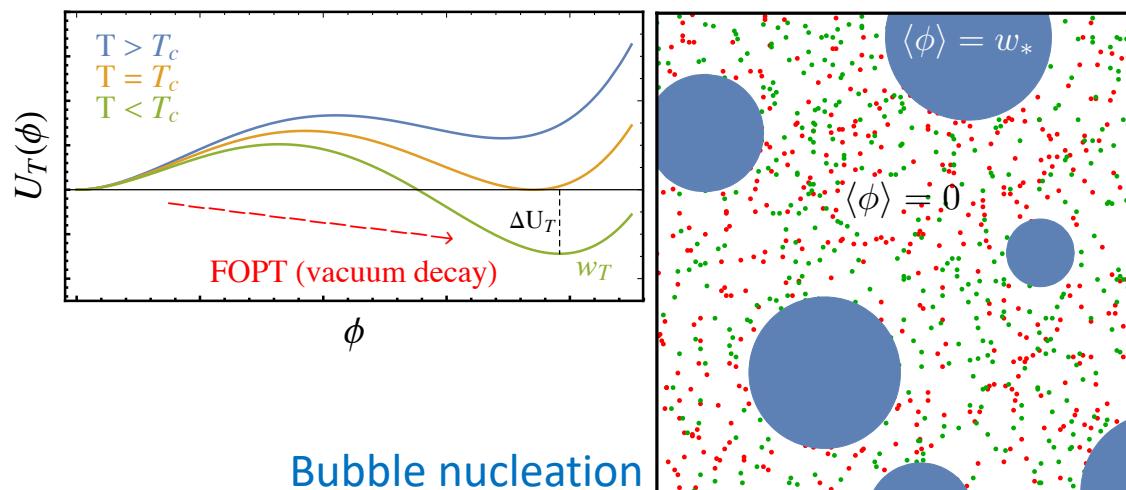
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Our mechanism

The simplest Lagrangian for the mechanism

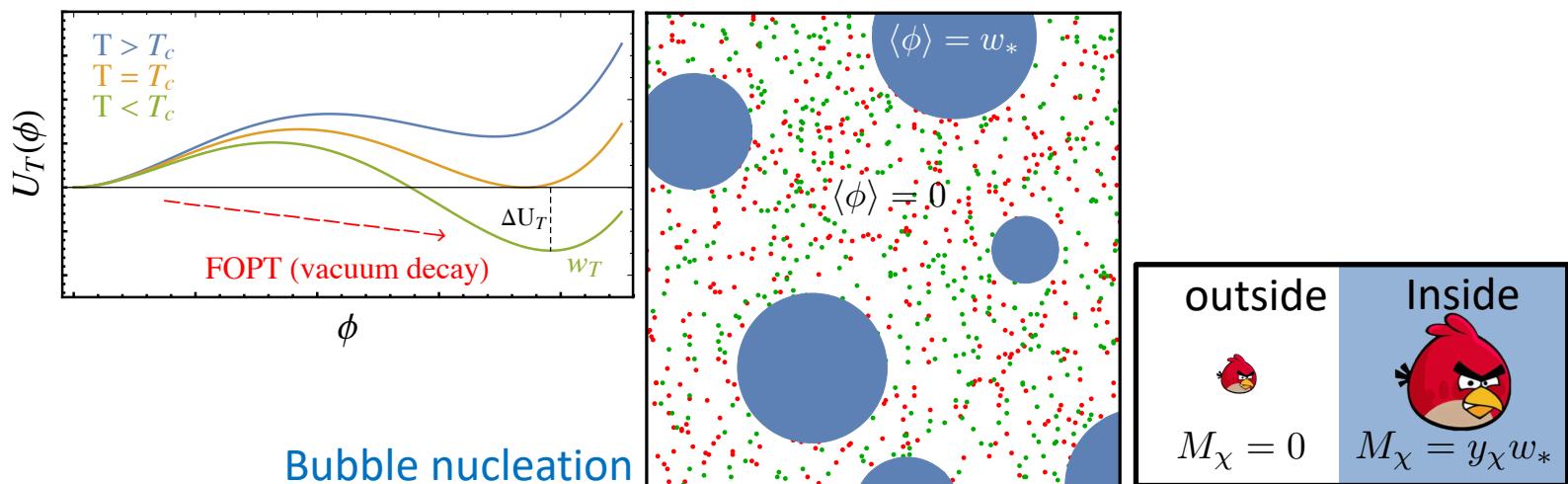
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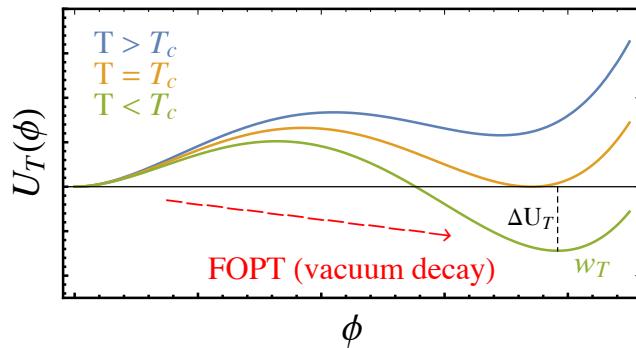
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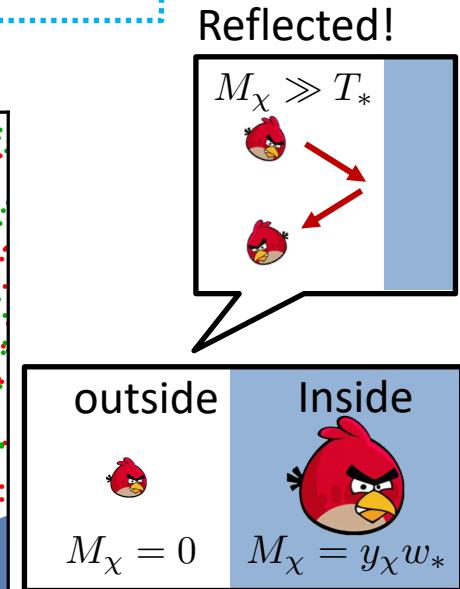
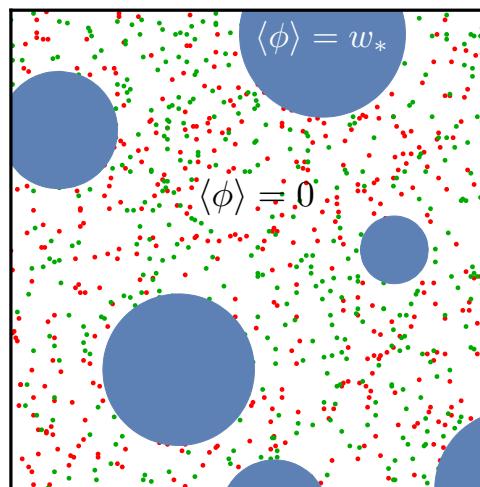


Our mechanism

The simplest Lagrangian for the mechanism



Bubble nucleation



Calculation of the trapping fraction

A simplified calculation: [Chway et al, PRD 101 (2020) 9, 095019]

1) In wall frame: χ in equilibrium

$$\tilde{f}_\chi^{\text{f.v.}}(\mathbf{p}) = \frac{1}{e^{(\gamma_b|\mathbf{p}| + \gamma_b v_b p_z - \mu_\chi)/T_*} + 1}$$



2) Particle current in wall frame

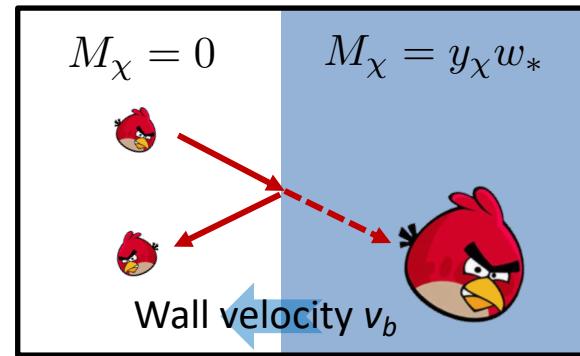
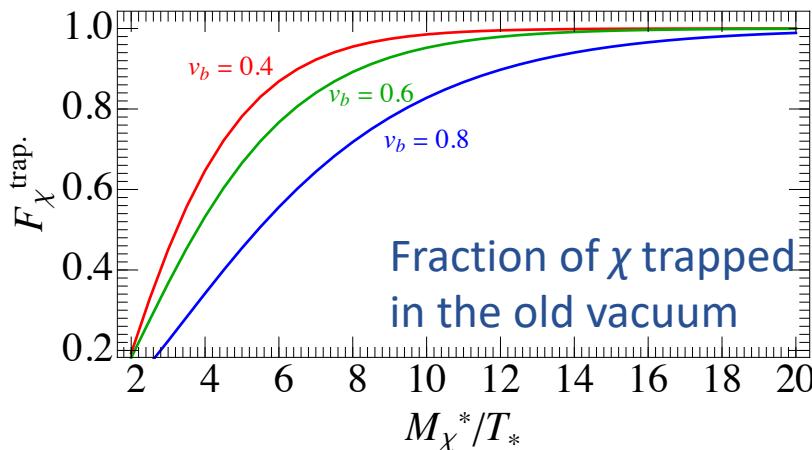
$$\tilde{J}_\chi = 2 \int \frac{d^3 \mathbf{p}}{(2\pi)^3} \frac{p_z}{|\mathbf{p}|} \tilde{f}_\chi^{\text{f.v.}}(\mathbf{p}) \Theta(p_z - M_\chi)$$

3) Back to plasma frame

$$J_\chi = \tilde{J}_\chi (1 - v_b^2)^{1/2},$$
$$n_\chi^{\text{pene.}} = J_\chi / v_b,$$

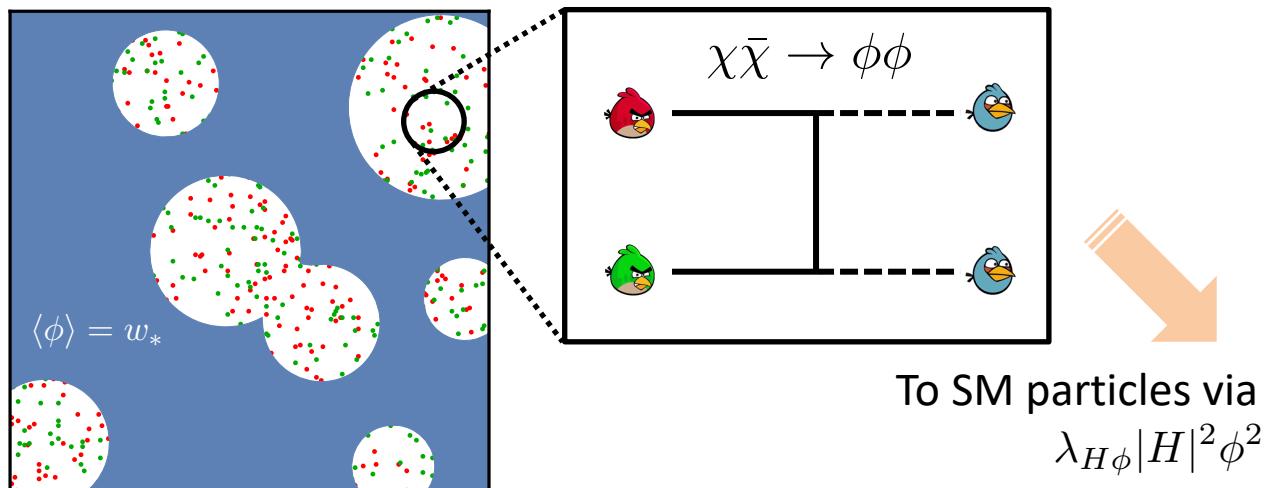
$$F_\chi^{\text{trap.}} = 1 - \frac{n_\chi^{\text{pene.}}}{n_\chi^{\text{f.v.}}}$$

For a more detailed calculation see Ref. [Baker et al, PRL 125 (2020) 15, 151102]



What happens for the trapped fermions?

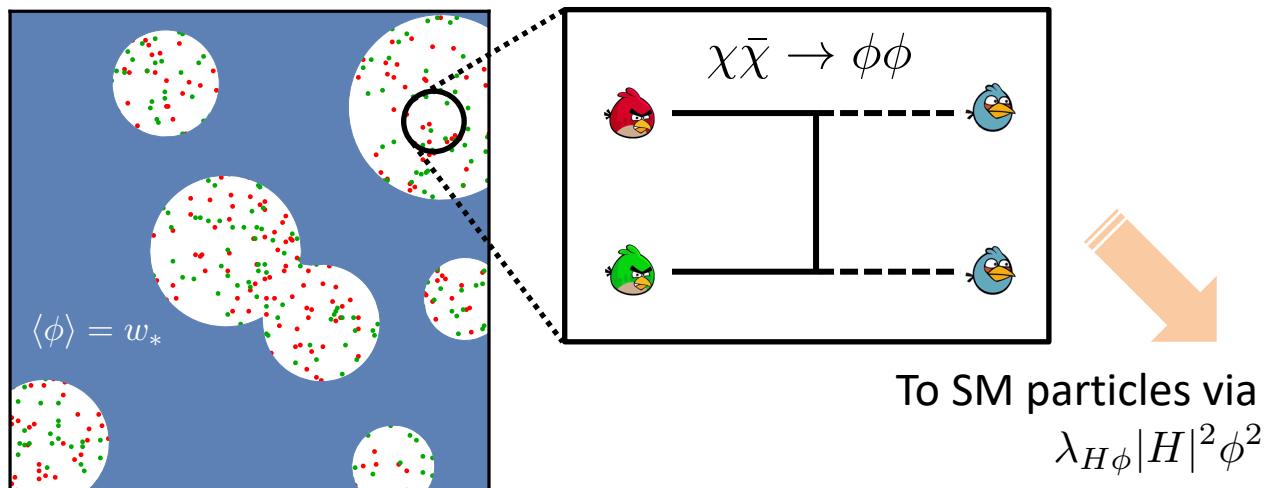
Fermions annihilate with antifermions



To have a nontrivial result, $N(\text{fermion}) \neq N(\text{antifermion})$

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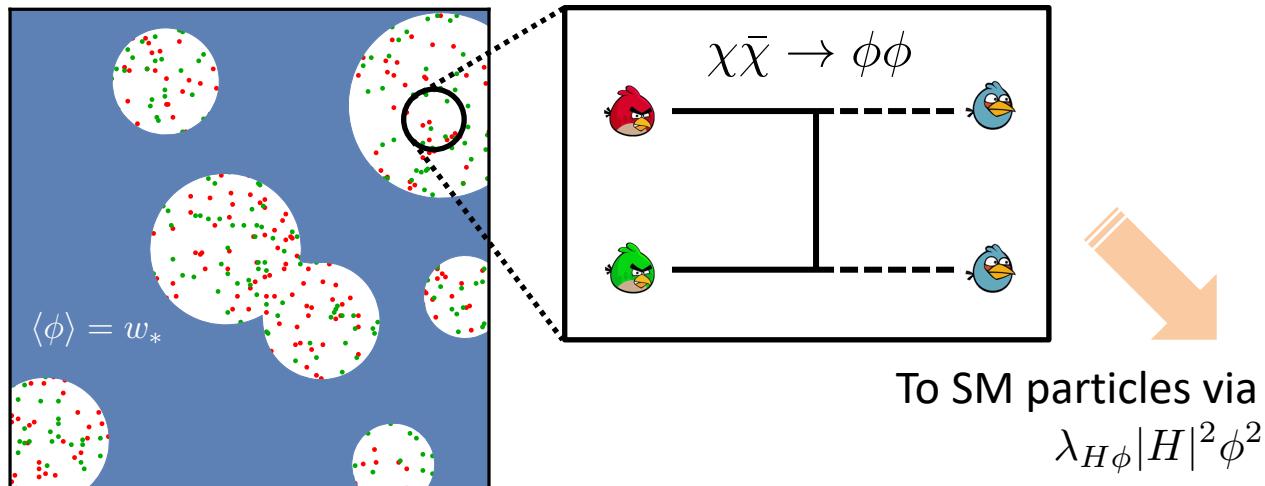


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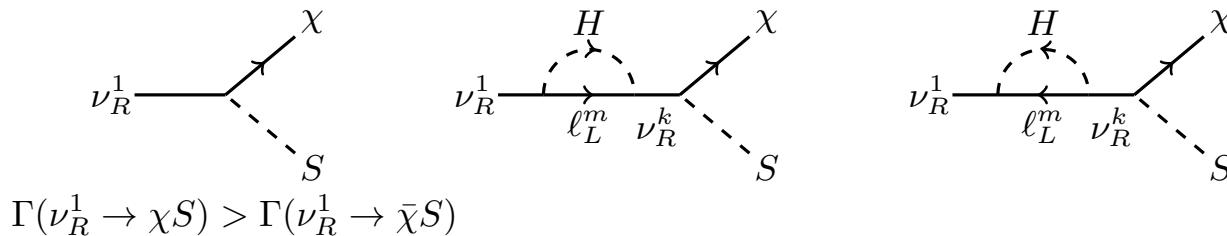
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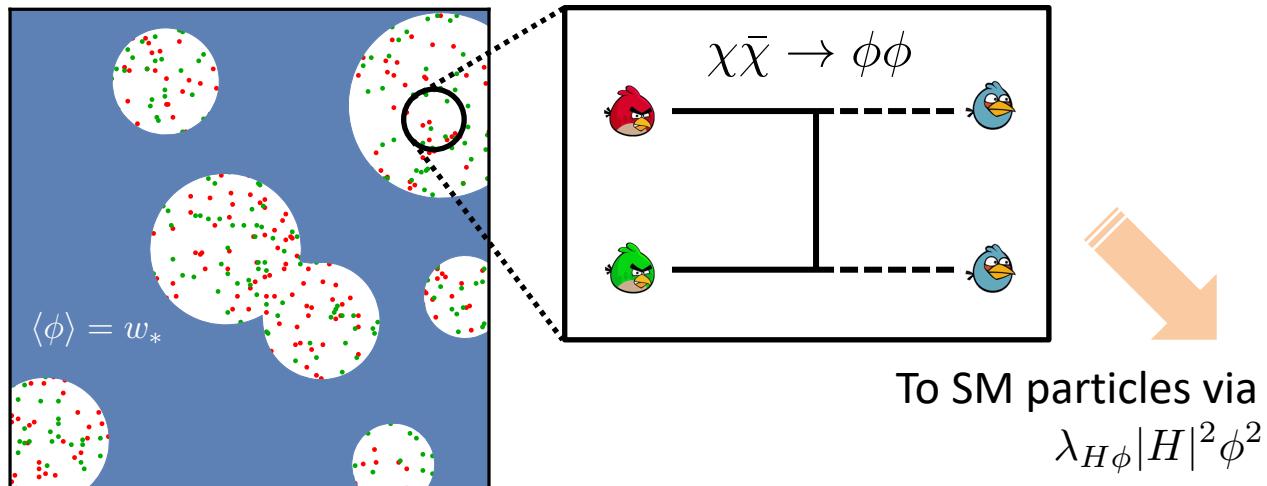
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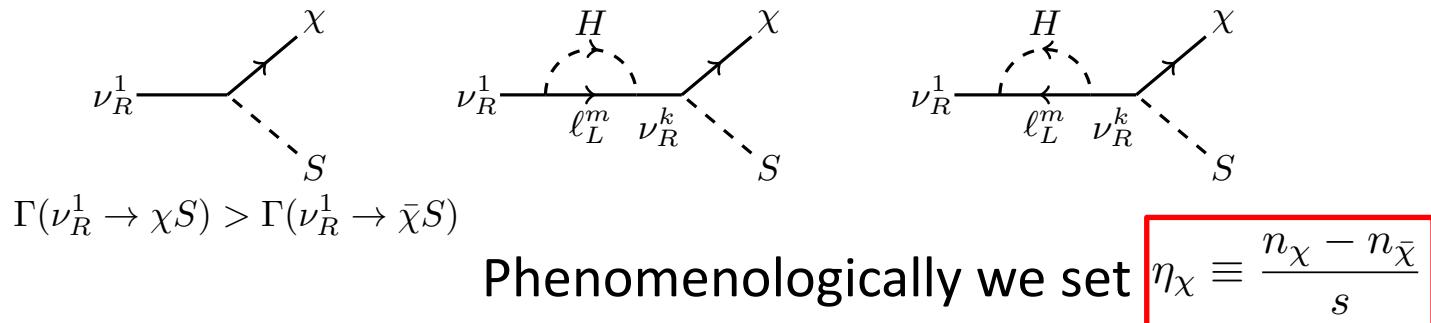
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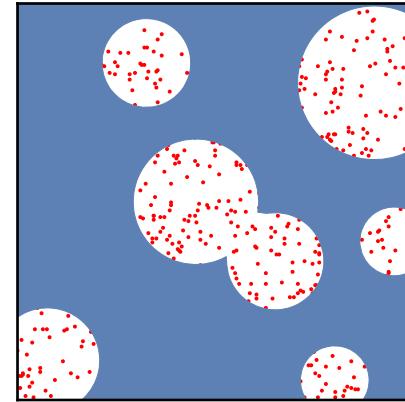


Formation of Fermi-ball solitons

The trapped fermions

$$Q_{FB} = F_\chi^{\text{trap}} \eta_\chi s_* V_* \leftarrow \text{Volume of the remnant}$$

Fermion asymmetry $\eta_\chi \equiv \frac{n_\chi - n_{\bar{\chi}}}{s}$

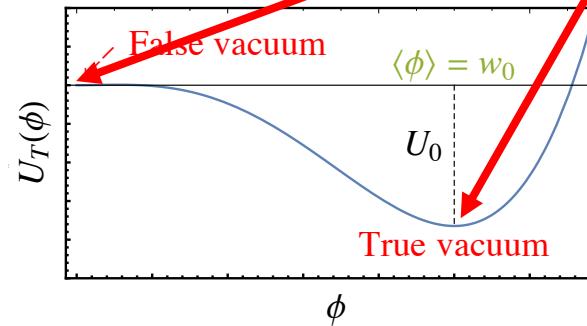
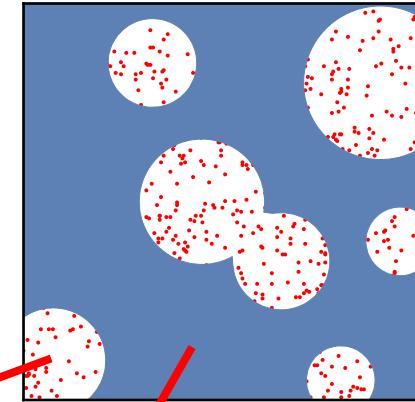


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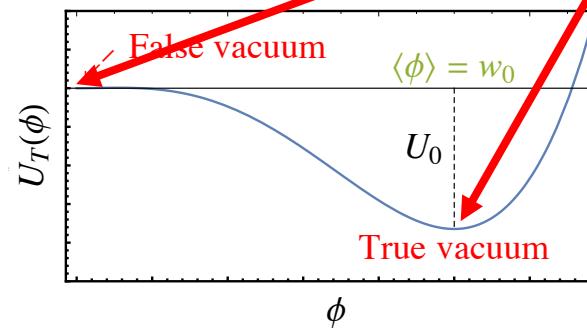
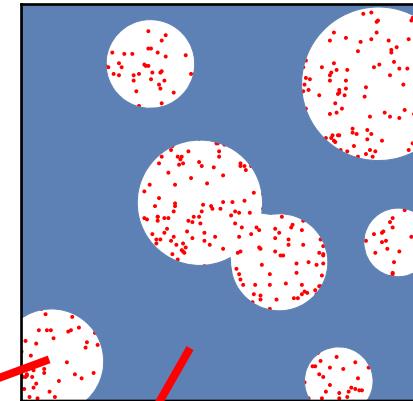


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Surface tension (negligible)

$$E = \frac{3\pi}{4} \left(\frac{3}{2\pi} \right)^{2/3} \frac{Q_{\text{FB}}^{4/3}}{R} + 4\pi\sigma_0 R^2 + \frac{4\pi}{3} U_0 R^3$$

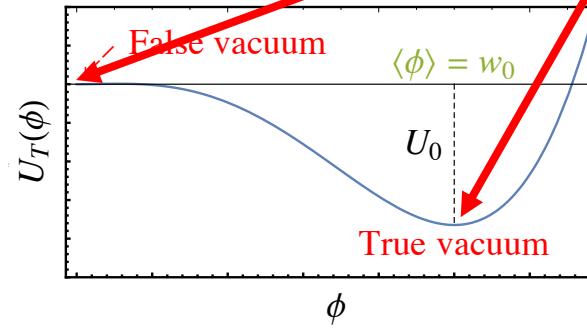
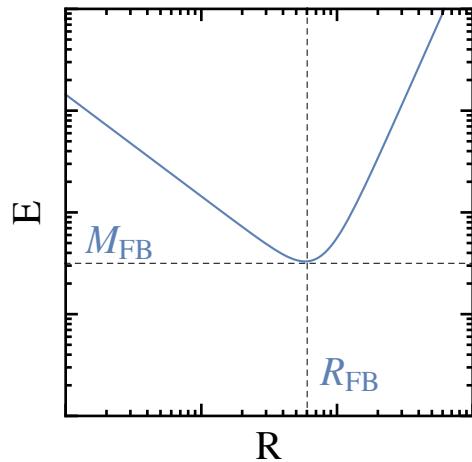
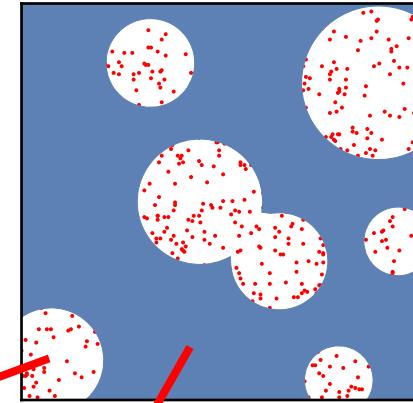
Fermi-gas kinetic energy Volume energy

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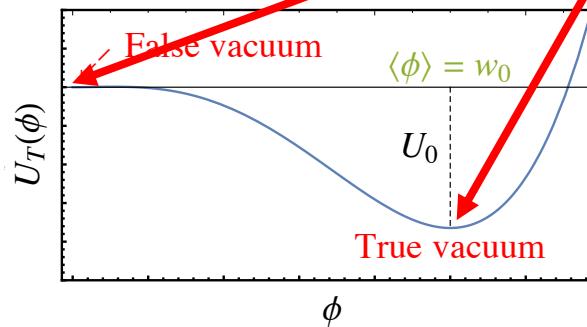
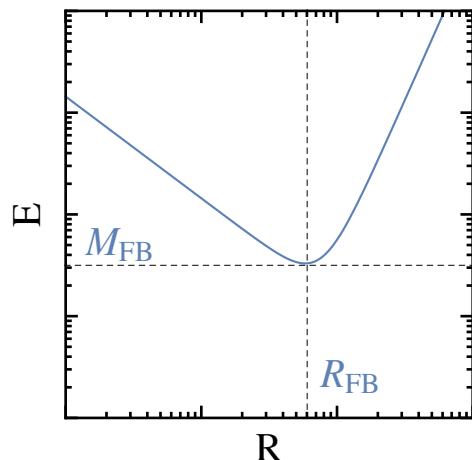
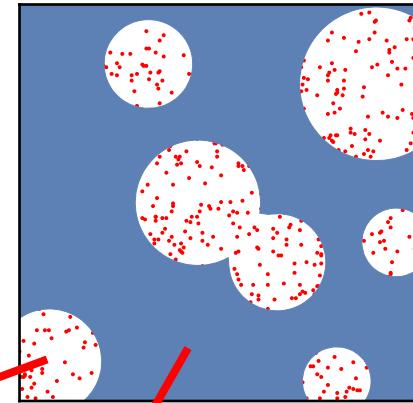
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$$M_{\text{FB}} = Q_{\text{FB}} (12\pi^2 U_0)^{1/4},$$

$$R_{\text{FB}} = Q_{\text{FB}}^{1/3} \left[\frac{3}{16} \left(\frac{3}{2\pi} \right)^{2/3} \frac{1}{U_0} \right]^{1/4}$$

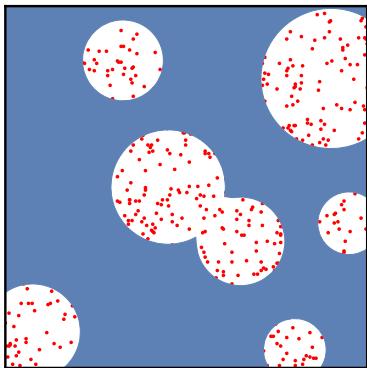
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$$E = \frac{3\pi}{4} \left(\frac{3}{2\pi} \right)^{2/3} \frac{Q_{\text{FB}}^{4/3}}{R} + 4\pi\sigma_0 R^2 + \frac{4\pi}{3} U_0 R^3$$

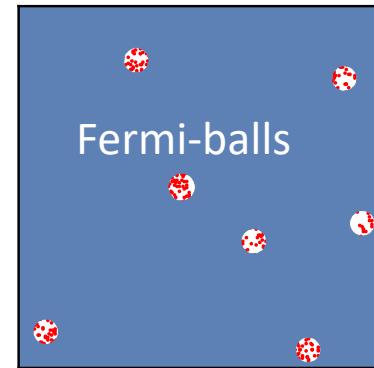
Fermi-gas kinetic energy Volume energy

How many fermions survive?

Charge $Q_{\text{FB}} = F_{\chi}^{\text{trap}} \eta_{\chi} s_* V_*$ ← Volume of the remnant:
crucial information!

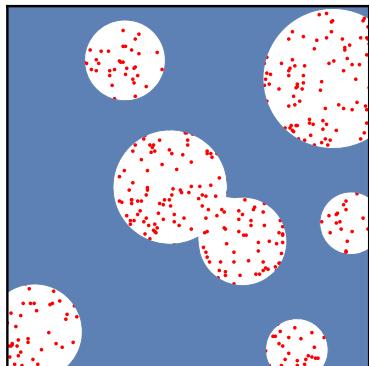


$$M_{\text{FB}} = Q_{\text{FB}} (12\pi^2 U_0)^{1/4},$$
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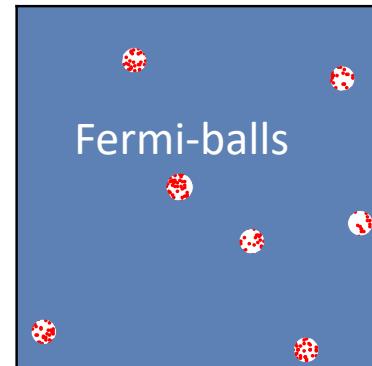


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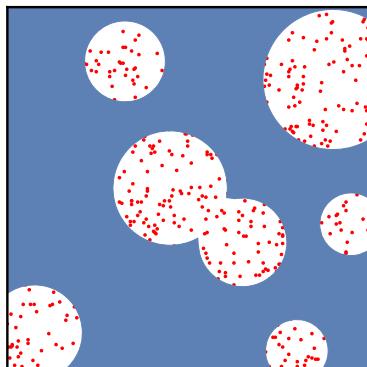
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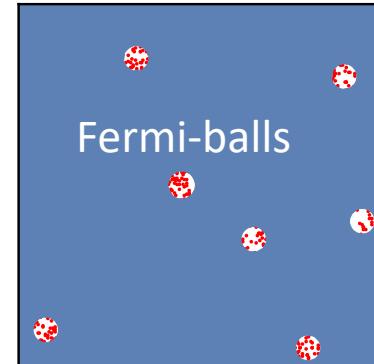
A detailed treatment see Ref. [P.Lu, K.Kawana and **KPX**, PRD 105 (2022) 12, 123503]

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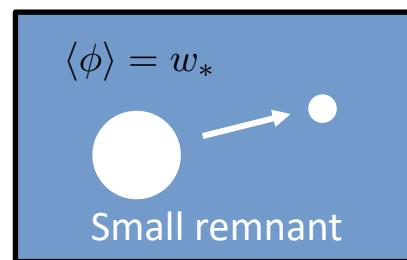
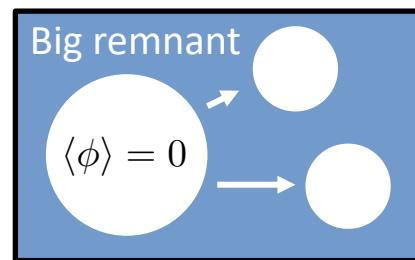


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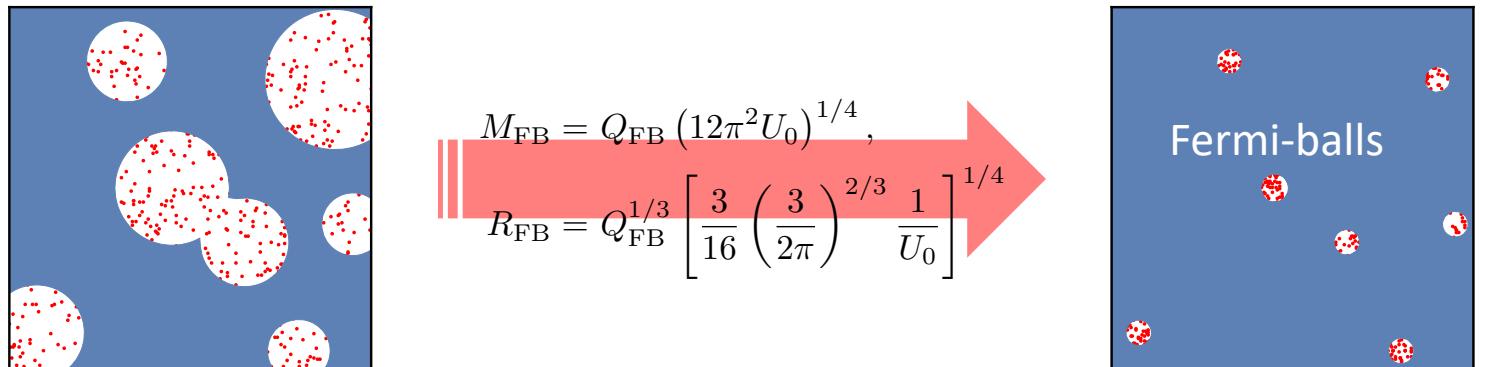
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This talk just estimates



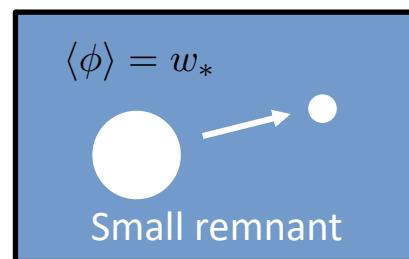
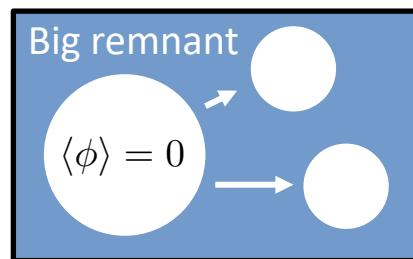
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Critical size $\Gamma(T_*) V_* \Delta t \sim 1, \quad V_* = \frac{4\pi}{3} R_*^3, \quad \Delta t = \frac{R_*}{v_b}$ $n_{\text{FB}}^* \approx 0.29 \times V_*^{-1}$

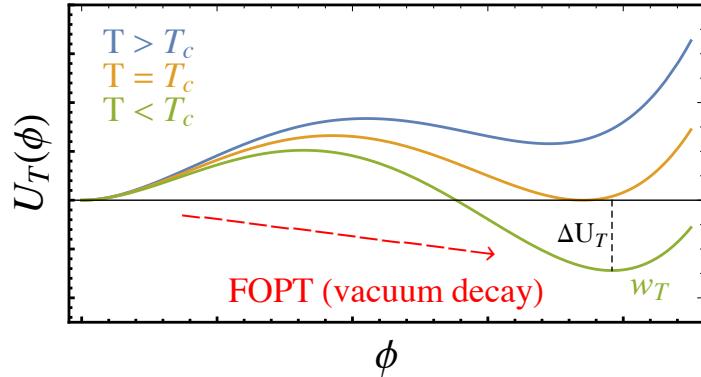
Bubble nucleation rate

The phase transition rate

The decay rate of vacuum per unit volume [Linde, NPB1983]

$$\Gamma(T) \sim T^4 \exp \{-S_3(T)/T\}$$

Classical action [model-dependent]

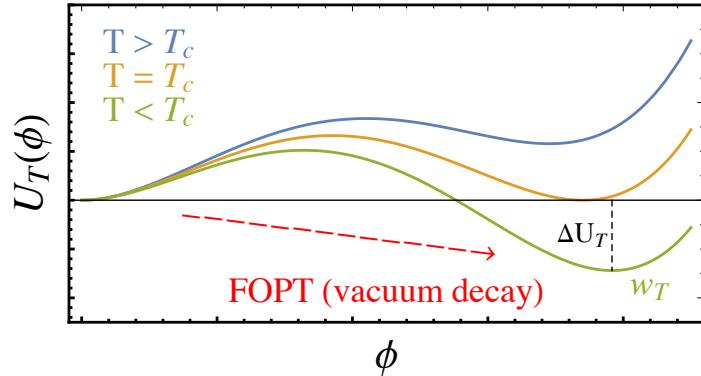


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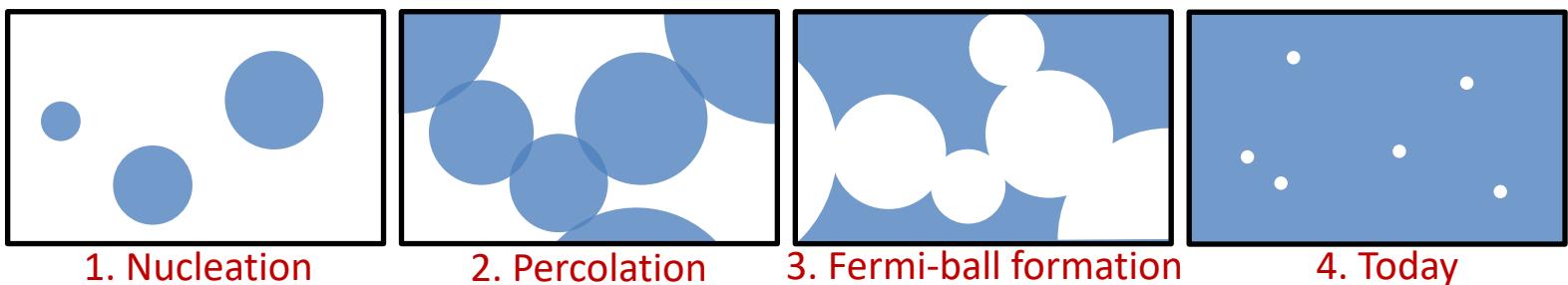
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Classical action [model-dependent]



The fraction of false vacuum in the Universe [Guth et al PRD1981]

$$p(T) = e^{-I(T)}, \quad I(T) = \frac{4\pi}{3} \int_T^{T_c} dT' \frac{\Gamma(T')}{T'^4 H(T')} \left[\int_T^{T'} d\tilde{T} \frac{v_b}{H(\tilde{T})} \right]^3$$



Percolation: $p(T_p) = 0.71$;

Fermi-ball formation: $p(T_*) = 1 - 0.71 = 0.29$;

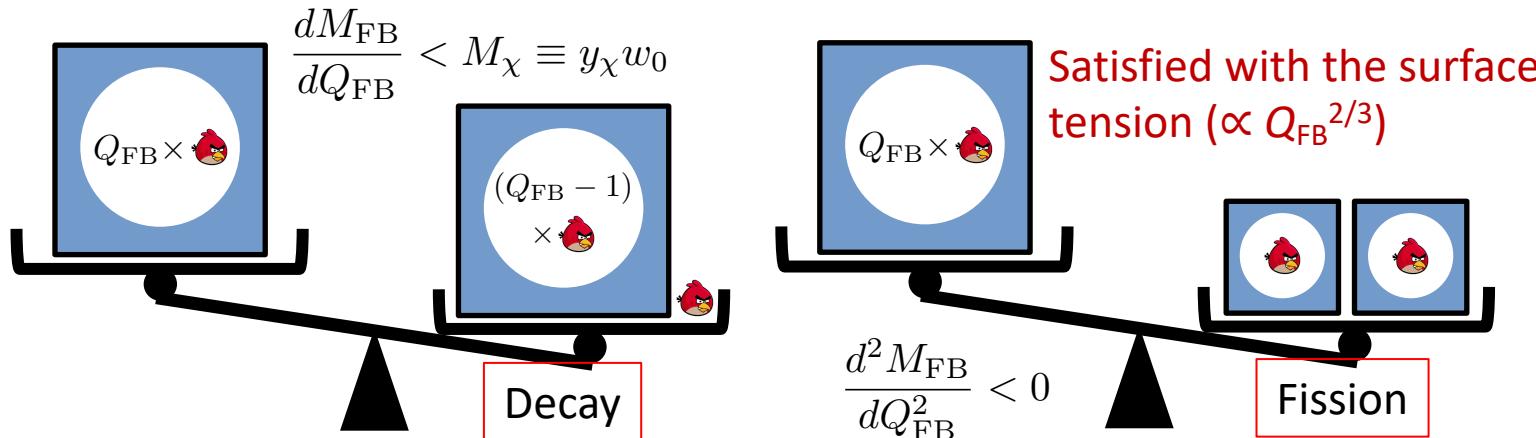
The Fermi-ball profile

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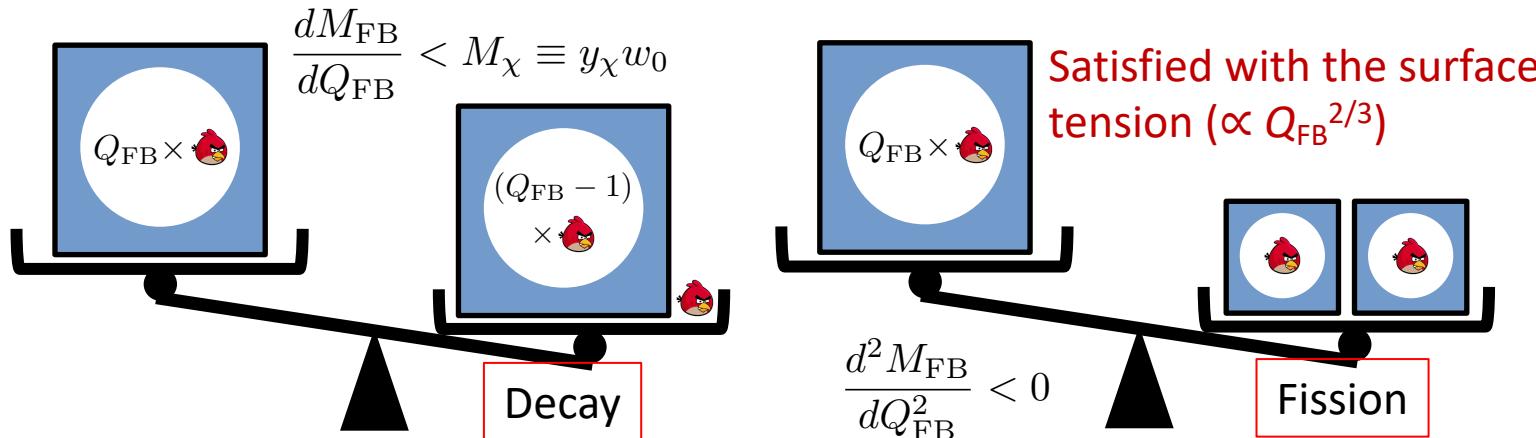
The stability conditions



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The stability conditions



Very dense object

$$\frac{M_{\text{FB}}}{4\pi R_{\text{FB}}^3/3} = 9.15 \times 10^{28} \text{ kg/m}^3 \left(\frac{U_0^{1/4}}{100 \text{ GeV}} \right)^4$$

Even denser than a neutron star $\rho_{\text{NS}} \approx 10^{17} \text{ kg/m}^3$

The Fermi-ball profile estimation

The first-order phase transition (FOPT) parameters

- α : FOPT latent heat over the radiation energy density;
- β/H : inverse ratio of FOPT duration to the Hubble time scale

$$Q_{\text{FB}} \approx 1.0 \times 10^{42} \times v_b^3 \left(\frac{\eta_\chi}{10^{-3}} \right) \times \left(\frac{100}{g_*} \right)^{1/2} \left(\frac{100 \text{ GeV}}{T_*} \right)^3 \left(\frac{100}{\beta/H} \right)^3,$$

$$R_{\text{FB}} \approx 4.8 \times 10^{-3} \text{ cm} \times v_b \left(\frac{\eta_\chi}{10^{-3}} \right)^{1/3} \times \left(\frac{100}{g_*} \right)^{5/12} \left(\frac{100 \text{ GeV}}{T_*} \right)^2 \left(\frac{100}{\beta/H} \right) \alpha^{-1/4},$$

$$M_{\text{FB}} \approx 1.4 \times 10^{21} \text{ g} \times v_b^3 \left(\frac{\eta_\chi}{10^{-3}} \right) \times \left(\frac{100}{g_*} \right)^{1/4} \left(\frac{100 \text{ GeV}}{T_*} \right)^2 \left(\frac{100}{\beta/H} \right)^3 \alpha^{1/4},$$

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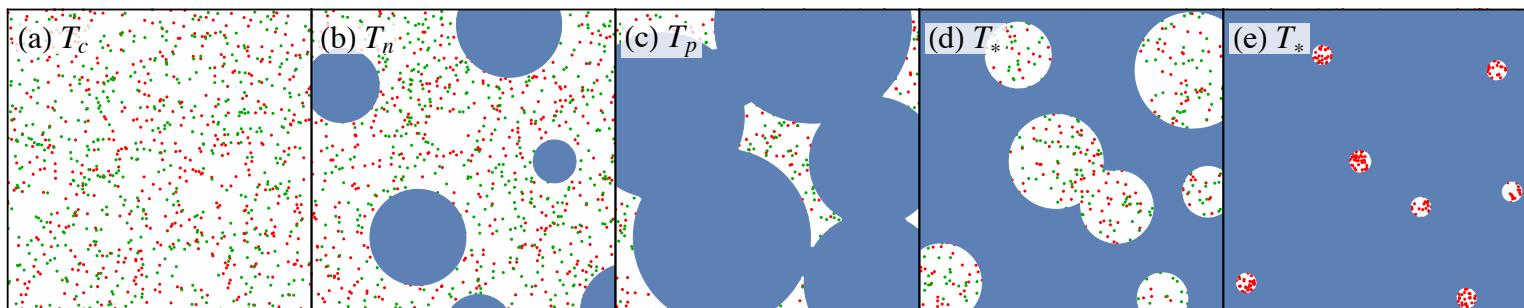
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Fermi-balls [Hong, Jung and KPX, Phys.Rev.D 102 (2020) 7, 075028, arXiv:2008.04430]



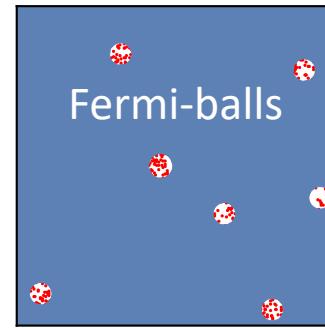
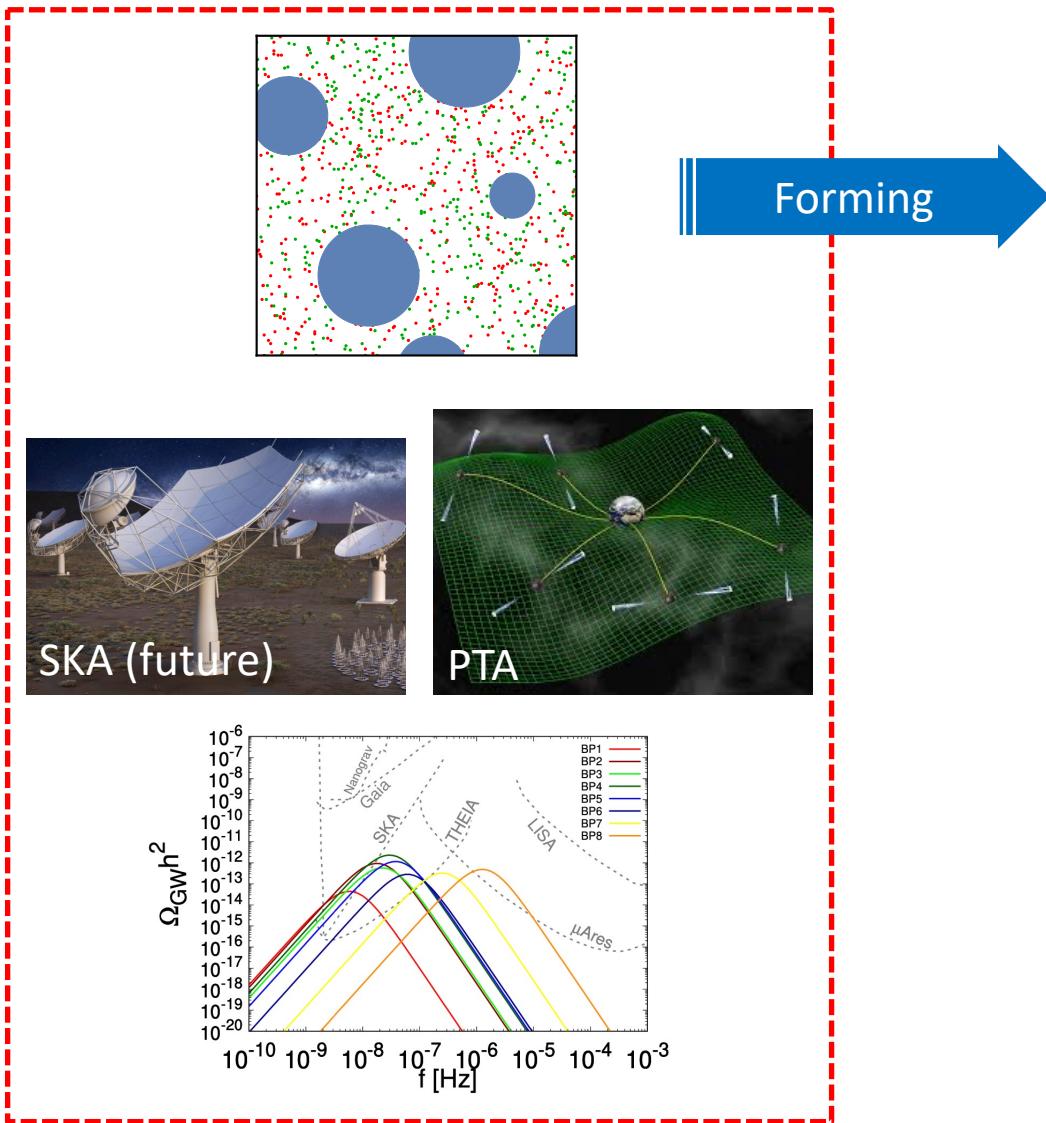
Further remarks for Fermi-balls

[Marfatia *et al*, JHEP 11 (2021) 068]



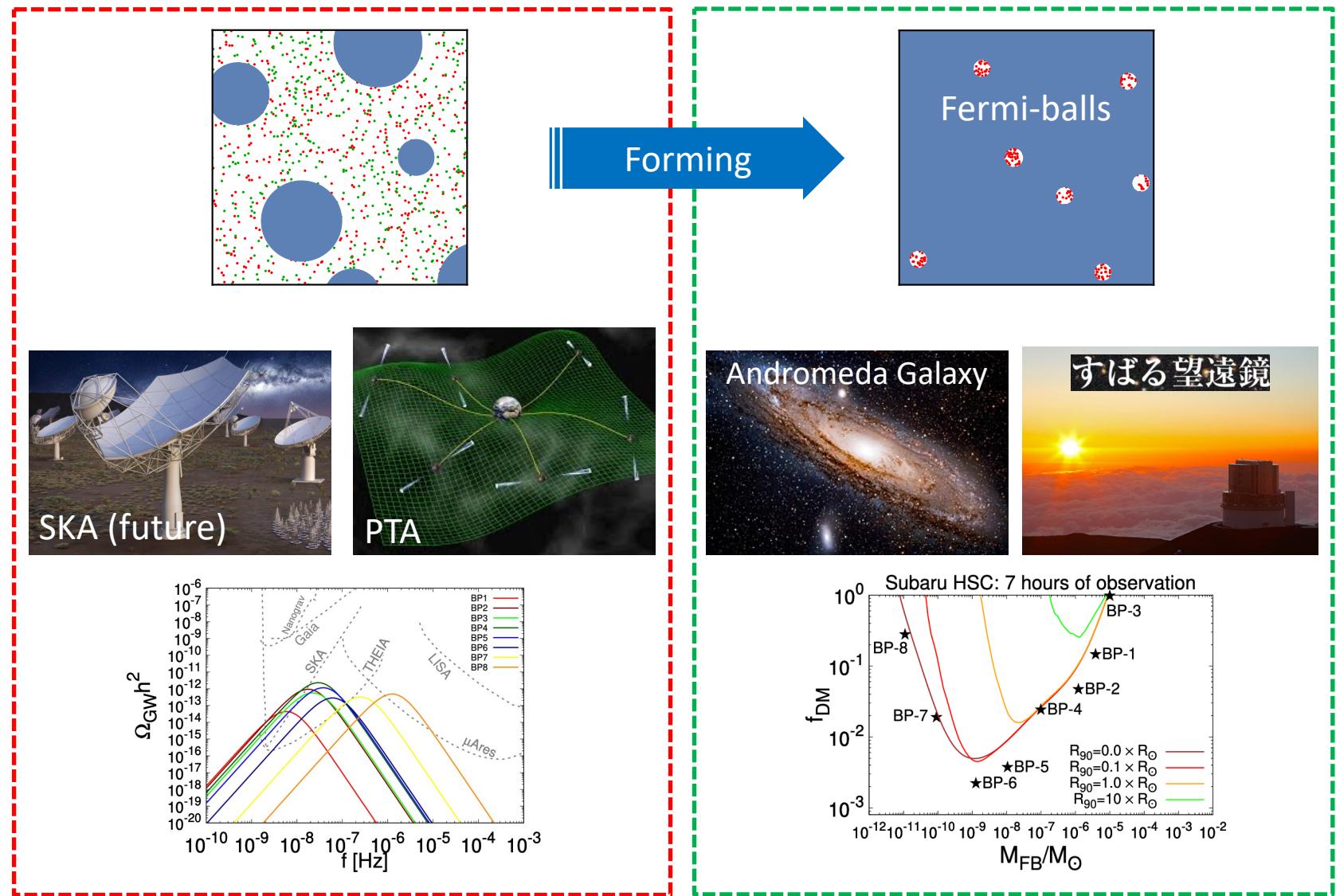
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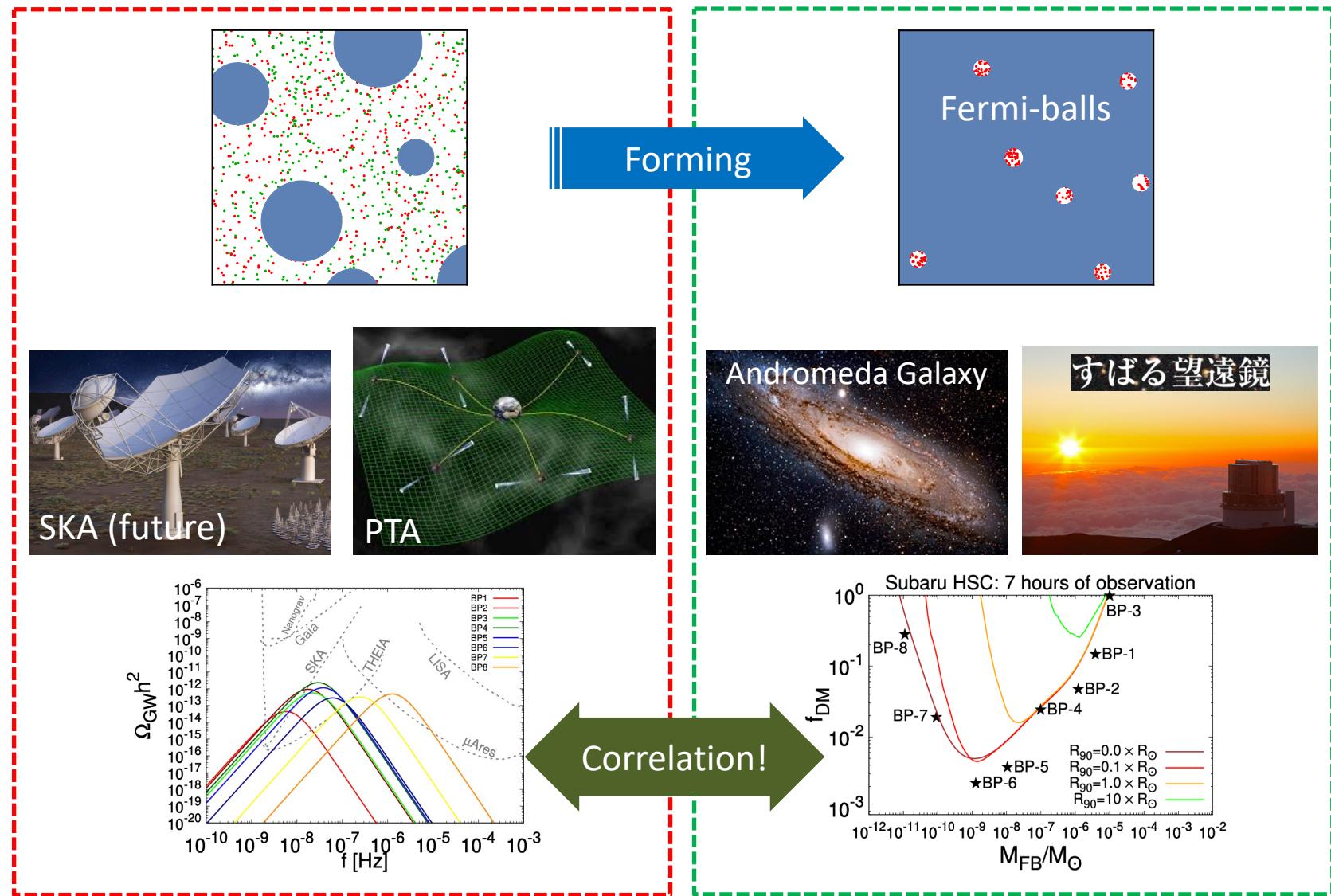
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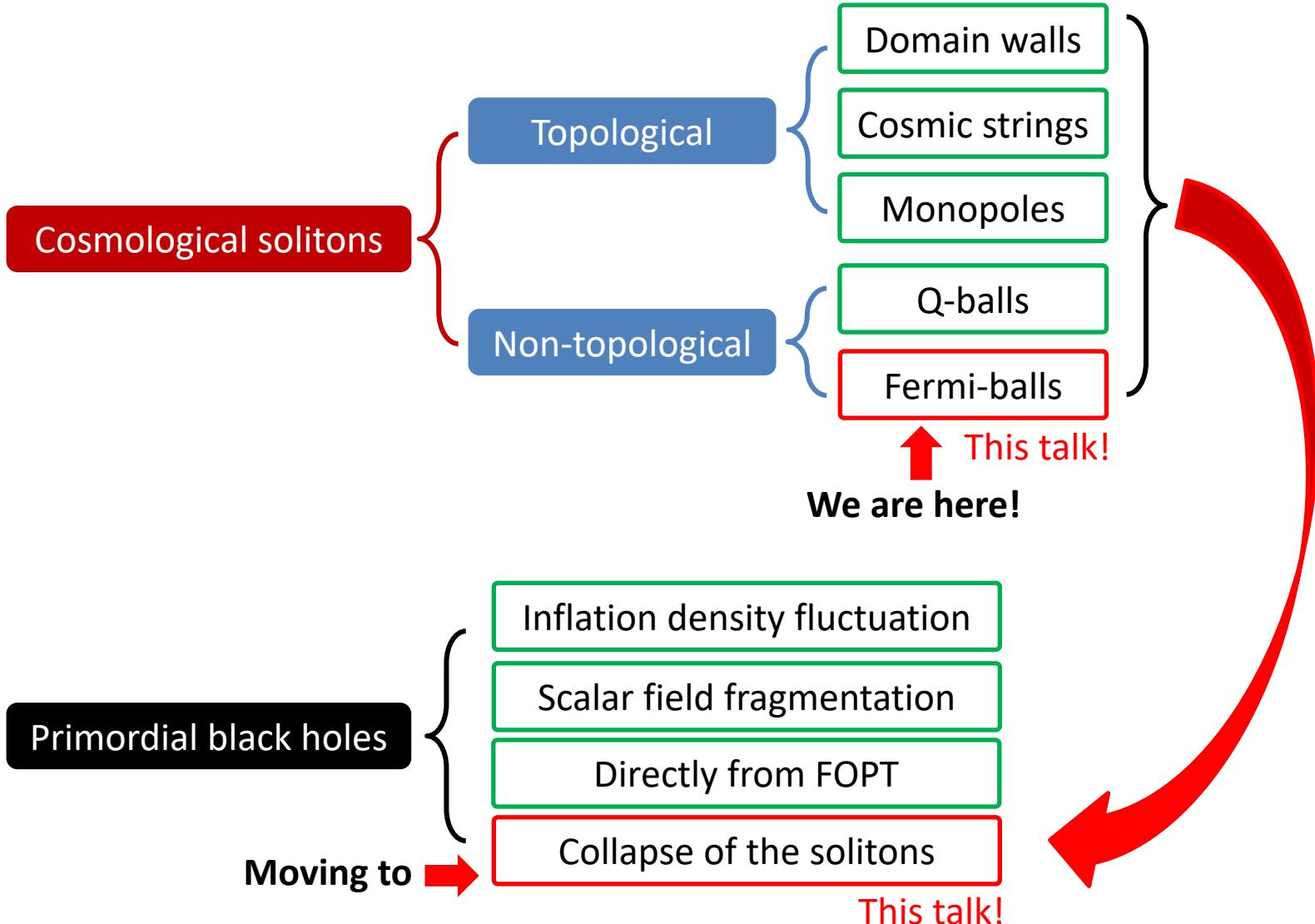


Further remarks for Fermi-balls

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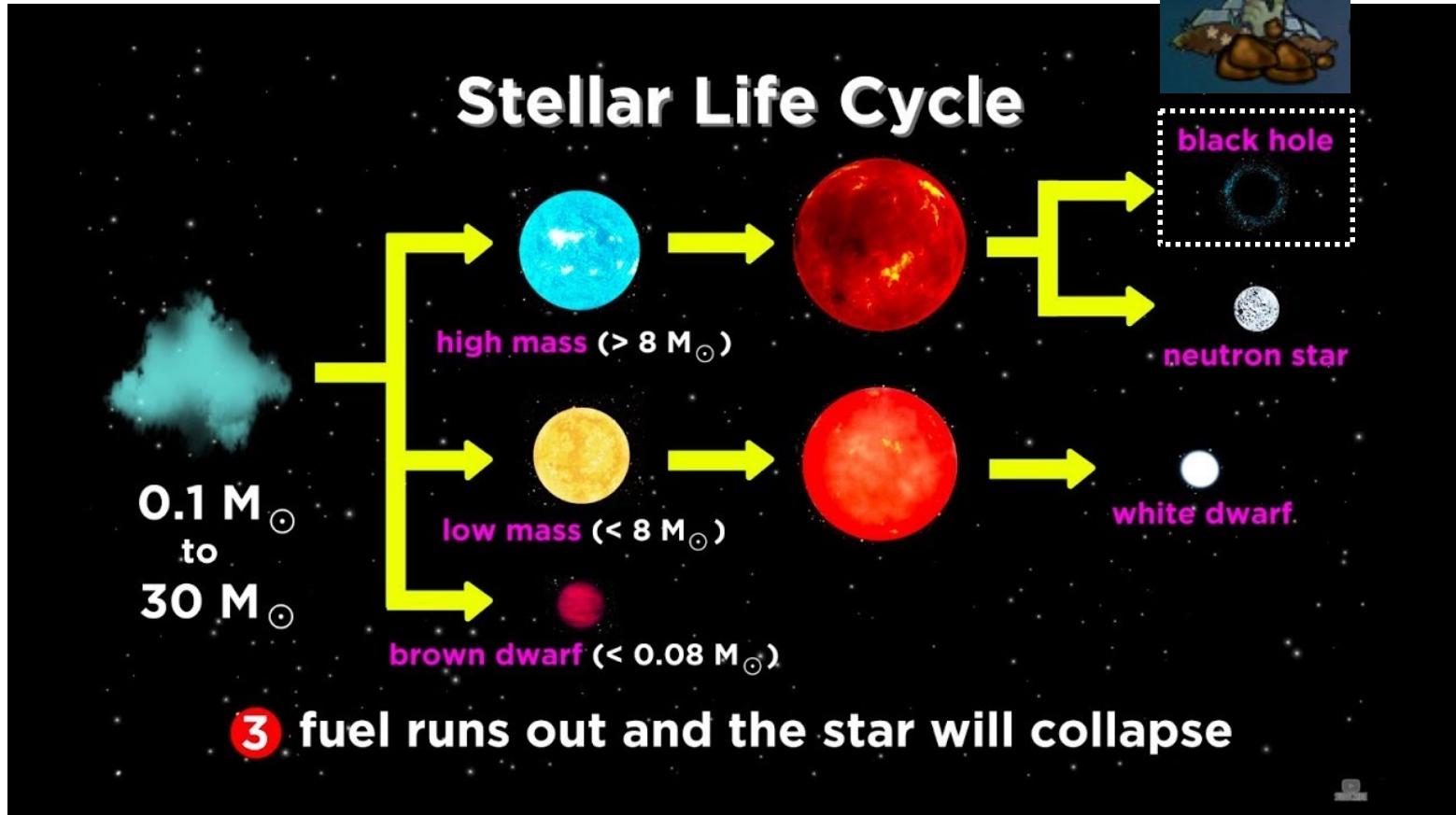


Outline



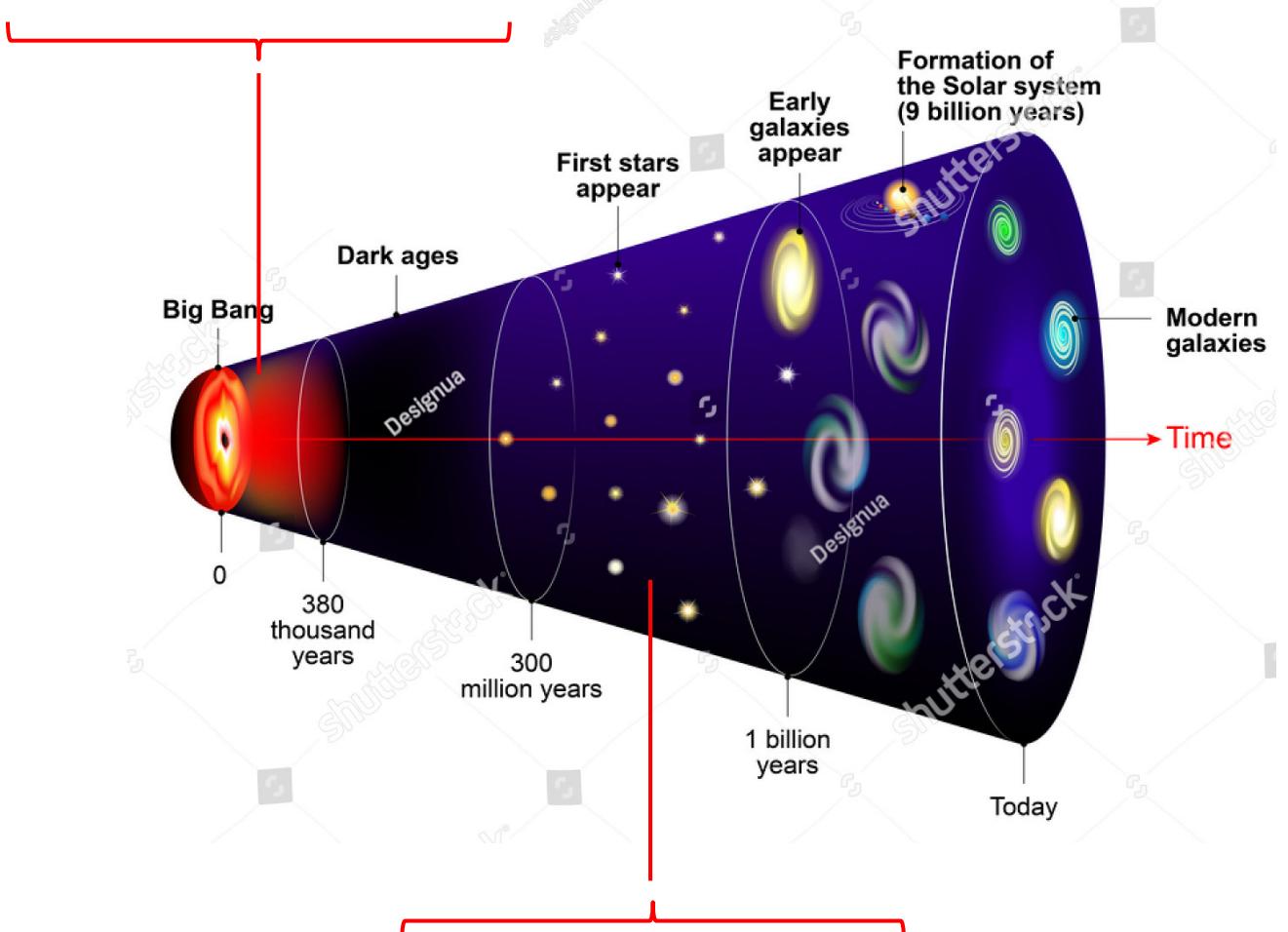
“Normal” black holes

From the collapse of stars running out of fuel



Primordial black holes (PBHs)

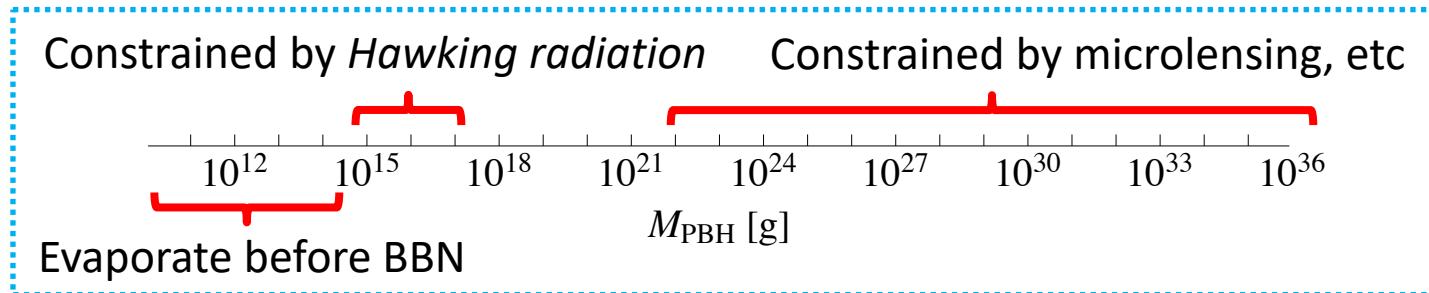
Hypothetical black holes (soon after Big Bang); [Zel'dovitch *et al*, 1966]



“Normal” black holes (from stellar collapse)

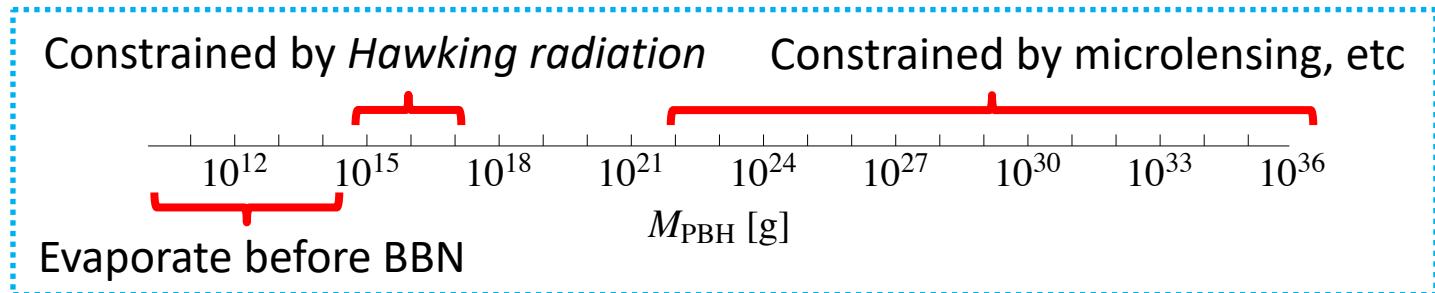
Primordial black holes (PBHs)

Mass lies in a vast region, depending on the formation mechanism.

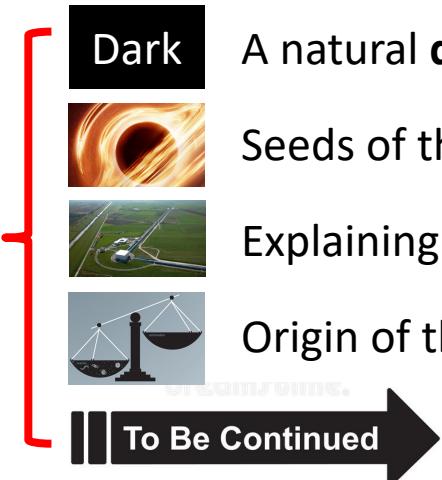


Primordial black holes (PBHs)

Mass lies in a vast region, depending on the formation mechanism.



What can PBHs do?



A natural **dark matter** candidate;

Seeds of the supermassive black holes;

Explaining LIGO/Virgo observations;

Origin of the **matter-antimatter asymmetry**;

|| To Be Continued

Formation of the primordial black holes

Collapse of the overdense region during inflation; [Carr *et al*, MNRAS1974]

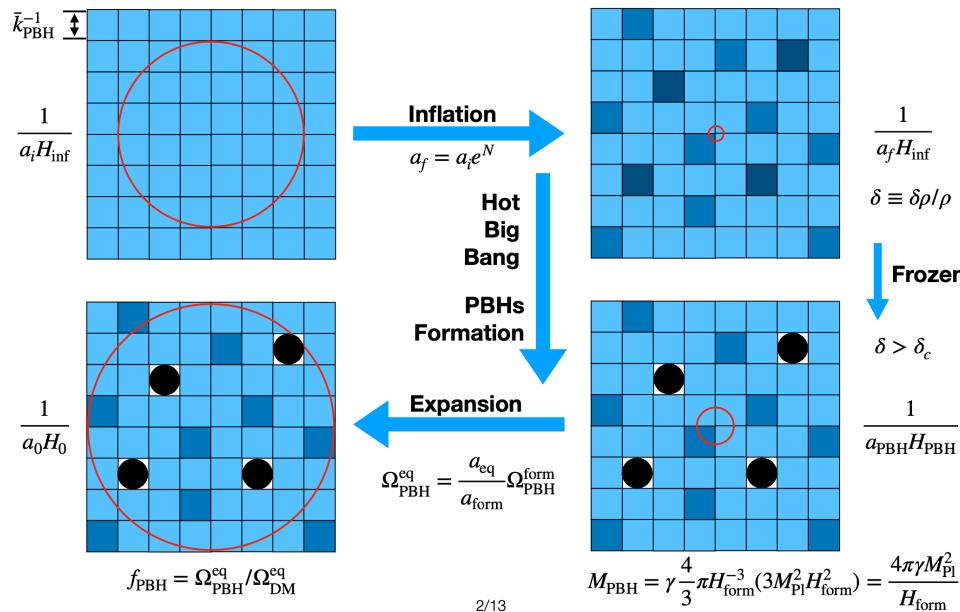


Figure from talk of
Dr. Shao-Jiang Wang

Scalar field fragmentation; [Cotner *et al*, PRL 119 (2017) 3, 031103]

Directly from a FOPT; [Hawking *et al*, Phys.Rev.D 26 (1982) 2681; Baker *et al*, 2105.07481]

.....

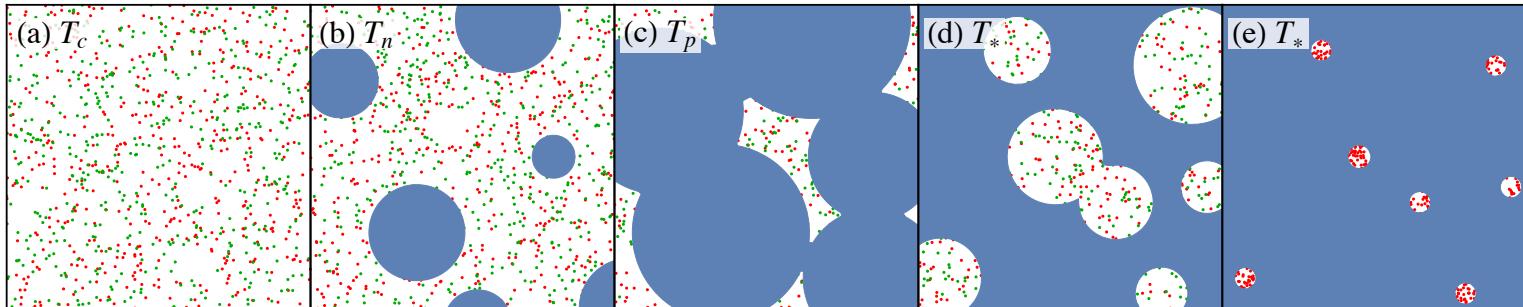
Collapse from the topological defects (e.g. cosmic strings, domain walls); [Hawking, PLB 231 (1989) 237-239]

Collapse from fermion non-topological solitons [[this talk](#)].

Recall the Fermi-ball scenario

The Fermi-balls:

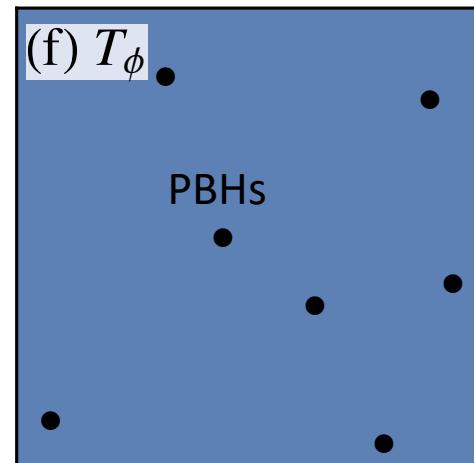
1. Non-topological solitons;
2. Dark matter candidate.



Is that the whole story?

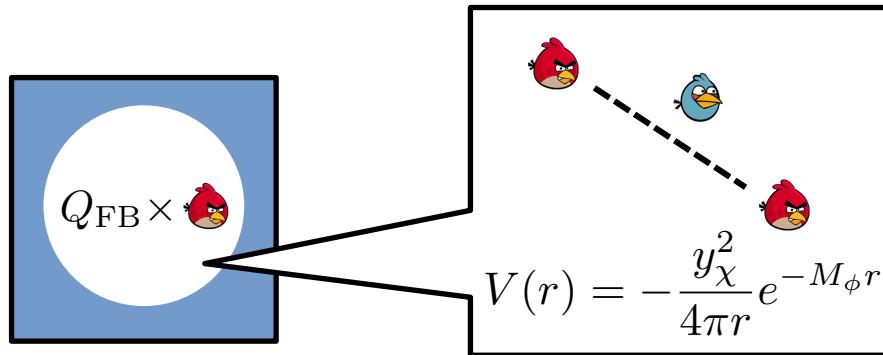


Something is missed!



We have missed the Yukawa force!

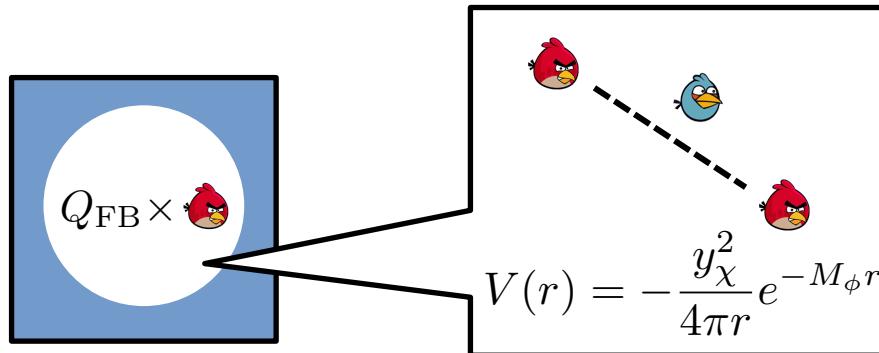
Yukawa force inside a Fermi-ball



Originated from $\mathcal{L} \supset -y_\chi \phi \bar{\chi} \chi$

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Yukawa force inside a Fermi-ball



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The modified energy profile

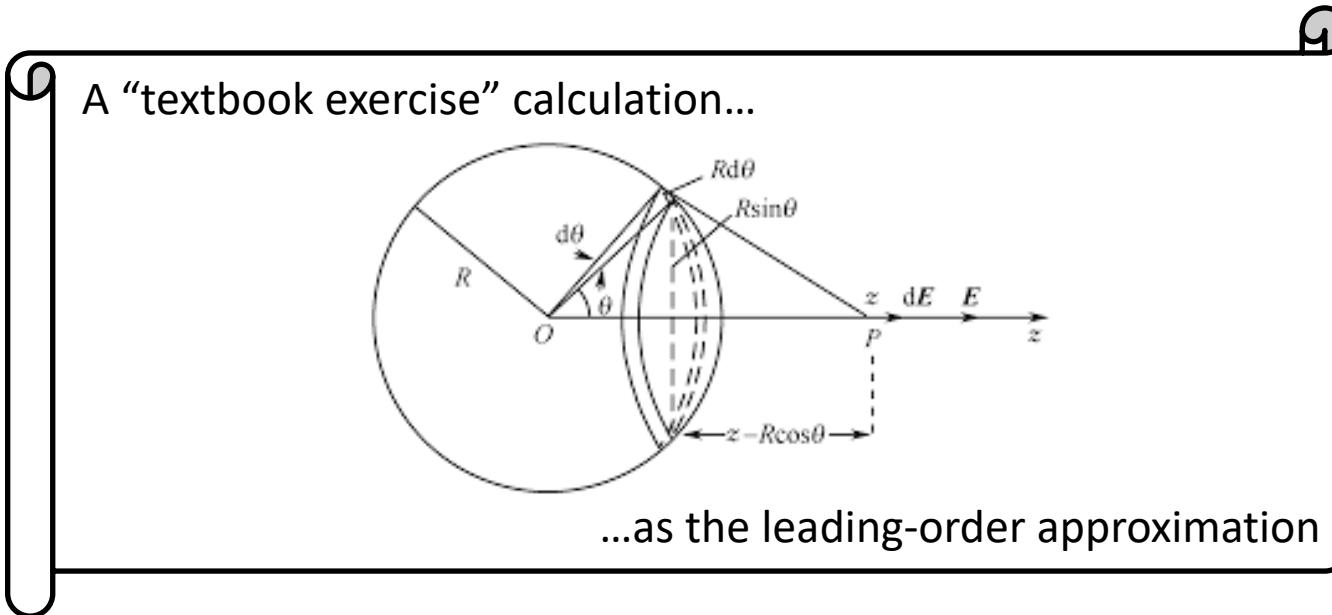
$$E = \frac{3\pi}{4} \left(\frac{3}{2\pi} \right)^{2/3} \frac{Q_{FB}^{4/3}}{R} + 4\pi\sigma_0 R^2 + \frac{4\pi}{3} U_0 R^3$$

Surface tension (negligible)Fermi-gas kinetic energyVolume energy

+ Yukawa energy

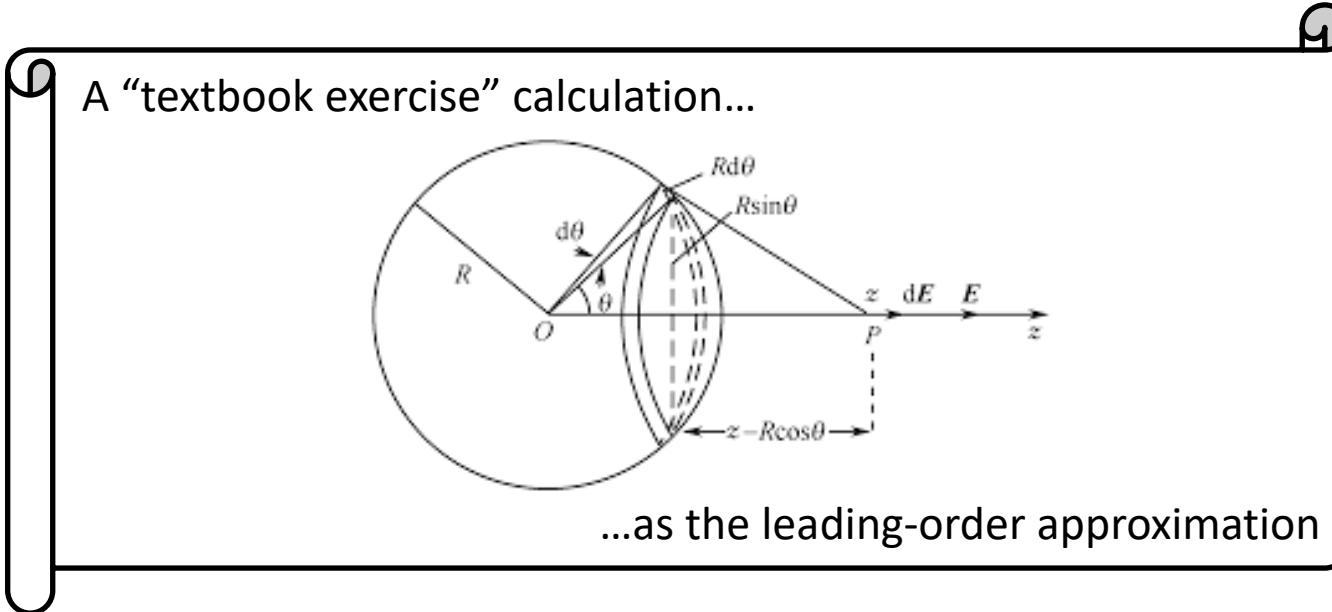
Calculating the Yukawa energy

A very simplified model: uniform distribution of the χ -fermions



Calculating the Yukawa energy

A very simplified model: uniform distribution of the χ -fermions



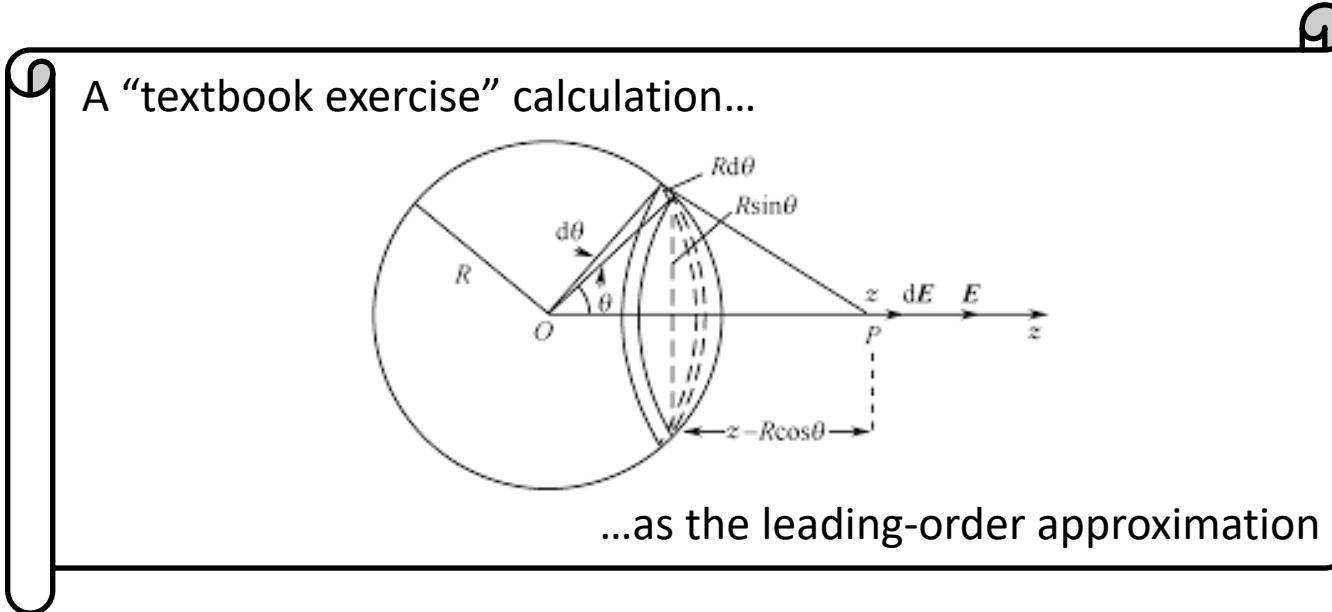
$$E_{\text{Yuk}} = -\frac{3y_\chi^2}{20\pi} \frac{Q_{\text{FB}}^2}{R} f\left(\frac{L_\phi}{R}\right); \quad f(\xi) = \frac{5}{2}\xi^2 \left[1 + \frac{3}{2}\xi(\xi^2 - 1) - \frac{3}{2}\xi(\xi + 1)^2 e^{-2/\xi} \right]$$

* $f(0) = 0$ and $f(\infty) = 1$

Range of force $L_\phi = M_\phi^{-1}$

Calculating the Yukawa energy

A very simplified model: uniform distribution of the χ -fermions



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* $f(0) = 0$ and $f(\infty) = 1$

Range of force $L_\phi = M_\phi^{-1}$

1. Always **negative** (attractive force);
2. Vanishes if mediator scalar is heavy;

Recall the Fermi-ball profile

The improved energy profile (when $L_\phi \ll R$)

$$E = \frac{3\pi}{4} \left(\frac{3}{2\pi} \right)^{2/3} \frac{Q_{\text{FB}}^{4/3}}{R} + 4\pi\sigma_0 R^2 + \frac{4\pi}{3} U_0 R^3$$

Surface tension (negligible)

Fermi-gas kinetic energy Volume energy

$$- \frac{15y_\chi^2}{40\pi} \frac{Q_{\text{FB}}^2}{R} \left(\frac{L_\phi}{R} \right)^2$$

What if the Yukawa energy dominates?

Recall the Fermi-ball profile

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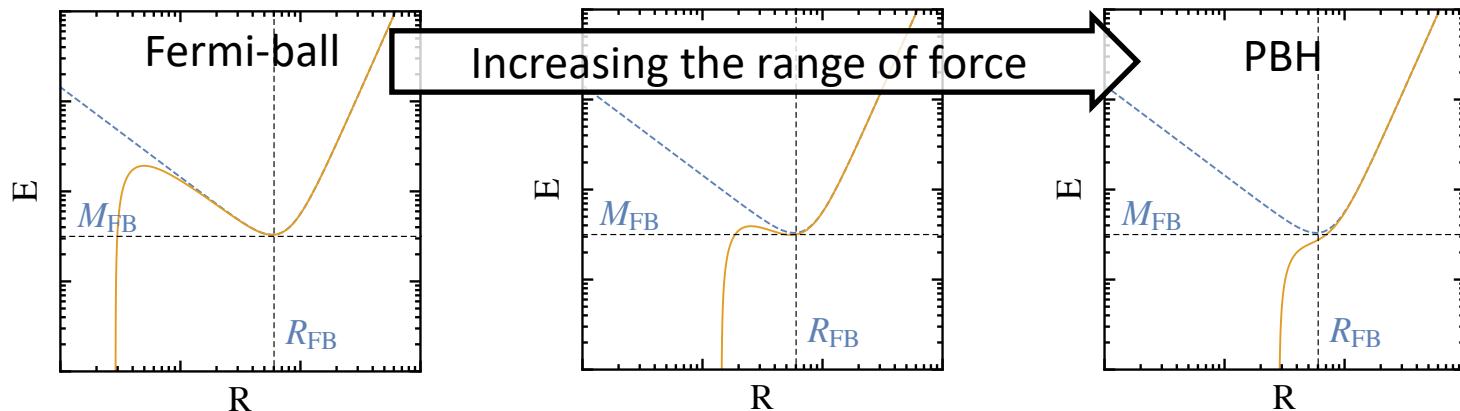
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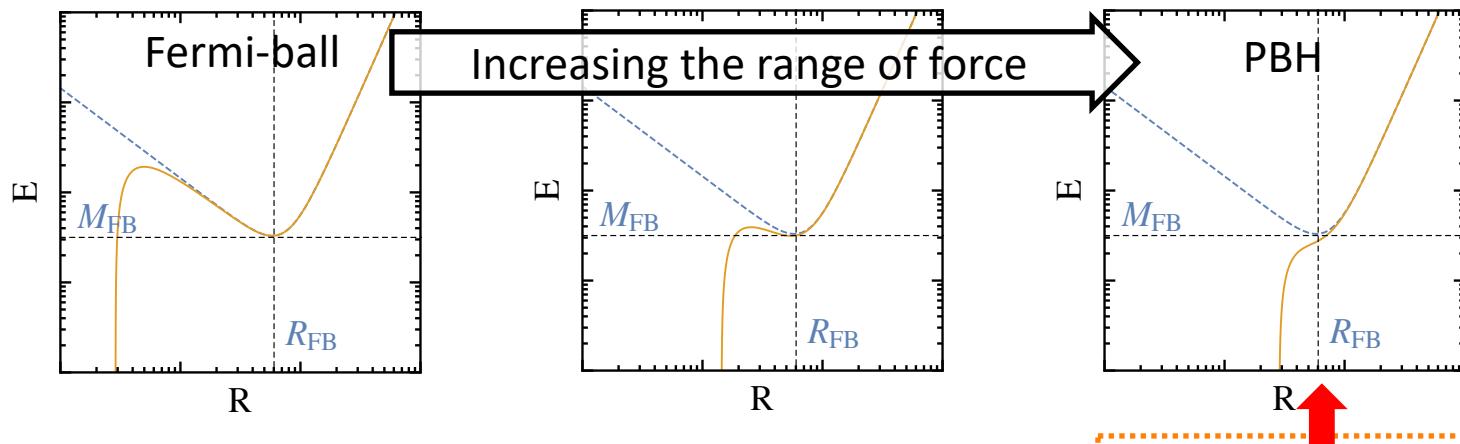


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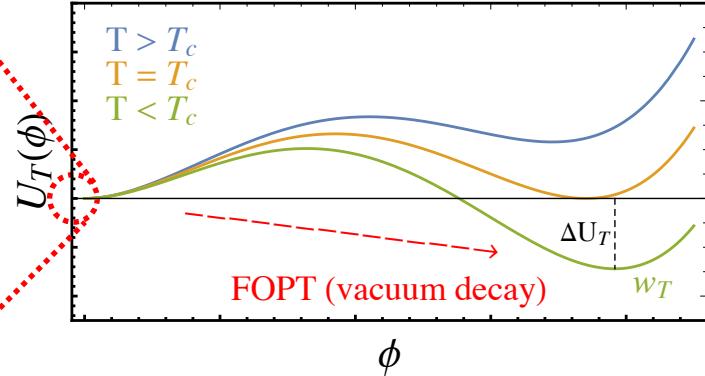
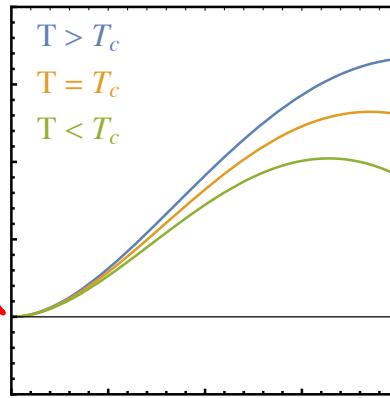
Range of force reaches the mean separation of fermions in the Fermi-ball: collapse!

$$L_\phi \sim R_{\text{FB}} Q_{\text{FB}}^{-1/3}$$

Evolution of range of force

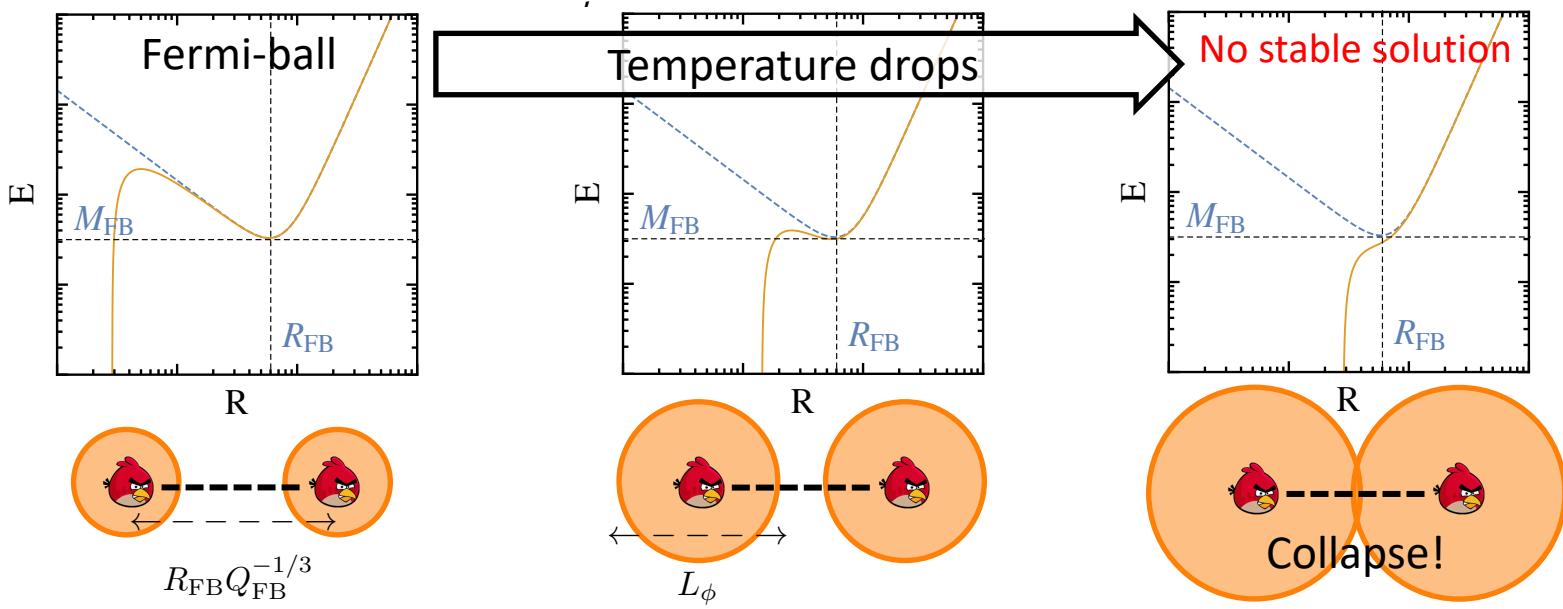
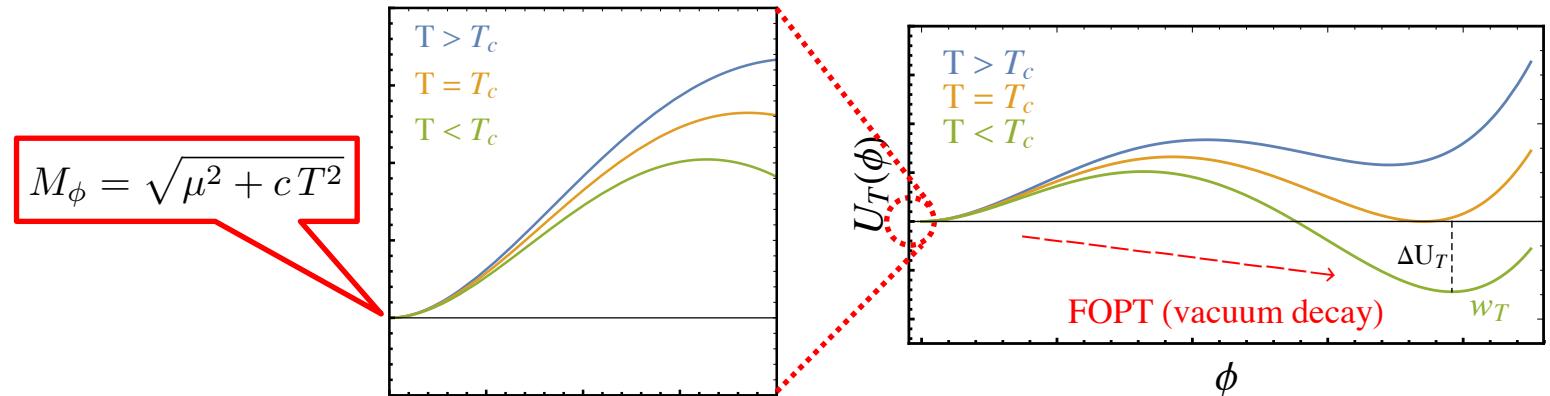
The range of force **increases** as T drops!

$$M_\phi = \sqrt{\mu^2 + c T^2}$$



Evolution of range of force

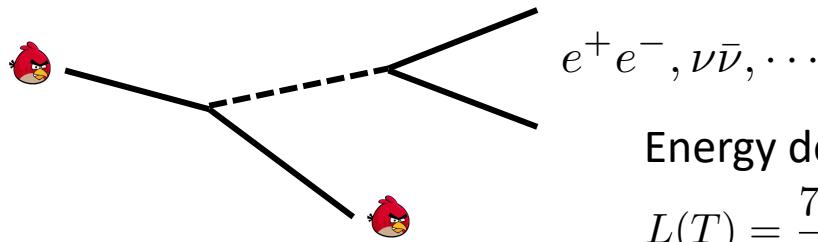
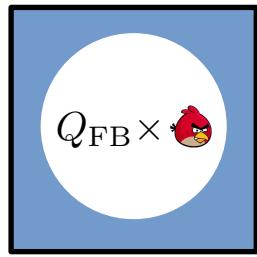
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[Kawana and KPX, PLB 824 (2022) 136791, arXiv:2106.00111]

Wait, can a Fermi-ball cool down?

Emitting SM light particles (black body radiation [Witten, PRD1984]);



Energy decreasing rate

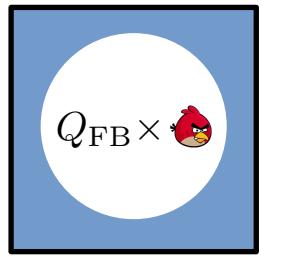
$$L(T) = \frac{7\pi^3 N_f}{240} R_{\text{FB}}^2 T^4$$

$$\tau_{\text{cool}} = \frac{240}{7\pi^2} \left(\frac{2\pi}{3} \right)^{1/3} \frac{Q_{\text{FB}}^{1/3} (12\pi^2 U_0)^{1/4}}{N_f T^2}.$$

Radiation cooling is very efficient! $\tau_{\text{cool}} \ll 1/H$

Wait, can a Fermi-ball cool down?

Emitting SM light particles (black body radiation [Witten, PRD1984]);



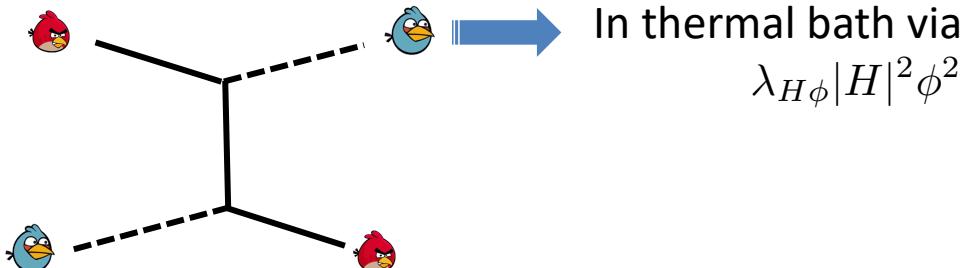
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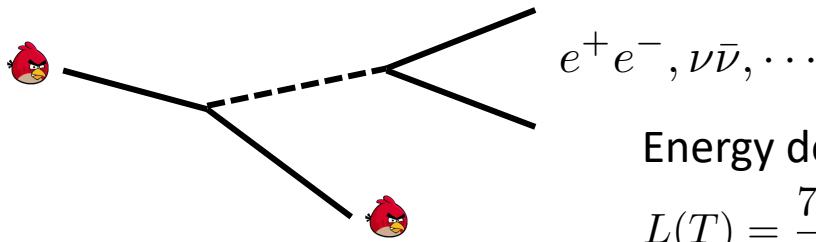
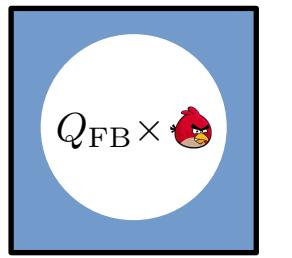
Radiation cooling is very efficient! $\tau_{\text{cool}} \ll 1/H$

Scattering cooling: [Kawana, Lu and KPX, JCAP 10 (2022) 030, arXiv:2206.09923]



Wait, can a Fermi-ball cool down?

Emitting SM light particles (black body radiation [Witten, PRD1984]);



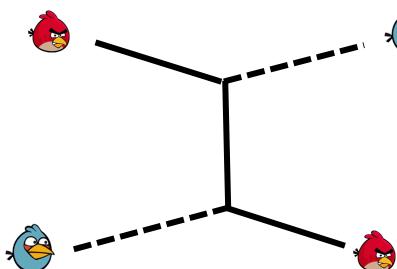
Energy decreasing rate

$$L(T) = \frac{7\pi^3 N_f}{240} R_{FB}^2 T^4$$

$$\tau_{cool} = \frac{240}{7\pi^2} \left(\frac{2\pi}{3}\right)^{1/3} \frac{Q_{FB}^{1/3} (12\pi^2 U_0)^{1/4}}{N_f T^2}.$$

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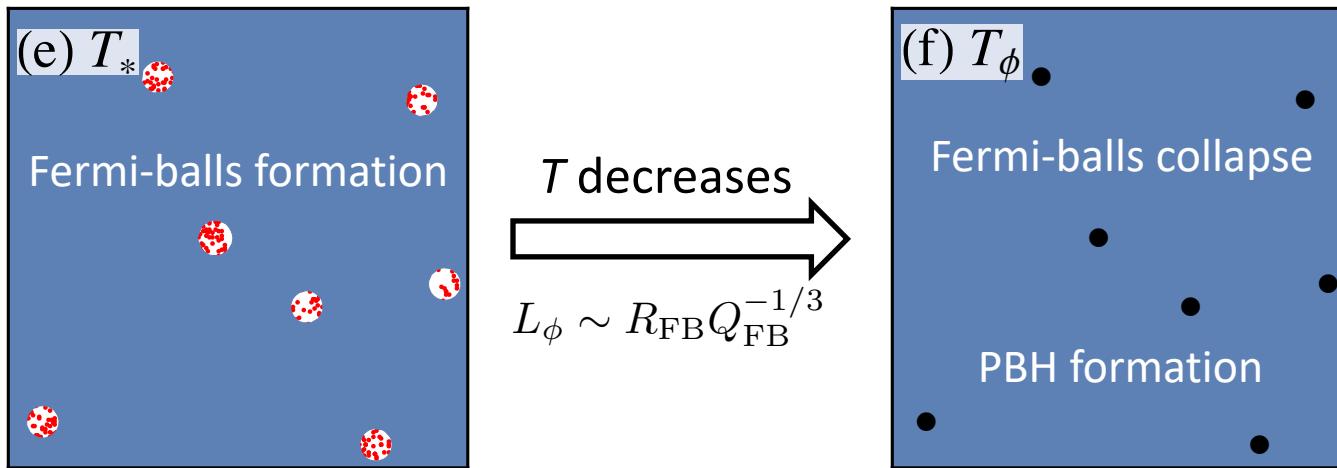


In thermal bath via
 $\lambda_{H\phi} |H|^2 \phi^2$

In short: Fermi-balls can cool down!

From Fermi-balls to primordial black holes

The Fermi-balls **collapse** to **primordial black holes** at T_ϕ when



Mass inherits from the mother Fermi-ball; number density

$$M_{\text{PBH}} \approx M_{\text{FB}} = Q_{\text{FB}} (12\pi^2 U_0)^{1/4}$$

$$n_{\text{PBH}} = s \times \frac{n_{\text{FB}}^*}{s_*}$$

Recall the formulae

$$n_{\text{FB}}^* \approx 0.29 \times V_*^{-1}$$

$$\Gamma(T_*) V_* \Delta t \sim 1, \quad V_* = \frac{4\pi}{3} R_*^3, \quad \Delta t = \frac{R_*}{v_b}$$

$$\Gamma(T) \sim T^4 \exp \{-S_3(T)/T\}$$

A quick estimate for the profile

The action is approximately [Huber *et al* JCAP2008]

$$\frac{S_3(T_*)}{T_*} \approx 131 - 4 \ln \left(\frac{T_*}{100 \text{ GeV}} \right) - 4 \ln \left(\frac{\beta/H}{100} \right) + 3 \ln v_b - 2 \ln \left(\frac{g_*}{100} \right) ,$$

The ratio of Hubble time to phase transition duration

Vacuum energy

$$U_0(T_*) \approx \alpha \times \frac{\pi^2}{30} g_* T_*^4$$

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The ratio of Hubble time to phase transition duration

Vacuum energy

$$U_0(T_*) \approx \alpha \times \frac{\pi^2}{30} g_* T_*^4$$

$$Q_{\text{FB}} \approx 1.0 \times 10^{42} \times v_b^3 \left(\frac{\eta_\chi}{10^{-3}} \right) \times \left(\frac{100}{g_*} \right)^{1/2} \left(\frac{100 \text{ GeV}}{T_*} \right)^3 \left(\frac{100}{\beta/H} \right)^3,$$

$$R_{\text{FB}} \approx 4.8 \times 10^{-3} \text{ cm} \times v_b \left(\frac{\eta_\chi}{10^{-3}} \right)^{1/3} \times \left(\frac{100}{g_*} \right)^{5/12} \left(\frac{100 \text{ GeV}}{T_*} \right)^2 \left(\frac{100}{\beta/H} \right) \alpha^{-1/4},$$

$$M_{\text{FB}} \approx M_{\text{PBH}} \approx 1.4 \times 10^{21} \text{ g} \times v_b^3 \left(\frac{\eta_\chi}{10^{-3}} \right) \times \left(\frac{100}{g_*} \right)^{1/4} \left(\frac{100 \text{ GeV}}{T_*} \right)^2 \left(\frac{100}{\beta/H} \right)^3 \alpha^{1/4},$$

$$f_{\text{PBH}} \approx 1.3 \times 10^3 \times v_b^{-3} \left(\frac{g_*}{100} \right)^{1/2} \left(\frac{T_*}{100 \text{ GeV}} \right)^3 \times \left(\frac{\beta/H}{100} \right)^3 \left(\frac{M_{\text{PBH}}}{10^{15} \text{ g}} \right);$$

The DM fraction today

Need further dilution mechanism if $f_{\text{PBH}} > 1$.

Correlation between FOPT and gamma-rays

Correlated signals of first-order phase transitions and primordial black hole evaporation

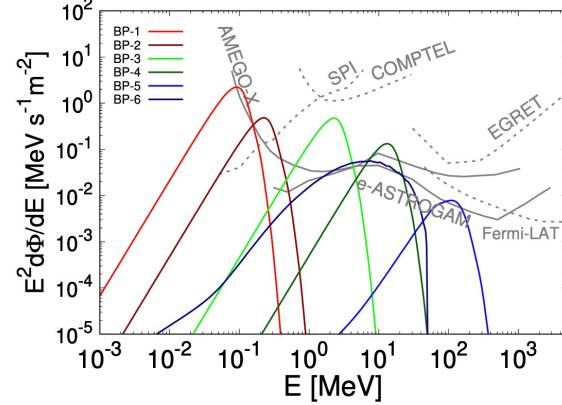
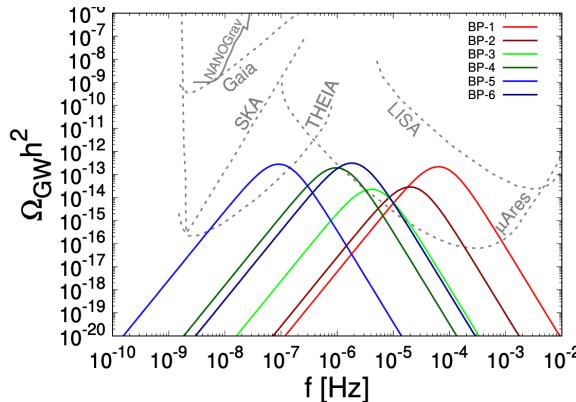
#1

Danny Marfatia (Hawaii U.), Po-Yan Tseng (Taiwan, Natl. Tsing Hua U.) (Dec 29, 2021)

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9 citations



511 keV galactic line from first-order phase transitions and primordial black holes

#1

Po-Yan Tseng (Taiwan, Natl. Tsing Hua U.), Yu-Min Yeh (Taiwan, Natl. Tsing Hua U.) (Sep 4, 2022)

e-Print: [2209.01552](#) [hep-ph]

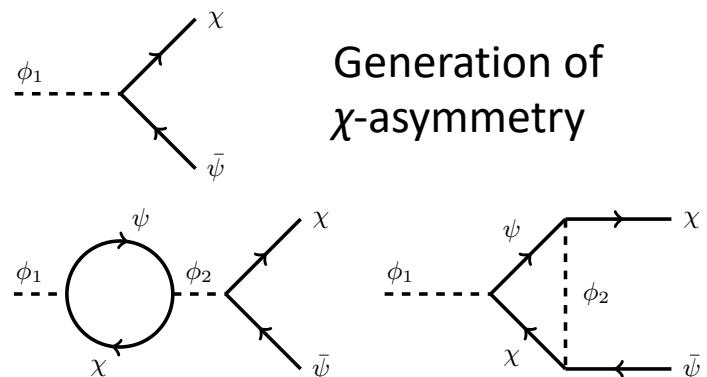
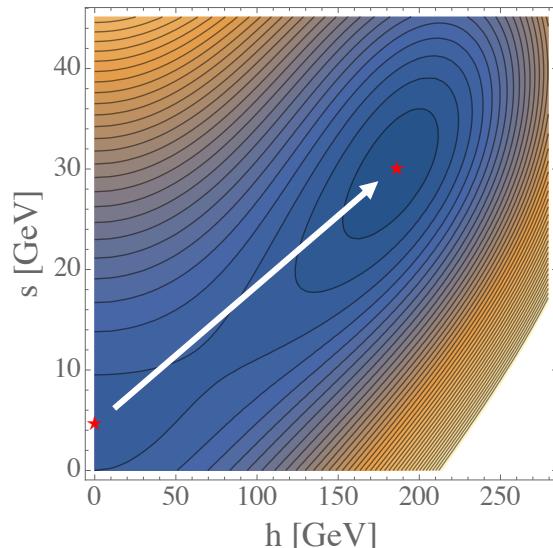
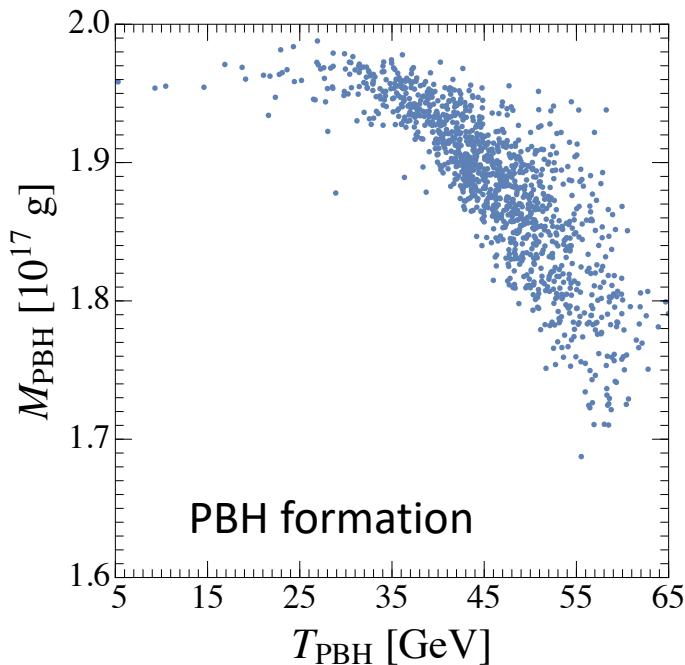
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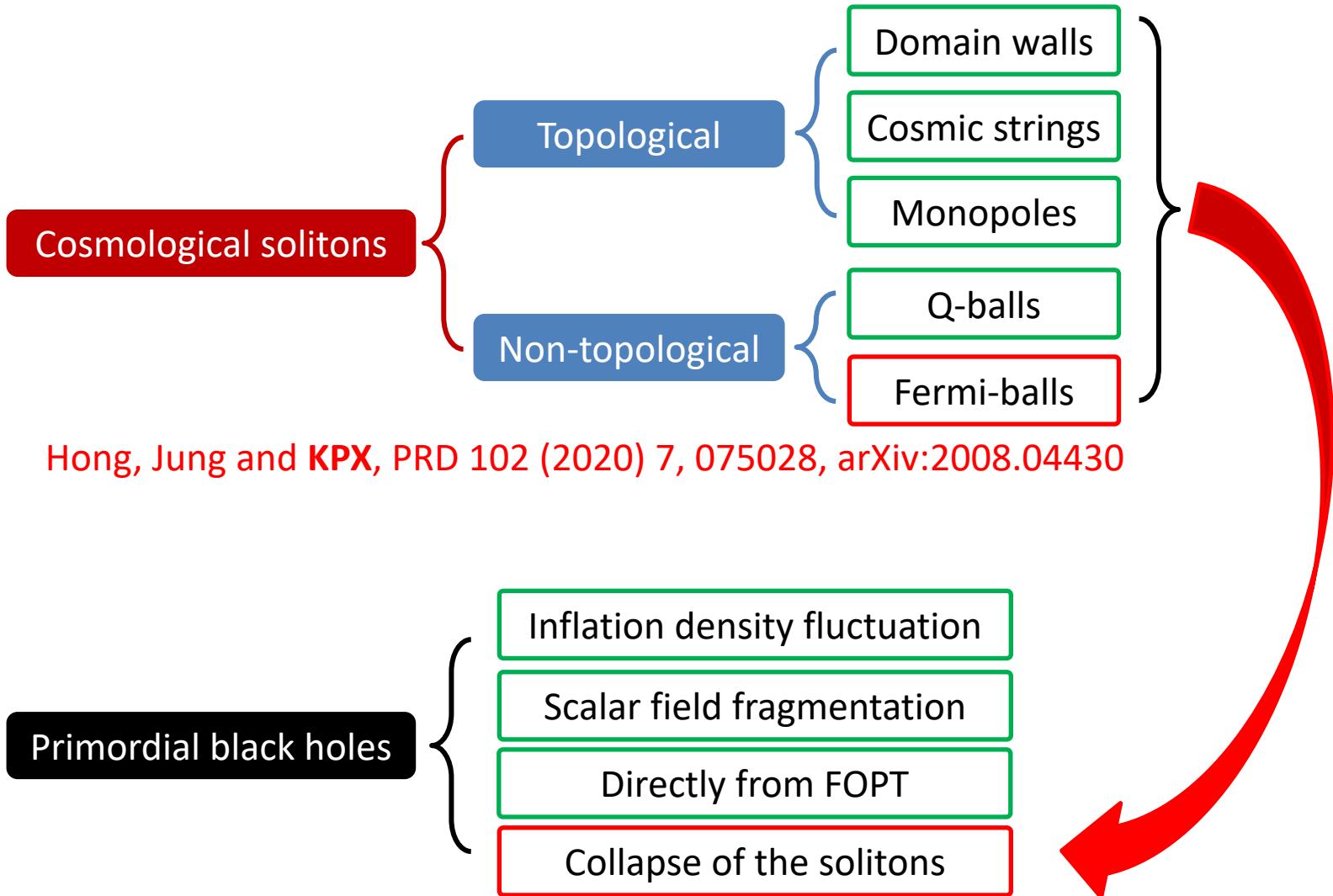
An application of this mechanism

Primordial black holes from an first-order **electroweak phase transition!** [Huang and KPX, PRD 105 (2022) 11, 115033, arXiv:2201.07243]

$$\begin{aligned}\mathcal{L} \supset & \bar{\chi} \gamma^\mu i \partial_\mu \chi - g_\chi S \bar{\chi} \chi \\ & + D_\mu H^\dagger D^\mu H + \frac{1}{2} \partial_\mu S \partial^\mu S - V(H, S)\end{aligned}$$



Conclusion

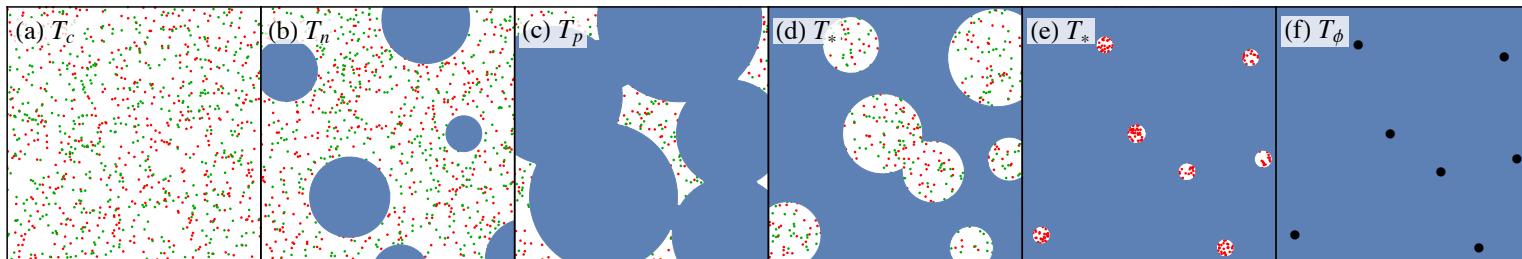


Hong, Jung and KPX, PRD 102 (2020) 7, 075028, arXiv:2008.04430

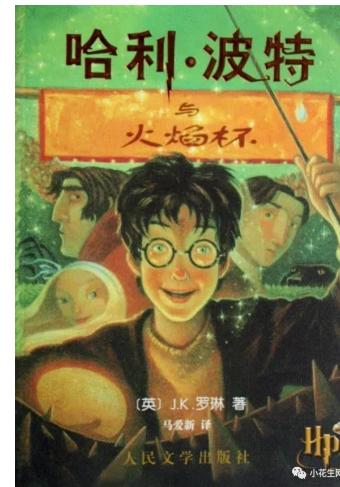
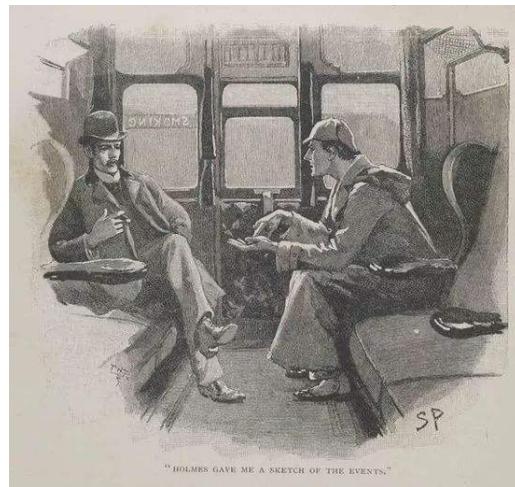
Kawana and KPX, PLB 824 (2022) 136791, arXiv:2106.00111

Conclusion

Our work!



Hong, Jung and KPX, PRD 102 (2020) 7, 075028, arXiv:2008.04430
Kawana and KPX, PLB 824 (2022) 136791, arXiv:2106.00111



Thank you!