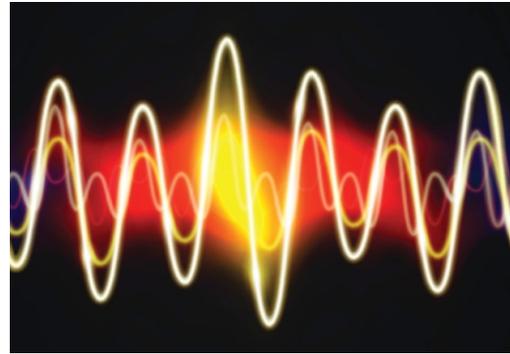


Optical cavities: From phenomenology to field quantisation



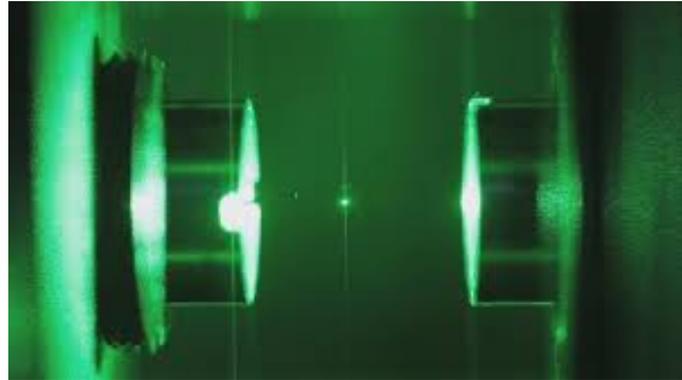
Almut Beige

University of Leeds, United Kingdom

Meeting at King's College London, March 2025

Outlook

1. Single mode cavities
2. The input-output formalism
3. Alternative models of FP cavities
—
4. Local photons
5. A local photon approach to optical cavities
6. Strange effects: Casimir, Doppler and Unruh
—
7. Final remarks

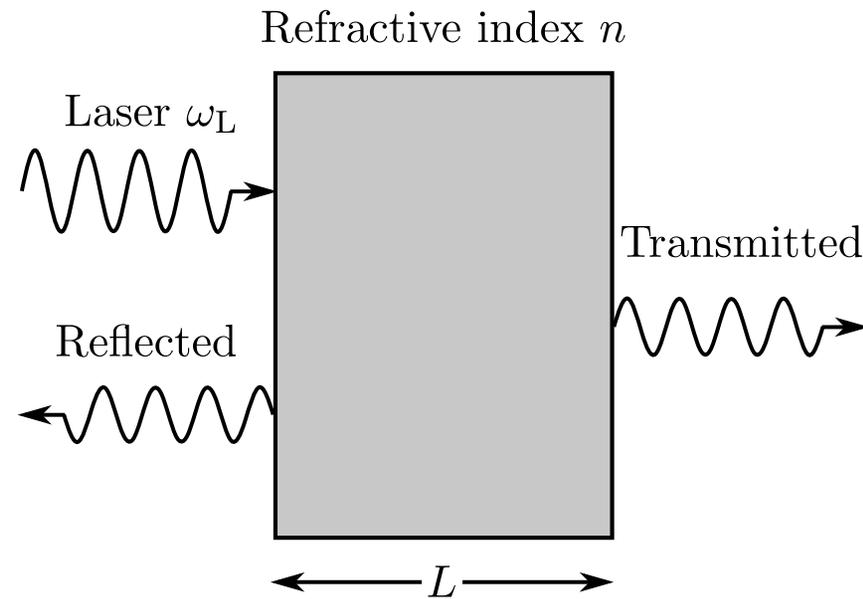


I

Single mode cavities

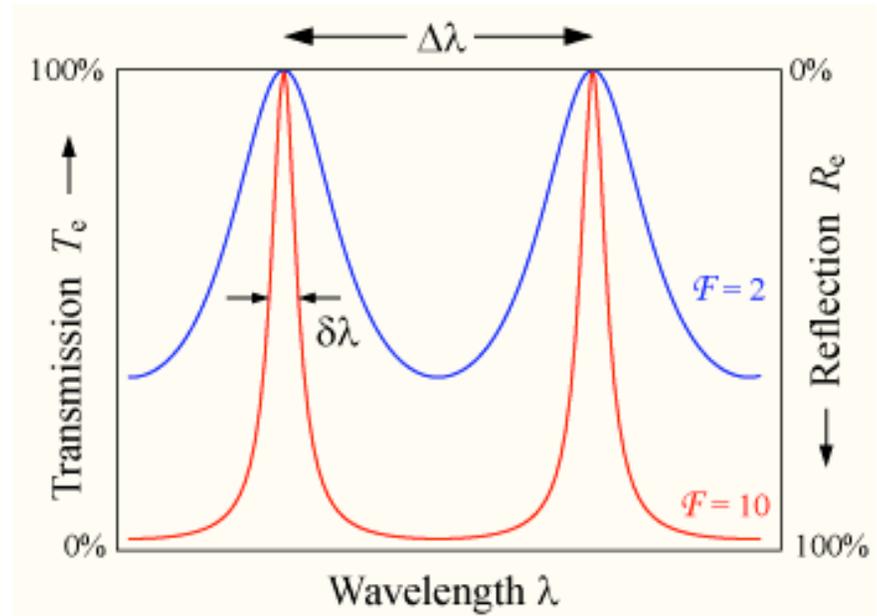
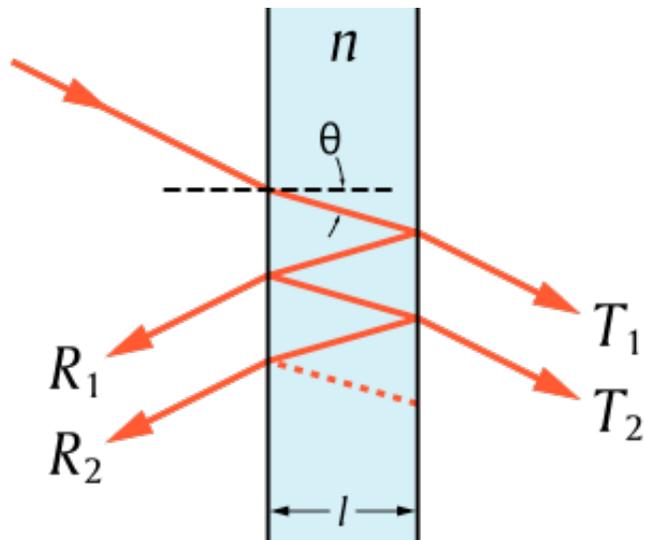
Experimental setup

To start, we have a closer look at an experimental setup which can be modelled quantum and classically:



Predictions of Maxwell's equations

Fabry-Perot cavity:



Cavity transmission and reflection rates

These rates depend only on the cavity parameters n and L and on the frequency of the incoming light ω_L :

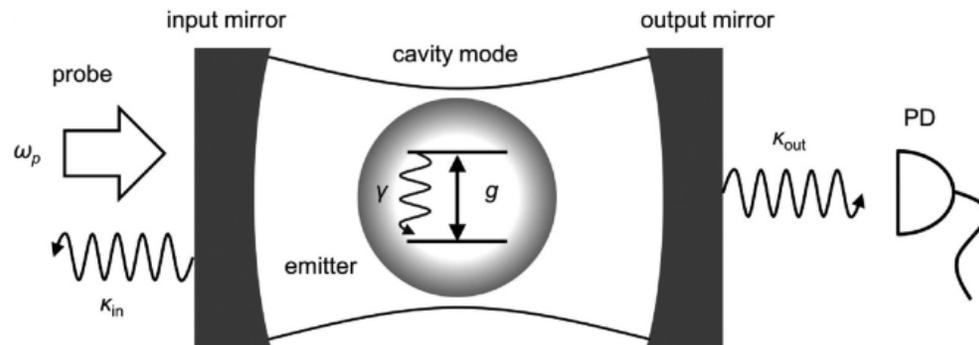
$$T_{\text{cav}}(\omega_L) = \left| \frac{1 - r^2}{1 - r^2 e^{2ink_L L}} \right|^2,$$
$$R_{\text{cav}}(\omega_L) = \left| \frac{1 - e^{2ink_L L}}{1 - r^2 e^{2ink_L L}} r \right|^2,$$

where $r \equiv \frac{1 - n}{1 + n}$: Fresnel coefficient

The quantum optics of atom-cavity systems

Questions:

- Is it enough to consider only a single cavity mode?
- How can we reproduce the Fabry-Perot cavity behaviour?
- How can we model reflection by mirror surfaces?
- How can we derive spontaneous cavity decay rates?



II

**The input-output
formalism**

Experimental setup

uobabylon.edu.iq

Two-Sided Cavity

A two-sided cavity has two partially transparent mirrors with associated loss coefficients γ_1 and γ_2 , as shown in Fig. 7.2. In this case there are two input ports and two output ports. The equation of motion for the internal field is then given by an obvious generalisation as

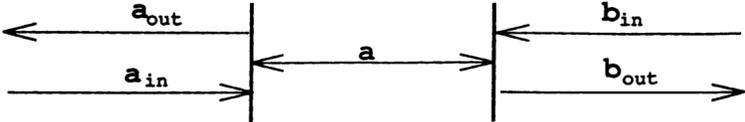
$$\frac{da(t)}{dt} = -i\omega_0 a(t) - \frac{1}{2}(\gamma_1 + \gamma_2)a(t) + \sqrt{\frac{\gamma_1}{\gamma_1 + \gamma_2}} a_{\text{IN}}^{\leftarrow}(t) + \sqrt{\frac{\gamma_2}{\gamma_1 + \gamma_2}} b_{\text{IN}}^{\leftarrow}(t). \quad (7.30)$$


Fig. 7.2 A schematic representation of the cavity field and the input and output fields for a double-sided cavity

III

**Alternative models of
FP cavities**

A quantum optical traveling-wave model

Traveling-wave cavity Hamiltonian:

$$H_{\text{laser}} = \hbar\Omega (a_{\text{R}} e^{i\omega_{\text{L}}t} + \text{H.c.})$$

$$H_{\text{fiber}} = \hbar\omega_{\text{L}} (a_{\text{L}}^{\dagger} a_{\text{L}} + a_{\text{R}}^{\dagger} a_{\text{R}}) + \frac{1}{2}\hbar J (a_{\text{R}} a_{\text{L}}^{\dagger} + a_{\text{L}} a_{\text{R}}^{\dagger})$$

$$\dot{\rho} = -\frac{i}{\hbar} [H, \rho] + \sum_{\text{X=L,R}} \kappa_{\text{X}} \left(a_{\text{X}} \rho a_{\text{X}}^{\dagger} - \frac{1}{2} \rho a_{\text{X}}^{\dagger} a_{\text{X}} - \frac{1}{2} a_{\text{X}}^{\dagger} a_{\text{X}} \rho \right)$$

- The laser excites a traveling field mode inside the resonator.
- Photons in the $a_{\text{L,R}}$ mode are converted into $a_{\text{R,L}}$ photons at a rate J .
- We assign different decay channels to photons with different directions.

Consistency conditions

The predictions of this model are consistent with the predictions of Maxwell's equations, if we choose:

$$\kappa = -\frac{2c}{nL} \ln r = \frac{4}{(n+1)^2} \cdot \frac{c}{L}$$
$$J(\omega_L) = \frac{2c}{nL} \cdot \frac{n-1}{n+1} \cdot \sin(nk_L L)$$

- The spontaneous cavity decay rate κ depends only on n and L .
- The bouncing rate $J(\omega_L)$ contains an interference term.

Special cases

- **Very long cavity:** $J = 0$ and $\kappa = 0$ for $L \rightarrow \infty$
- **Resonant cavity:** $J = 0$ and $\kappa \neq 0$
- **Very short cavity:** J is relatively large.

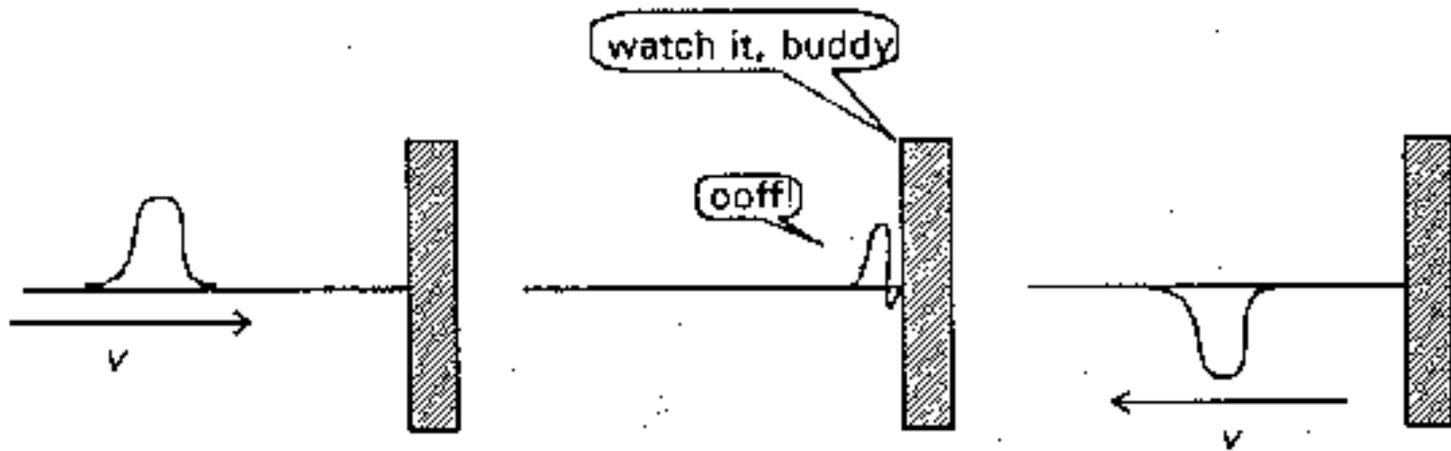
$$a_{\pm} \equiv \frac{1}{\sqrt{2}} (a_L \pm a_R)$$

$$\Rightarrow H_{\text{fiber}} = \hbar(\omega_L + J)a_+^\dagger a_+ + \hbar(\omega_L - J)a_-^\dagger a_-$$

The standing wave modes are highly degenerate.
The cavity is effectively single-mode.

Our model reproduces known results!

Phenomenological approaches do not always work!!!!



We do not know, how to model mirrors!

IV

Local photons

A gauge-independent quantisation of the EM field

The screenshot shows a web browser window with the URL `iopscience.iop.org`. The page header includes the IOPscience logo, a search icon, and navigation links for Journals, Books, Publishing Support, and Login. The main content area features the title of the article, authors, publication date, and citation information. A blue button labeled 'Article PDF' is visible. On the right side, there is a section for 'Article metrics' showing 17242 total downloads and two circular icons with the numbers 30 and 3. Below this is a 'Share this article' section with social media icons. At the bottom right, a table of contents for the article is visible, listing sections from Introduction to Conclusions.

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A physically motivated quantization of the electromagnetic field

Robert Bennett¹, Thomas M Barlow¹ and Almut Beige¹
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Article and author information

Abstract

The notion that the electromagnetic field is quantized is usually inferred from observations such as the photoelectric effect and the black-body spectrum. However accounts of the quantization of this field are usually mathematically motivated and begin by introducing a vector potential, followed by the imposition of a gauge that is the manipulation of the solutions of Maxwell's equations into a form that is amenable for the machinery of

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Abstract

1. Introduction
2. Classical electrodynamics
3. Field quantization for propagation in one dimension
4. Field quantization for propagation in three-dimensions
5. Conclusions

A physically motivated quantisation of the EM field

Standard quantum physics approach:

- We identify canonical variables and impose canonical commutator relations.

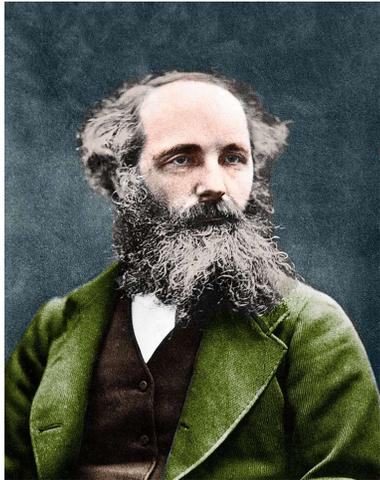
(Difficult task!)

A more “physically motivated” approach:

- We identify the distinguishable states of the classical system and map these onto pairwise orthogonal quantum states.
- Hamiltonian and observables must be such that the expectation values of the “most classical” quantum states evolve classically.

In this way, any classical experiment can also be described by quantum physics.

Light as waves



$$\begin{aligned}\vec{\nabla} \cdot \vec{E} &= 0 \\ \vec{\nabla} \cdot \vec{B} &= 0 \\ \vec{\nabla} \times \vec{E} &= -\frac{\partial \vec{B}}{\partial t} \\ \vec{\nabla} \times \vec{B} &= \epsilon_0 \mu_0 \frac{\partial \vec{E}}{\partial t}\end{aligned}$$

The basic solutions of MW's equations in free space are **waves**:

$$\left(\frac{\partial^2}{\partial x^2} - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \right) \mathbf{O}(x, t) = 0 \quad \text{with } \mathbf{O} = \mathbf{E}, \mathbf{B}$$

An alternative way of solving wave equations



$$\begin{aligned}\vec{\nabla} \cdot \vec{E} &= 0 \\ \vec{\nabla} \cdot \vec{B} &= 0 \\ \vec{\nabla} \times \vec{E} &= -\frac{\partial \vec{B}}{\partial t} \\ \vec{\nabla} \times \vec{B} &= \epsilon_0 \mu_0 \frac{\partial \vec{E}}{\partial t}\end{aligned}$$

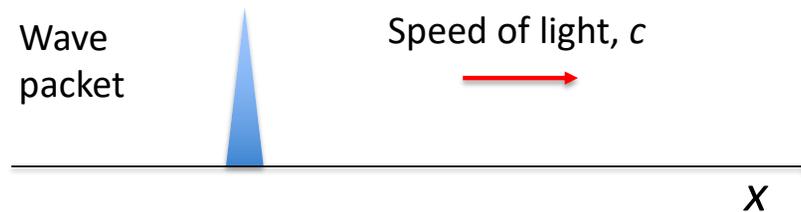
According to d'Alembert's principle, any **local wave packet** which moves at the speed of light solves MW's equations:

$$\left(\frac{\partial}{\partial x} + \frac{1}{c} \frac{\partial}{\partial t} \right) \left(\frac{\partial}{\partial x} - \frac{1}{c} \frac{\partial}{\partial t} \right) \mathbf{O}(x, t) = 0 \text{ with } \mathbf{O} = \mathbf{E}, \mathbf{B}$$

Light as “blips”

The basic solutions of MW's equations in free space are wave packets of any shape which travel at the speed of light either left or right:

$$\left(\frac{\partial}{\partial x} + \frac{1}{c} \frac{\partial}{\partial t}\right) \left(\frac{\partial}{\partial x} - \frac{1}{c} \frac{\partial}{\partial t}\right) \mathbf{O}(x, t) = 0 \quad \text{with } \mathbf{O} = \mathbf{E}, \mathbf{B}$$

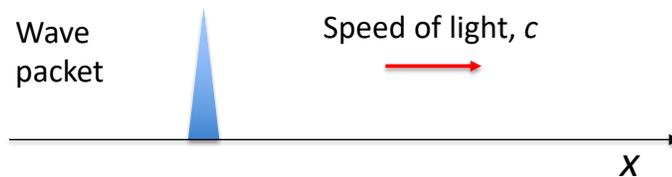


This includes highly-localised WPs which remain localised!

Local carriers of light

Suppose the EM field consists of **blips** (= bosons localised in position) with annihilation operators $a_{s\lambda}(x)$ which travel at the speed of light.

$s = \pm 1$: direction of propagation
 $\lambda = H, V$: polarisation
 $x \in (-\infty, \infty)$: position



Commutator relations



The state of a single local excitation:

$$|1_{s\lambda}(x)\rangle = a_{s\lambda}^\dagger(x)|0\rangle$$

These states are pairwise orthogonal for **bosonic** blips:

$$\begin{aligned}\langle 1_{s\lambda}(x) | 1_{s'\lambda'}(x') \rangle &= \langle 0 | a_{s\lambda}(x) a_{s'\lambda'}^\dagger(x') | 0 \rangle \\ &= [a_{s\lambda}(x), a_{s'\lambda'}^\dagger(x')] \\ &= \delta_{s,s'} \delta_{\lambda,\lambda'} \delta(x - x')\end{aligned}$$

Transformation into momentum space

x -space solutions:

$$x \in (-\infty, \infty)$$

$$\lambda = \text{H, V}$$

$$s = \pm 1$$

k -space solutions:

$$k \in (-\infty, \infty)$$

$$\lambda = \text{H, V}$$

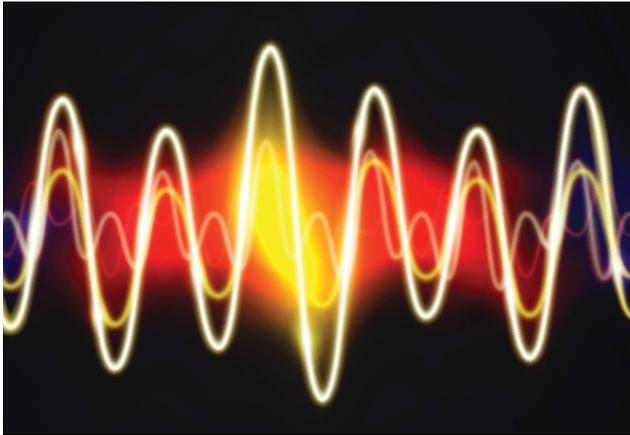
$$s = \pm 1$$

When transferring the $a_{s\lambda}(x)$ via a Fourier transform into momentum space, we obtain bosonic $\tilde{a}_{s\lambda}(k)$ for monochromatic photons:

$$\tilde{a}_{s\lambda}(k) = \frac{1}{(2\pi)^{1/2}} \int_{-\infty}^{\infty} dx e^{-iskx} a_{s\lambda}(x)$$

$$a_{s\lambda}(x) = \frac{1}{(2\pi)^{1/2}} \int_{-\infty}^{\infty} dk e^{iskx} \tilde{a}_{s\lambda}(k)$$

The basic building blocks of light



There are different carriers of light, local and non-local:

1. **Monochromatic waves:**

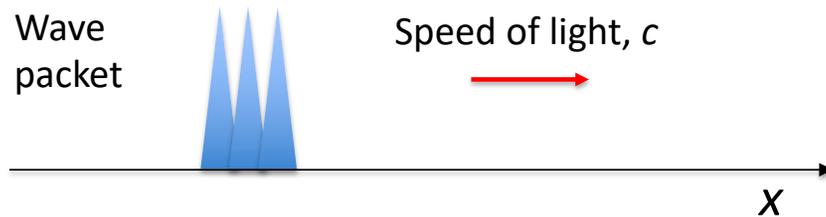
These correspond either to standing or to travelling waves.

2. **Localised wave packets:**

blips = bosons localised in position

Single-photon wave packets of any shape can be obtained by superposing either waves or blips.

The Schrödinger equation



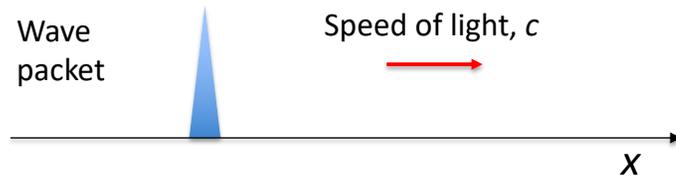
$s = -1$: left-moving WPs
 $s = +1$: right-moving WPs

The dynamical Hamiltonian H_{dyn}
is the generator of dynamics:

$$\frac{\partial}{\partial t} |\psi(t)\rangle = -\frac{i}{\hbar} H_{\text{dyn}} |\psi(t)\rangle$$

The Hamiltonian H_{dyn} has positive and negative eigenvalues.

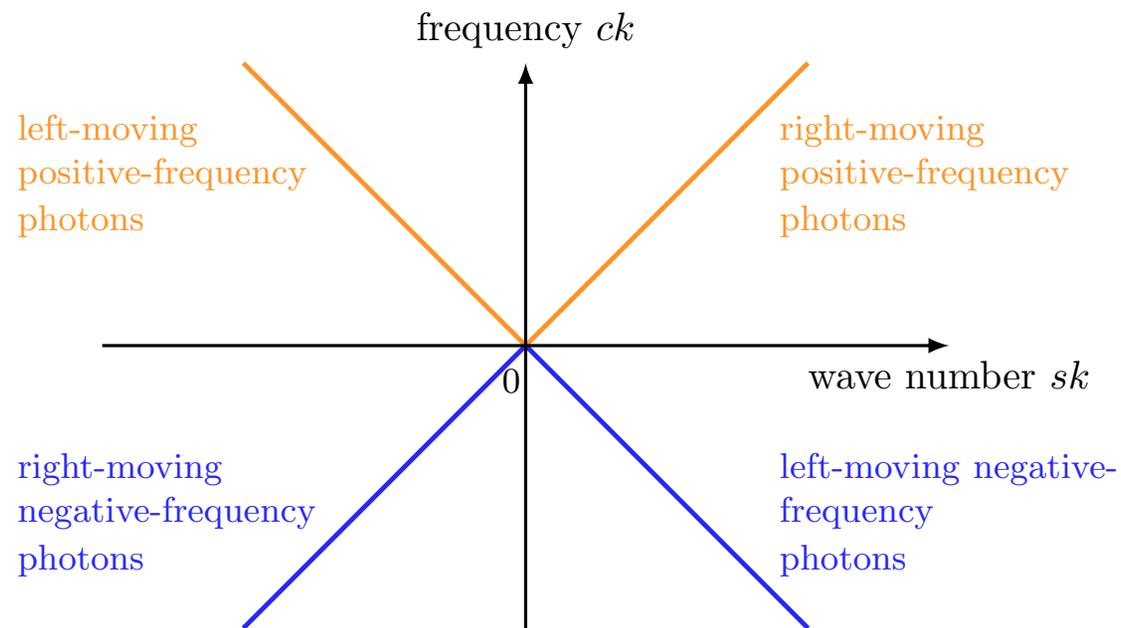
The dynamical Hamiltonian



In the Heisenberg picture: $a_{s\lambda}(x, t) = a_{s\lambda}(x - sct, 0)$

$$\begin{aligned} \Rightarrow H_{\text{dyn}} &= -i\hbar \sum_{s,\lambda} \int_{-\infty}^{\infty} dx \, sc a_{s\lambda}^{\dagger}(x') \frac{\partial}{\partial x} a_{s\lambda}(x) \\ &= \sum_{s,\lambda} \int_{-\infty}^{\infty} dk \, \hbar ck \tilde{a}_{s\lambda}^{\dagger}(k) \tilde{a}_{s\lambda}(k) \end{aligned}$$

Frequencies and wave numbers



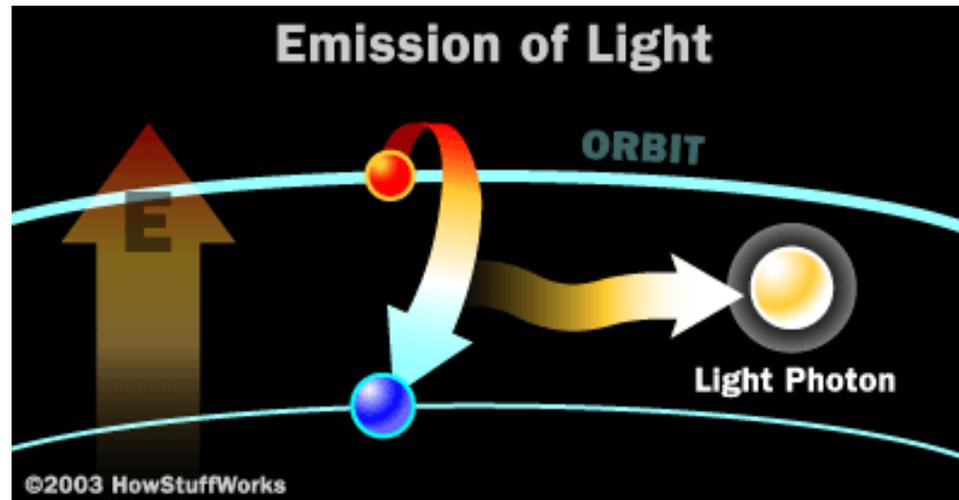
Electric and magnetic field observables

Consistency with MW's equations applies when

$$\begin{aligned}\mathcal{E}(x) &= \sum_{s=\pm 1} \mathcal{R}(a_{sH}(x) \hat{\mathbf{y}} + a_{sV}(x) \hat{\mathbf{z}}) \\ \mathcal{B}(x) &= - \sum_{s=\pm 1} \frac{s}{c} \mathcal{R}(a_{sV}(x) \hat{\mathbf{y}} - a_{sH}(x) \hat{\mathbf{z}})\end{aligned}$$

\mathcal{R} : regularisation operator;
independent of x and of t

The energy of monochromatic photons



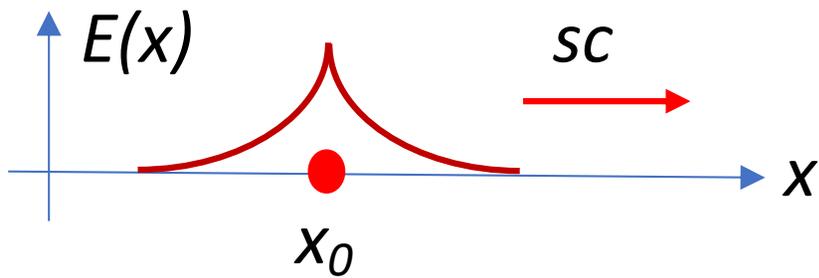
- Suppose **one** atom with energy $\hbar\omega_0$ emits exactly **one** photon.
- Due to resonance, the photon resembles a monochromatic ω_0 -wave.
- Energy conservation implies that the photon has the energy $\hbar\omega_0$.

Field observables in position space

$$\Rightarrow \mathcal{E}_{s\lambda}(x) = \int_{-\infty}^{\infty} dx' g(x, x') a_{s\lambda}(x')$$
$$\mathcal{B}_{s\lambda}(x) = \frac{s}{c} \int_{-\infty}^{\infty} dx' g(x, x') a_{s\lambda}(x')$$

$$\text{with } g(x, x') = \left(\frac{\hbar c}{2\pi^2 \varepsilon_0 A} \right)^{1/2} \int_{-\infty}^{\infty} dk \sqrt{|k|} e^{ik(x-x')}$$
$$= - \left(\frac{\hbar c}{4\pi \varepsilon_0 A} \right)^{1/2} \frac{1}{|x - x'|^{3/2}}$$

A physical picture of blips



Comments:

- Local blips at x_0 can be felt everywhere.
- Localised fields can only be created by a non-local source.
- We now have positive and negative frequency photons.

Similarities with gravitational fields



Local photons are similar to massive particles: they are **local carriers** of fields. In analogous classical situations, it is often easier to model the dynamics of the carriers than of the fields.

The energy of light

- By definition, H_{dyn} also represents the energy of the quantised EM field.
- The eigenvalues of the energy observable H_{eng} should be only positive.

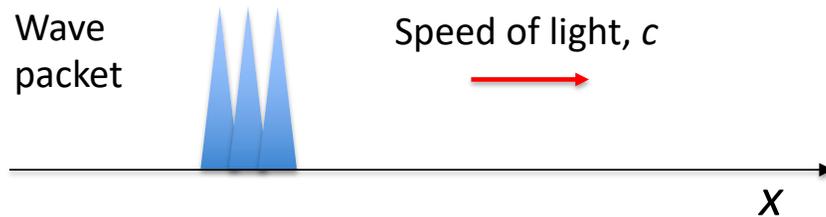
Hence:

$$\begin{aligned} H_{\text{eng}} &= \begin{cases} -H_{\text{dyn}} & \text{for } k < 0 \\ +H_{\text{dyn}} & \text{for } k \geq 0 \end{cases} \\ &= \sum_{s,\lambda} \int_{-\infty}^{\infty} dk \hbar c |k| a_{s\lambda}^{\dagger}(k) a_{s\lambda}(k) \end{aligned}$$

With respect to the complex field vectors $\mathcal{E}^{\dagger}(x)$ and $\mathcal{B}^{\dagger}(x)$:

$$H_{\text{eng}} = \frac{A}{4} \int_{-\infty}^{\infty} dx \left[\varepsilon \mathcal{E}^{\dagger}(x) \cdot \mathcal{E}(x) + \frac{1}{\mu} \mathcal{B}^{\dagger}(x) \cdot \mathcal{B}(x) \right]$$

The dynamical momentum



$s = -1$: left-moving WPs
 $s = +1$: right-moving WPs

The momentum p_{dyn} is the generator for the spatial translation of quantum states:

$$\frac{\partial}{\partial x} |\psi(t)\rangle = \frac{i}{\hbar} p_{\text{dyn}} |\psi(t)\rangle$$

$$\implies p_{\text{dyn}} = \sum_{s,\lambda} \int_{-\infty}^{\infty} dk \hbar s k a_{s\lambda}^\dagger(k) a_{s\lambda}(k)$$

Relation to field observables

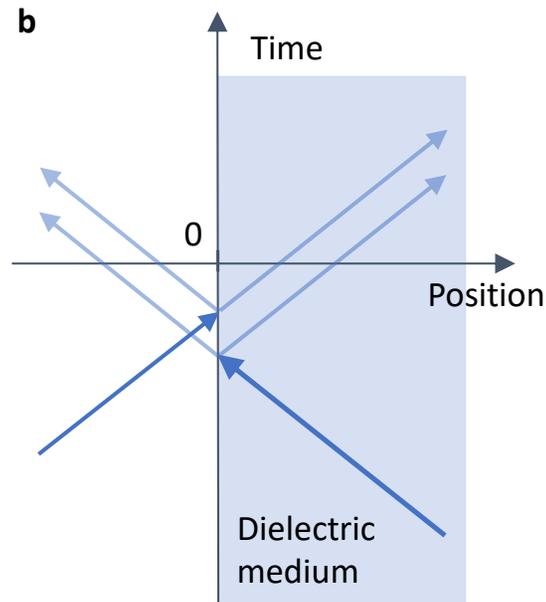
The dynamical momentum p_{dyn} of light can be written in the form

$$p_{\text{dyn}} = \begin{cases} -p & \text{for } k < 0, \\ +p & \text{for } k \geq 0 \end{cases}$$

with p defined such that

$$p \hat{x} = \frac{\varepsilon A}{4} \int_{-\infty}^{\infty} dx \left[\mathcal{E}^\dagger(x) \times \mathcal{B}(x) - \mathcal{B}^\dagger(x) \times \mathcal{E}(x) \right]$$

The Abraham-Minkowski controversy



In classical optics, it is not known whether the momentum of light increases (**Minkowski, 1910**) or decreases (**Abraham, 1909**) when light enters a dielectric medium.

A locally-acting mirror Hamiltonian

In the presence of a mirror interface at $x = 0$, the Hamiltonian of the quantised EM field becomes $H_{\text{mir}} = H_{\text{dyn}} + H_{\text{int}}$ with

$$H_{\text{int}} = \sum_{\lambda=\text{H},\text{V}} \hbar\Omega a_{-1\lambda}^\dagger(0) a_{1\lambda}(0) + \text{H.c.}$$

HERMITIAN!

This Hamiltonian can be analysed using a Dyson series expansion to predict the dynamics of the momentum of any incoming light.



Minkowski
was right!

V

**A local photon approach
to optical cavities**

Cavity Quantum Electrodynamics:

a stage to witness the interaction between light and matter at the most fundamental level

One **atom** interacts with one (or a few) photon(s) in a box

A **sequence of atoms** crosses the cavity, couples with its field and carries away information about the trapped light

Photons bouncing on mirrors pass many many times on the **atom**: the cavity enhances tremendously the **light-matter** coupling

The best mirrors in the world: more than **one billion** bounces and a folded journey of **40.000km** (the earth circumference) for the light!

Photons are trapped for more than a tenth of a second!



Photo: U. Montan
Serge Haroche

A locally-acting cavity Hamiltonian

In the presence of mirror interfaces at $x = 0$ and at $x = L$, the Hamiltonian of the quantised EM field becomes

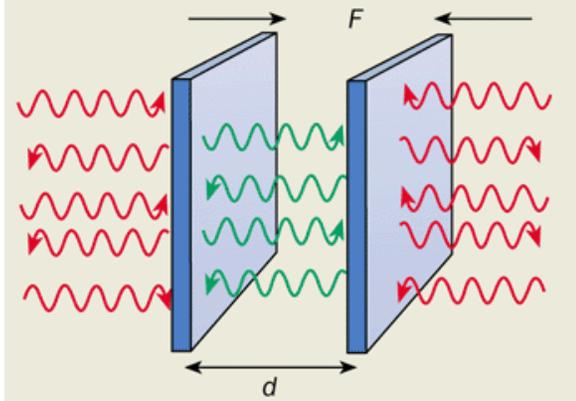
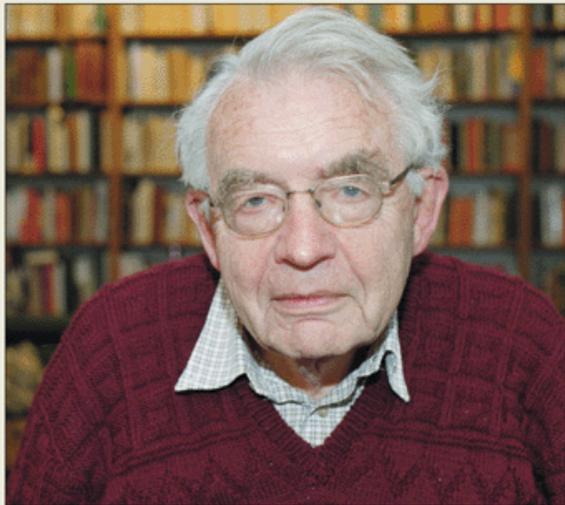
$$\begin{aligned} H_{\text{int}} = H_{\text{dyn}} &+ \sum_{\lambda=\text{H},\text{V}} \hbar\Omega a_{-1\lambda}^\dagger(0) a_{1\lambda}(0) + \text{H.c.} \\ &+ \sum_{\lambda=\text{H},\text{V}} \hbar\Omega a_{-1\lambda}^\dagger(L) a_{1\lambda}(L) + \text{H.c.} \end{aligned}$$

This Hamiltonian can be analysed using a Dyson series expansion or master equations.

VI

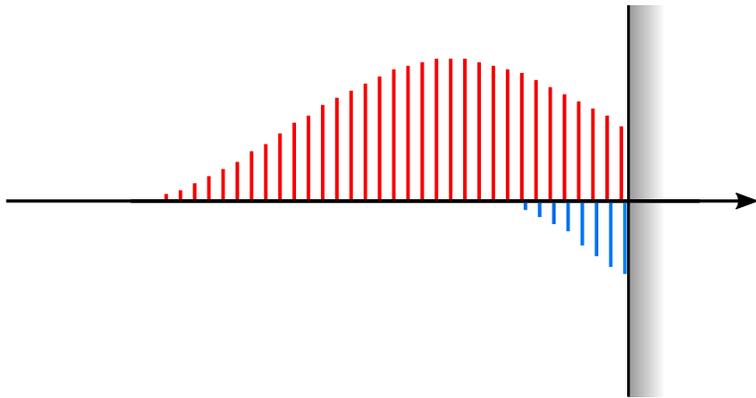
**Strange effects:
Casimir, Doppler and Unruh**

Casimir's effect



- Already in 1948, Casimir predicted an attractive force between two metallic mirrors for small distances d .
- This effect can be understood as a consequence of boundary conditions imposed by the mirrors and is attributed to vacuum fluctuations.
- Obtaining a finite force requires regularisation procedures.

The mirror image method



A mirror changes amplitude and direction of the incoming blip excitations.

The origin of the Casimir effect

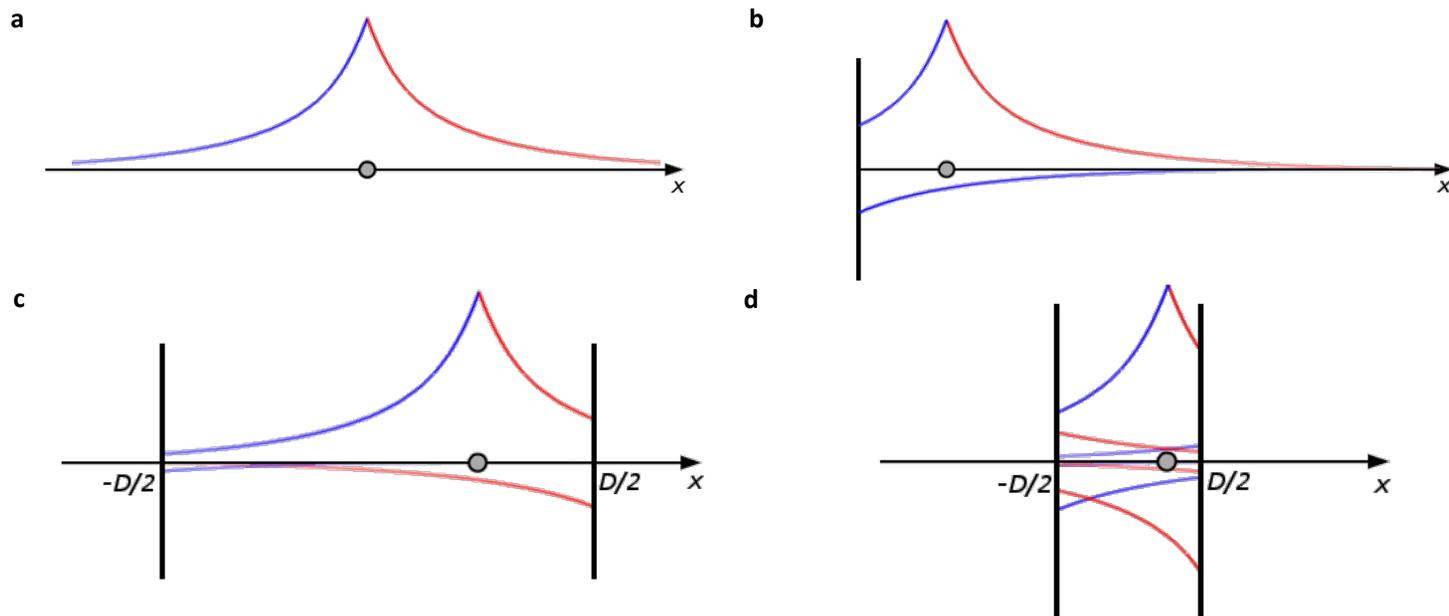


Figure 2: **a.** Because of the regularisation operator \mathcal{R} in Eq. (6), local blip excitations contribute to local electric and magnetic field expectation values everywhere along the x axis (cf. Eq. (8)). **b.** Since a blip on one side of a highly reflecting mirror cannot contribute to the field expectation value on the other side, its field contribution must be folded back on itself. This effect alters the electric and magnetic field observables in the presence of a mirror. **c.** In the presence of two highly reflecting mirrors, blips outside the cavity cannot contribute to field expectation values on the inside. Moreover, the field contributions of blips on the inside need to be folded as in the case of one mirror. Now, however, the field contributions must be folded infinitely many times (cf. Eq. (18) in Methods). **d.** Comparing two cavities of different sizes, we see that the behaviour of the field contribution is now dependent on the cavity width.

The electric field inside the cavity



Suppose \mathcal{X} restricts the Hilbert space to blip excitations at positions $x \in (-D/2, D/2)$.

$$\begin{aligned} \mathbf{E}_{s\lambda}^{(\text{in})}(x, t) &= \sum_{n=-\infty}^{\infty} \mathcal{X} \left(\mathbf{E}_{s\lambda}^{(\text{free})}(x + 2nD, t) \right. \\ &\quad \left. - \mathbf{E}_{-s\lambda}^{(\text{free})}(-x + (2n - 1)D, t) \right) \end{aligned}$$

blips inside the cavity cannot create fields outside and vice versa.

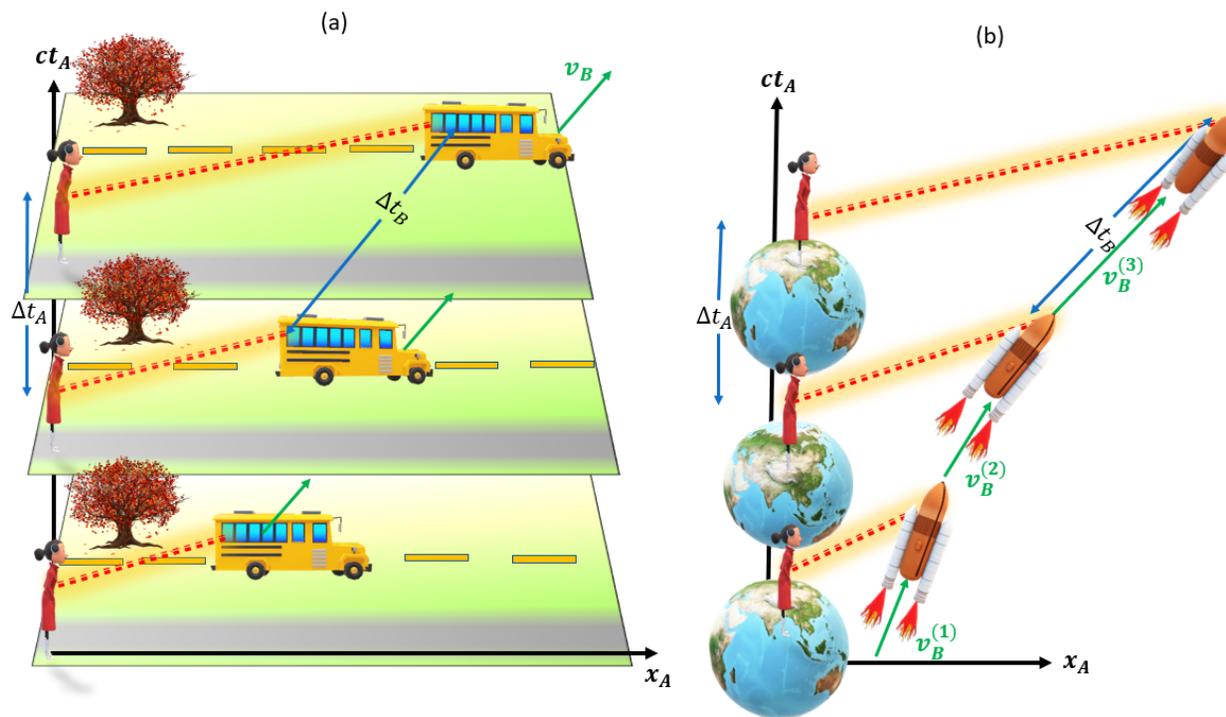
The zero point energy of the EM field

$$\begin{aligned} H_{\text{ZPE}}^{(\text{in})} &= \frac{\hbar c}{4\pi} \sum_{n,m=-\infty}^{\infty} \int_{-D/2}^{D/2} dx \int_{-D/2}^{D/2} dx' \\ &\quad \left[|(x + x' + (2n - 1)D)(x + x' + (2m - 1)D)|^{-3/2} \right. \\ &\quad \left. + |(x - x' + 2nD)(x - x' + 2mD)|^{-3/2} \right] \\ &= -\frac{\hbar c}{2\pi D} \sum_{m=-\infty}^{\infty} \frac{1}{m^2} \Rightarrow F_{\text{Casimir}} = -\frac{dH_{\text{ZPE}}}{dD} = -\frac{\pi \hbar c}{6D^2} \end{aligned}$$

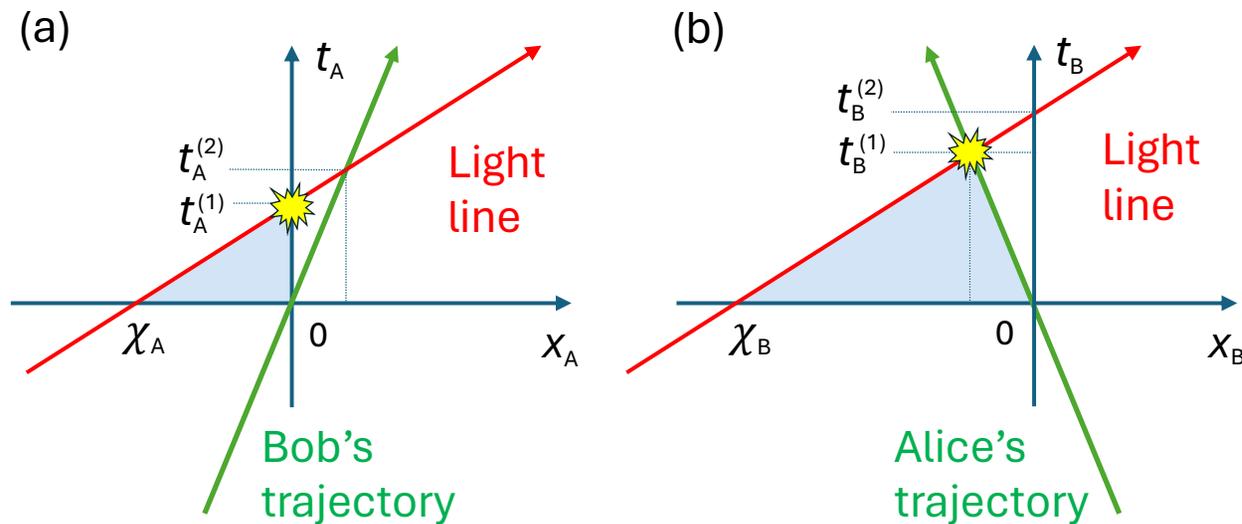
The Casimir effect is due to interference effects of evanescent fields belonging to opposite sides of the cavity.

The relativistic Doppler effect

The relativistic Doppler effect predicts frequency, wavelength and amplitude changes between two moving observers.



Alice and Bob experience space and time differently



We describe each light-like world-line by natural coordinates $\chi_A = x_A - sct_A$ and $\chi_B = x_B - sct_B$ and blip annihilation operators $a(\chi_A)$ and $b(\chi_B)$.

Operator transformations

Alice and Bob experience the same blips when referring to the same point in the spacetime diagram.

$$\chi_A = -ct_A^{(1)} = -(c - v_B)t_A^{(2)}$$

$$\chi_B = -(c + v_B)t_B^{(1)} = -ct_B^{(2)}$$

$$\chi_B/\chi_A = \kappa, \quad t_B^{(1)}/t_A^{(1)} = t_A^{(2)}/t_B^{(2)} = \gamma$$

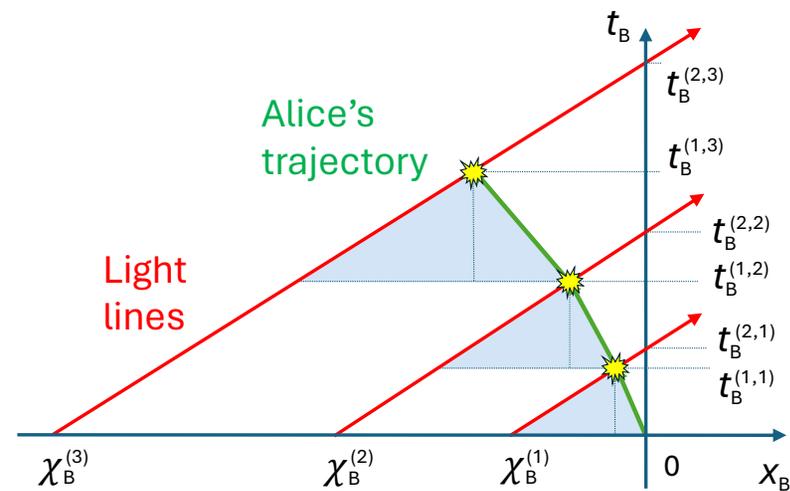
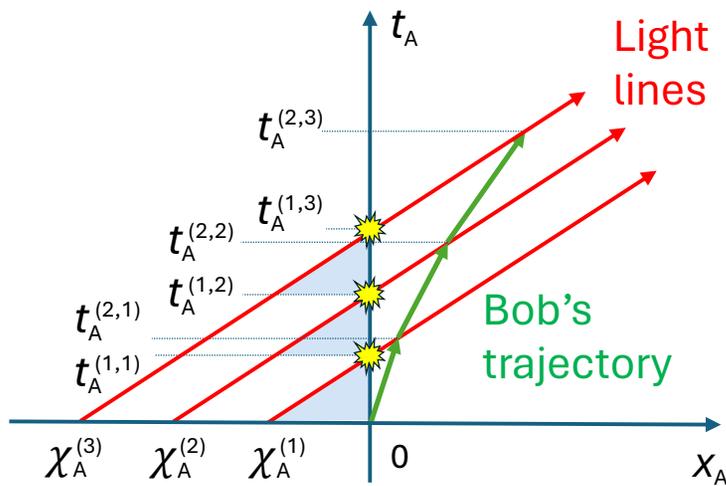
Hence:

$$\chi_A = \gamma(1 - s\beta) \chi_B \quad \text{with} \quad \gamma = [\sqrt{1 - \beta^2}]^{-1/2}, \quad \beta = v_B/c$$

$$\Rightarrow b_{s\lambda}(\chi_B) = [\gamma(1 - s\beta)]^{1/2} a_{s\lambda}(\chi_B)$$

The Unruh effect

The Unruh effect predicts the presence of thermal photons in the reference frame of an accelerating observer. However, it can also be modelled consistently while all observers share a **common vacuum**:



Acceleration without photon pair creation

Suppose, Alice and Bob are placed at the origins of their diagrams and meet at an initial time when $t_A = t_B = 0$. Bob's velocity v_B in Alice's coordinate system is known at any time t_A . She sends signals at regular time intervals.

$$\chi_B = \int_0^{\chi_A} d\chi'_A \gamma(\chi'_A) [1 + s\beta(\chi'_A)]$$
$$\Rightarrow b_{s\lambda}(\chi_B) = \sqrt{\gamma(\chi_A)(1 - s\beta(\chi_A))} a_{s\lambda}(\chi_A)$$

VII

Final remarks

Comments

We quantised the EM field in 1D **in position space**. No-go theorems have been overcome by doubling its usual Hilbert space and by allowing for negative frequency photons.



This approach allows us to introduce a locally-acting mirror **Hamiltonian** and to calculate **zero point energies**.

See also related work by Dirac, Hawton, Cook, Mostafazadeh and Pendry and others.

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